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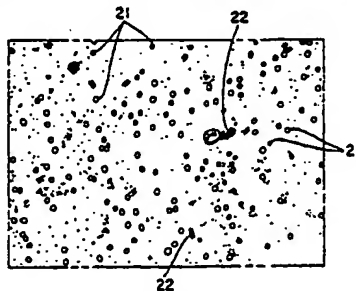
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54 Surface-segregatable, melt-extrudable thermoplastic composition.

57 A surface-segregatable, melt-extrudable thermoplastic composition suitable for processing by melt extrusion to form a fiber or film having a differential, increasing concentration of an additive from the center of the fiber or film to the surface thereof, which differential, increasing concentration imparts to the surface of the fiber or film at least one desired characteristic which otherwise would not be present, which composition includes at least one thermoplastic polymer and at least one defined additive. During formation of the fiber or film, the additive rapidly segregates in a controllable manner toward the newly-formed surface of the fiber or film, thereby resulting in a controllable differential concentration of the polymeric material, which concentration increases with increasing distance from the center of the fiber or film to its surface. The thermoplastic composition is useful in the preparation of fibers or films which have at least one surface characteristic which is different from that of the polymer component of the thermoplastic composition. Methods for preparing the fibers or films and for stabilizing the thermoplastic compositions under certain conditions also are disclosed. The thermoplastic composition is particularly useful in the formation of nonwoven webs which are used in the construction of such disposable absorbent products as diapers, feminine care products, incontinence products, and the like.



**FIG. 2A**

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## SURFACE-SEGREGATABLE, MELT-EXTRUDABLE THERMOPLASTIC COMPOSITION

Background of the Invention

The present invention relates to a surface-segregatable, melt-extrudable thermoplastic composition. More particularly, the present invention relates to a thermoplastic composition which rapidly surface segregates in a controllable manner upon melt extrusion to form fibers and films having modified surface characteristics. The surface-segregatable, melt-extrudable thermoplastic composition comprises at least one thermoplastic polymer and at least one defined additive which preferably will contain at least one tetrasubstituted disiloxanylene group.

Polymers are used widely throughout the world to make a variety of products which include blown and cast films, extruded sheets, injection molded articles, foams, blow molded articles, extruded pipe, monofilaments, and nonwoven webs. Some of such polymers, such as polyolefins, are naturally hydrophobic, and for many uses this property is either a positive attribute or at least not a disadvantage.

There are a number of uses for polyolefins, however, where their hydrophobic nature either limits their usefulness or requires some effort to modify the surface characteristics of the shaped articles made therefrom. By way of example, polyolefins are used to manufacture nonwoven webs which are employed in the construction of such disposable absorbent articles as diapers, feminine care products, incontinence products, and the like. Frequently, such nonwoven webs need to be wettable. Wettability can be obtained by spraying or coating the web with a surfactant solution during or after its formation. The web then must be dried, and the surfactant which remains on the web is removed upon exposure of the web to aqueous media. Alternatively, a surfactant can be included in the polymer which is to be melt-processed, as disclosed in U.S. Patent Nos. 3,973,068 and 4,070,218 to R. E. Weber. In that case, however, the surfactant must be forced to the surface of the fibers from which the web is formed. This typically is done by heating the web on a series of steam-heated rolls or "hot cans". This process, called "blooming", is expensive and still has the disadvantage of ready removal of the surfactant by aqueous media. Moreover, the surfactant has a tendency to migrate back into the fiber which adversely affects shelf life, particularly at high storage temperatures. In addition, it is not possible to incorporate in the polymer levels of surfactant much above 1 percent by weight because of severe processability problems; surfactant levels at the surface appear to be limited to a maximum of about 0.33 percent by weight. Most importantly, the blooming process results in web shrinkage in the cross-machine direction and a significant loss in web tensile strength.

Other methods of imparting wettability to, or otherwise affecting the surface characteristics of, shaped articles made from polyolefins and other hydrophobic polymers are known. Representative examples of a number of such methods are described in the paragraphs which follow.

U.S. Patent No. 4,578,414 to L. H. Sawyer and G. W. Knight describes wettable olefin polymer fibers. The fibers are formed from a composition comprising a polyolefin resin and one or more defined surface-active agents. Such agents may be present in an amount of from about 0.01 to about 5 percent by weight. The surface-active agents can be (1) an alkoxyalkyl phenol in combination with a mixed mono-, di-, and/or triglyceride; (2) or a polyoxyalkylene fatty acid ester; or (3) a combination of (2) with any part of (1). The preferred polyolefin is polyethylene, and all of the examples employed an ethylene/1-octene copolymer, the latter apparently being a minor component. The surface-active agents are stated to bloom to the fabricated fiber surfaces where at least one of the surface-active agents remains partially embedded in the polymer matrix. The patent further states that the permanence of wettability can be controlled through the composition and concentration of the additive package.

Polysiloxane-polyoxazoline block copolymers are disclosed in U.S. Patent No. 4,659,777 to J. S. Riffle and I. Yilgor. The copolymers are stated to be useful as surface-modifying additives for base polymers. Such use apparently has primary reference to personal care products where the surface properties to be imparted include glossiness, smoothness, and lubricity. However, incorporation of the copolymers into fibers is stated to impart surface stain resistance, antistatic properties, flame retardancy, and wettability by both polar and nonpolar solvents. Such incorporation preferably is in the range of from about 1 to 5 parts by weight. Suitable base polymers include some vinyl polymers, acrylate polymers, polyurethanes, cellulose derivatives, and polyethylene, polypropylene, ethylene-propylene copolymers, and copolymers of ethylene with, for example, vinyl acetate. However, the single example illustrating incorporation of the disclosed copolymers into a base polymer employed as the base polymer poly(vinyl chloride), and the resulting mixture was used to cast films from solution.

U.S. Patent No. 4,672,005 to M. E. Dyer describes a process for improving the hygroscopic, soil

release, and other surface properties of a polymer substrate. The process involves contacting the substrate with an aqueous mixture containing a water-soluble vinyl monomer and a hydrophobic vinyl monomer. Polymerization of the water-soluble vinyl monomer then is initiated by a polymerization initiator, thereby forming a vinyl polymer on the surface of the polymer substrate.

- 5 U.S. Patent No. 4,698,388 to H. Ohmura et al. describes a method for modifying the surface of a polymer material by means of a block copolymer. The block copolymer consists of a hydrophilic polymer portion formed from a vinyl monomer and a polymer portion which is compatible with the polymer material, also formed from a vinyl monomer. The block copolymer is added to the polymer material by, for example, coating the material with a solution or suspension of the block copolymer, mixing the block copolymer with  
10 the polymer material during formation of the article, forming a film from the block copolymer which then is melt-pressed or adhered to the surface of the polymer material, and coating the surface of the polymer material with powdered block copolymer.

Polymer compositions having a low coefficient of friction are described by U.S. Patent No. Re. 32,514 to D. J. Steklenski. The compositions comprise a blend of at least 80 percent by weight of a polymer and at  
15 least 0.35 percent by weight of a crosslinked silicone polycarbinol. The polymer preferably is a blend of cellulose nitrate and a hydrophobic acrylate polymer. The silicone polycarbinol in general is a hydroxy-terminated polysiloxane or hydroxy-substituted polysiloxane. The compositions typically are prepared by dissolving the polymer or polymer blend, silicone polycarbinol, and crosslinking agent in a suitable solvent and casting a film from which the solvent is allowed to evaporate.

- 20 Canadian Patent No. 1,049,682 describes the inclusion in a thermoplastic polymer of from 0.1 to 10 percent by weight of a carboxy-functional polysiloxane. Suitable thermoplastic polymers include polyolefins. Such inclusion is stated to enhance the properties or characteristics of the thermoplastic polymer in one or more ways. By way of illustration, products or articles made from the polymer mixture were stated to have self-lubricating properties and increased resistance to wear. For molded articles, less friction during transfer,  
25 injection or extrusion molding was observed, and better release of parts from the molds was obtained. See, also, German Published Patent Application (Offenlegungsschrift) No. 2,506,667 [Chem. Abstr., 84:91066z (1976)].

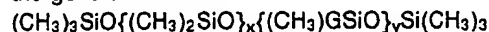
Other, similar references which may be of interest include R. H. Somani and M. T. Shaw, Macromolecules, 14, 886 (1981), which describes the miscibility of polydimethylsiloxane in polystyrene; and S. N.  
30 Pandit et al., Polym. Compos., 2, 68 (1981), which reports the use of a vinyltriethoxysilane polymer as a coupling agent in glass fiber-reinforced polypropylene.

It also may be noted that polysiloxanes have been utilized in the production of nonwoven webs or fabrics, or products made therefrom, as illustrated by the references which follow.

- U.S. Patent No. 3,360,421 to S. Sands describes a bonded nonwoven backing material having perforate  
35 selvage which is used in the manufacture of carpet. In the production of the nonwoven backing material, a nonwoven web is produced from a polyolefin such as polyethylene or polypropylene. The resulting web then is subjected to bonding conditions, followed by applying to the web a lubricant which can be, among other things, methyl hydrogen polysiloxane and dimethyl polysiloxane.

A finish composition for application to a continuous filament polypropylene sheet is disclosed in U.S.  
40 Patent No. 3,766,115 to S. Sands. The composition comprises a mixture of two polysiloxane components, the first of which is a dyeable component comprising a primary or secondary aminoalkyl- or aminoalkoxyalkylpolysiloxane fluid having an amine functionality in the range of 4-7 percent and being substantially free of other reactive groups. The second component is a lubricant component comprising a polydialkyl/arylsiloxane fluid having hydroxy end groups and being substantially free of other reactive  
45 groups. The polypropylene sheet typically is a spunbonded sheet made from isotactic polypropylene.

U.S. Patent No. 3,867,188 to P. E. Campbell and J. G. Kokoszka relates to a spunbonded nonwoven fabric which is especially useful as a carpet backing. The fabric has on it a silicone-glycol copolymer having the general formula:



- 50 in which G is a radical of the structure  $-\text{R}(\text{C}_3\text{H}_5)_z\text{OH}$ , R is an alkylene radical containing from 1 to 18 carbon atoms, x has an average value of from 40-90, y has an average value of from 1-10, and z has an average value of from 1-10.

U.S. Patent No. 3,929,509 to H. T. Taskier describes a hydrophilic microporous film which is useful as a  
55 battery separator. The film comprises a hydrophobic microporous film coated with a silicone glycol copolymer surfactant, preferably at a level of from 2 to 20 percent by weight, based on the uncoated film. In preferred embodiments, the surfactant coating comprises a mixture of a silicone glycol copolymer surfactant and a second surfactant which preferably is an imidazoline tertiary amine. The silicone glycol copolymer surfactant preferably is a polyoxyethylene polymethylsiloxane.

A yarn finish formulation is disclosed in U.S. Patent No. 4,105,569 to R. J. Crossfield. In preferred embodiments, the formulation contains a hydrocarbon-soluble, long molecular chain polymeric viscosity improver, such as polyisobutylene, and a polysiloxane. Preferably, the polysiloxane is an alkoxyated polysiloxane, such as a dimethylpolysiloxane with substituted polyethylene glycol or polypropylene glycol side chains or mixed polyethylene/polypropylene glycol side chains.

U.S. Patent No. 4,563,190 to R. Töpfl describes a siloxane/oxyalkylene copolymer as an optional component of a dyeing assistant for dyeing or printing polyamide fiber material with anionic dyes. See also U.S. Patent Nos. 4,444,563 to H. Abel and 4,426,203 to H. Abel and J. Oxè.

U.S. Patent No. 4,645,691 to I. Ona and M. Ozaki describes a method for treating materials with organopolysiloxane compounds. The method involves applying to the material a composition containing a silicone compound which has one or more alkoxyalkyl groups and one or more polyoxyalkylene groups. The materials to be treated preferably are fibers and fiber-containing materials.

For a limited review of similar applications of silicones, see A. J. Sabia and R. B. Metzler, *Nonwovens Ind.*, 14, 16 (1983). Also note British Patent No. 1,273,445 [Chem. Abstr., 76: 89559z (1972)], which describes the use of a block polysiloxane, among other materials, in the preparation of a leather substitute.

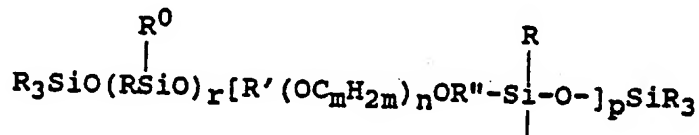
It may be noted that the above review briefly discusses polysiloxanes which have been modified by inclusion of a poly(oxyalkylene) moiety; such modified polysiloxanes can be employed in the composition of the present invention as an additive.

A modified polysiloxane in which the poly(oxyalkylene) moiety is a poly(oxypropylene) is described in U.S. Patent No. 3,867,188 to P. E. Campbell and J. G. Kokoszka. The modified polysiloxane apparently is employed as a lubricant which coats a spunbonded nonwoven fabric. The fabric, in turn, is employed as a carpet backing. The addition of the modified polysiloxane to the backing is stated to reduce damage to the backing which results from the tufting process used to manufacture the carpet.

Additionally, polysiloxanes have been used in the manufacture of films. For example, U.S. Patent No. 4,652,489 describes a sealable, opaque polyolefinic multilayer film. The film is composed of a polypropylene base layer, a nonsealable surface layer, and a sealable surface layer. The nonsealable layer is a combination of a propylene homopolymer and a slip agent which preferably is a polydiorganosiloxane. The polydiorganosiloxane is used in an amount of from about 0.3 to about 2.5 percent by weight and preferably comprises a polymethylphenylsiloxane or a polydimethylsiloxane.

Finally, several references are known which are or may be of interest in relation to the additive when it contains a disubstituted siloxane. Such references are described below.

Siloxane-oxyalkylene block copolymers are disclosed in U.S. Patent No. 3,629,308 to D. L. Bailey and A. S. Pater. The copolymers are stated to be particularly useful as a foam stabilizer in the production of polyurethane resin foams. The copolymers are represented by the formula:



in which R is a monovalent hydrocarbon group, R<sup>0</sup> is hydrogen or a monovalent hydrocarbon group, R' is hydrogen or a monovalent hydrocarbon group, R'' is a divalent hydrocarbon group, r has a value of at least 0, m is an integer that has a value of at least 2, n is a number that has a value of at least 1 (preferably at least 4), p is a number that has a value of at least 1, there are not more than three hydrogen atoms represented by R<sup>0</sup> in the copolymer (preferably less than one or none), and at least 25 weight-percent of the groups represented by (OC<sub>m</sub>H<sub>2m</sub>) are oxyethylene groups.

U.S. Patent No. 4,150,013 to J. O. Punderson describes melt-processible tetrafluoroethylene copolymers containing organopolysiloxanes which are useful as wire insulation coatings. The organopolysiloxane is present in an amount of between about 0.2 and 5 percent by weight, based on the weight of the resulting copolymer composition. Representative organopolysiloxanes include polyphenylmethylsiloxane, polydimethylsiloxane, polymethylsiloxane, a copolymer of phenylmethylsiloxane and dimethylsiloxane, and the like.

A high viscosity silicone blending process is disclosed in U.S. Patent No. 4,446,090 to E. M. Lovgren et al. The blends produced by the process are stated to have engineering properties and flame retardance superior to known blends. The process involves (a) melting a solid thermoplastic composition comprising one or more thermoplastic polymers within an extruder, (b) injecting a high viscosity silicone fluid into the molten thermoplastic composition within the extruder, and (c) blending said molten thermoplastic composi-

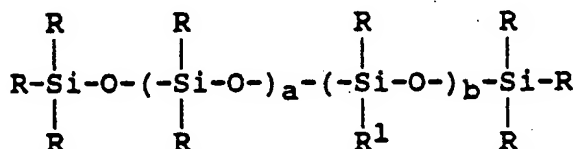


tion with said high viscosity silicone fluid within the extruder. The thermoplastic compositions include polyethylene and polypropylene. The silicone fluid typically is a polydimethylsiloxane. The blend can contain such additives as reinforcing fillers, antioxidants, lubricants, flame retardants; and the like. The additives can be introduced by means of the thermoplastic polymers, the silicone fluid, or both. Typical flame retardants include magnesium stearate, calcium stearate, barium stearate, antimony oxide, and decabromodiphenyloxide.

Siloxane-containing polymers are described in U. S. Patent Nos. 4,480,009 and 4,499,149 to A. Berger. The properties of polymeric compositions are stated to be improved by the presence of a polysiloxane unit having a defined formula. The listing of polymers, however, does not include polyolefins. The disclosed compositions apparently are useful as protective coatings and as molding, extruding, laminating, and calendaring compositions. Solutions of the compositions can be used to prepare films and fibers.

U.S. Patent No. 4,500,659 to L. A. Kroupa and E. H. Relyea relates to extrudable, curable polyorganosiloxane compositions. The compositions are similar to those of U.S. Patent No. 4,585,830, described below. In the present case, the compositions comprise (A) a liquid triorganosiloxy end-blocked polydimethylsiloxane wherein the triorganosiloxy units are dimethylvinylsiloxy or methylphenylvinylsiloxy; (B) a reinforcing silica filler which has been reacted with a liquid or solubilized treating agent, at least one component of which is a liquid hydroxy end-blocked polyorganosiloxane wherein at least 50 percent of the silicon atoms are bonded to a fluorine-substituted hydrocarbon radical; (C) a liquid methylhydrogensiloxane having an average of at least three silicon-bonded hydrogen atoms per molecule; and (D) a platinum-containing catalyst. The bonded treating agent for the silica filler would be incompatible, i.e., insoluble, with the polydimethylsiloxane component if it were not bonded to the silica.

Olefin polymer compositions containing silicone additives are described in U.S. Patent No. 4,535,113 to G. N. Foster and R. B. Metzler. The compositions apparently can be extruded through relatively narrow die gaps at commercial extrusion rates to provide films having improved optical and mechanical properties. The silicone additives have the formula,



in which each R, which can be the same or different, is an alkyl radical preferably having from one to six carbon atoms, R<sub>1</sub> is a monovalent organic radical containing at least one ethylene oxide group, vicinal epoxy group, or amino group, and a and b, which can be the same or different, each have a value of at least 1 and generally have a value of from about 4 to about 5,000. The silicone additives typically are present in the compositions in an amount of from about 0.01 to about 5 percent by weight.

U.S. Patent No. 4,585,830 to R. P. Sweet describes polyorganosiloxane compositions useful for preparing unsupported extruded profiles. Such compositions are stated to include a triorganosiloxy end-blocked polydiorganosiloxane containing at least two vinyl radicals per molecule, in which at least 50 percent of the silicon-bonded organic radicals are methyl; and an organohydrogen-siloxane containing at least two silicon-bonded hydrogen atoms per molecule, in which said hydrogen atoms are bonded to different silicon atoms. Examples of such two types of compounds are dimethylvinylsiloxy end-blocked polydimethylsiloxanes and trimethylsiloxy end-blocked dimethylsiloxane/methylhydrogensiloxane copolymers, respectively.

From the foregoing, it is evident that surfactants have been added to polymers to impart a hydrophilic character to the surface of the shaped article made from the polymer. These efforts appear to fall into either of two categories. In the first category, the surfactant is compatible with the polymer at ambient conditions, in which case the shaped article must be bloomed or heated after formation thereof to bring the surfactant to the surface. However, the surfactant is incompatible at melt-extrusion temperatures. In the second, the surfactant diffuses spontaneously to and remains on the surface of the shaped article because it is incompatible with the polymer at any temperature. Such incompatibility at melt-extrusion temperatures prevents or severely limits the use of such surfactants in the formation of melt-extruded fibers because the surfactant interferes with the continuous formation of fibers. Thus, in spite of the effort carried out to date, there is a pronounced need for a means of modifying the surface characteristics of fibers and films prepared from a thermoplastic polymer which avoids the disadvantages of known methods.

This need has been met by the incorporation of defined siloxane-containing additives in a thermoplastic

polymer. Upon melt-extruding the compositions disclosed therein, however, decomposition of additive and/or polymer occasionally is observed with siloxane-containing additives having poly(oxyalkylene) moieties, particularly with extrusion equipment having residence times greater than about 10-15 minutes. Such decomposition is evidenced as a smoke which exudes from the screw pumps and other parts of the extrusion equipment. Consequently, there is a need for a method of reducing or eliminating such decomposition.

### Summary of the Invention

It therefore is an object of the present invention to provide a surface-segregatable, melt-extrudable thermoplastic composition.

It also is an object of the present invention to provide a surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer and at least one defined additive.

It further is an object of the present invention to provide a stabilized surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer, at least one siloxane-containing primary additive, and at least one siloxane-containing stabilizing additive.

It also is an object of the present invention to provide a surface-segregatable, melt-extrudable thermoplastic composition comprising at least one thermoplastic polymer and at least one defined additive, which additive surface segregates in a controllable manner upon melt processing the composition to form a fiber or film with a well-defined interfacial surface, effective surface, subsurface, and core concentration gradient of the additive which imparts to the fiber or film at least one desired characteristic which otherwise would not be present.

It also is an object of the present invention to provide a stabilized surface-segregatable, melt-extrudable thermoplastic composition comprising at least one thermoplastic polymer, at least one siloxane-containing primary additive, and at least one siloxane-containing stabilizing additive, which additives rapidly surface segregate in a controllable manner upon melt processing the composition to form a fiber or film with a well-defined interfacial surface, effective surface, subsurface, and core concentration gradient of additives which imparts hydrophilicity to the fiber or film surface.

A further object of the present invention is to provide a surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer and at least one defined additive which preferably contains at least one tetrasubstituted disiloxanylene group.

Another object of the present invention is to provide a stabilized surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer, at least one primary additive, and at least one stabilizing additive, each of which additives preferably contains at least one tetrasubstituted disiloxanylene group.

Yet another object of the present invention is to provide a fiber or film made from a surface-segregatable, melt-extrudable thermoplastic composition comprising at least one thermoplastic polymer and at least one defined additive, which fiber or film has at least one surface characteristic different from the surface characteristics of the polymer component of said composition.

Still another object of the present invention is to provide a fiber or film made from a stabilized surface-segregatable, melt-extrudable thermoplastic composition comprising at least one thermoplastic polymer, at least one siloxane-containing primary additive, and at least one siloxane-containing stabilizing additive, the surface of which fiber or film is hydrophilic.

These and other objects will be apparent to those having ordinary skill in the art from a consideration of the specification and claims which follow.

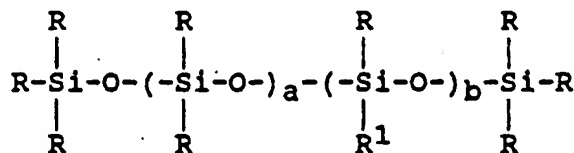
Accordingly, the present invention provides a surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer and at least one additive having at least two moieties, A and B, in which:

(A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(B) moiety B has at least one functional group which imparts to said additive at least one desired characteristic;

(C) the molecular weight of said additive is in the range of from about 400 to about 15,000; and

(D) the weight ratio of said polymer to said additive is in the range of from about 1 to about 1,000; with the proviso that said additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1.

The present invention also provides a stabilized surface-segregatable, melt-extrudable thermoplastic composition which comprises:

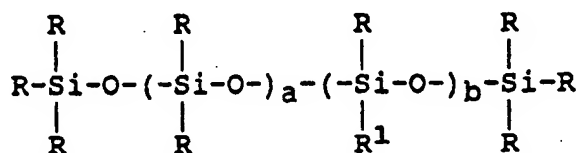
(A) at least one thermoplastic polymer;

(B) at least one siloxane-containing primary additive having at least two moieties, A' and B', in which:

(1) said primary additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A' and moiety B', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(2) moiety B' has at least one functional group which is a poly(oxyalkylene) moiety; and

(3) the molecular weight of said primary additive is in the range of from about 400 to about 15,000; with the proviso that said primary additive cannot be a compound having the general formula,



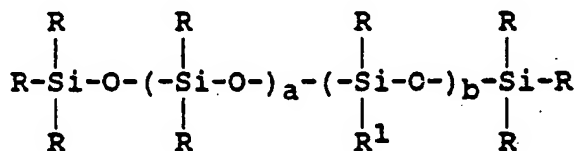
in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1; and

(C) at least one siloxane-containing stabilizing additive having at least two moieties, A'' and B'', in which:

(1) said stabilizing additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A'' and moiety B'', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(2) moiety B'' has at least one functional group which is an aliphatic or cycloaliphatic amino group; and

(3) the molecular weight of said stabilizing additive is in the range of from about 400 to about 15,000; with the proviso that said stabilizing additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one amino group; and a and b, which can be the same or different, each have a value of at least 1;

in which thermoplastic composition:

(a) said primary additive is present at a level in the range of from about 0.1 to about 50 percent by weight, based on the weight of said polymer; and

(b) said stabilizing additive is present at a level in the range of from about 0.1 to about 5 percent by weight, based on the weight of said primary additive.

5 In preferred embodiments, the additive is a siloxane-containing compound.

In other preferred embodiments, moiety A (or moiety A' or moiety A'') comprises at least one tetrasubstituted disiloxanylene group, optionally associated with one or more groups selected from the group consisting of tri-substituted silyl and trisubstituted siloxy groups, the substituents of all such groups being independently selected from the group consisting of monovalent alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and moiety B (or moiety B' or moiety B'', as appropriate).

10 In still other preferred embodiments, the additive (or the primary additive or the stabilizing additive) contains a plurality of groups selected from the group represented by the following general formulae:

- (1) B-.
- 15 (2) B<sub>2</sub>-O-
- (3) R<sub>1</sub>-.
- (4) R<sub>2</sub>-Si=.
- (5) (R<sub>3</sub>)(R<sub>4</sub>)(R<sub>5</sub>)Si-
- (6) (R<sub>6</sub>)(R<sub>7</sub>)(R<sub>8</sub>)Si-O-
- 20 (7) [-Si(R<sub>9</sub>)(R<sub>10</sub>)-O-]<sub>c</sub>, and
- (8) [-Si(R<sub>11</sub>)(B<sub>3</sub>)-O-]<sub>d</sub>;

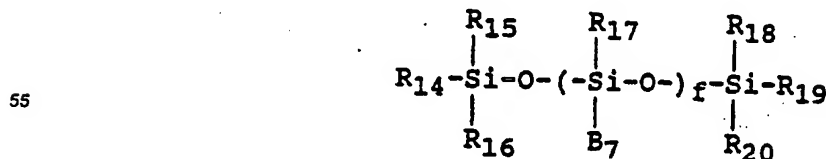
in which each of R<sub>1</sub> and R<sub>2</sub> independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of R<sub>3</sub>-R<sub>5</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and B<sub>4</sub>; each of R<sub>6</sub>-R<sub>11</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted; each of c and d independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances 25 when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer or that all of such groups have identical substituents; and each of B<sub>1</sub>-B<sub>4</sub>, inclusive, independently is a moiety which imparts to the additive at least one desired (or required) characteristic; with the proviso that such plurality of groups results in at least one tetrasubstituted disiloxanylene group.

30 In other preferred embodiments, the additive (or the primary additive or the stabilizing additive) is a compound having the general formula,



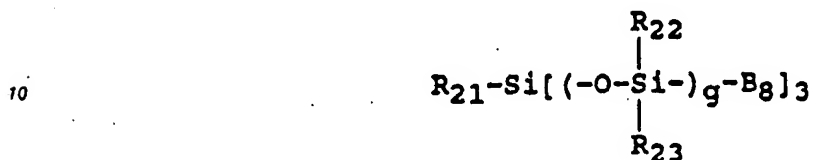
45 in which each of R<sub>12</sub> and R<sub>13</sub> independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of B<sub>5</sub> and B<sub>6</sub> independently is a monovalent group having a desired (or required) characteristic; and e represents an integer from 2 to about 100.

50 In still other preferred embodiments, the additive (or the primary additive or the stabilizing additive) is a compound having the general formula,



in which each of  $R_{14}$ - $R_{20}$ , inclusive, independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted;  $B_7$  is a monovalent group having a desired (or required) characteristic; and  $f$  represents an integer from 1 to about 100.

6 In yet other preferred embodiments, the additive (or the primary additive or the stabilizing additive) is a compound having the general formula,



15 in which each of  $R_{21}$ - $R_{23}$ , inclusive, independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted;  $B_8$  is a monovalent group having a desired (or required) characteristic; and  $g$  represents an integer from 1 to about 100.

The surface-segregatable, melt-extrudable composition of the present invention is adapted to processing by melt extrusion to form a fiber or film having a differential, increasing concentration of the additive (or primary additive and stabilizing additive) from the center to the surface thereof, such that the concentration of additive (or primary additive and stabilizing additive) in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive (or primary additive and stabilizing additive) in the core of the fiber or film and imparts to the surface of the fiber or film at least one desired (or required) characteristic which otherwise would not be present. The additive (or primary additive and stabilizing additive) is miscible with said polymer at melt extrusion temperatures, under which conditions the additive (or primary additive and stabilizing additive) and the polymer form a metastable solution. As the temperature of the newly formed fiber or film drops below melt extrusion temperatures, the additive (or primary additive and stabilizing additive) becomes significantly less compatible with the polymer. Concurrent with this marked change in compatibility, the polymer begins to solidify. Both factors contribute to the rapid migration or segregation of the additive (or primary additive and stabilizing additive) which takes place in a controllable manner.

The present invention also provides a method for preparing a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, such that the concentration of additive in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive in the core of the fiber or film, thereby imparting to the surface of the fiber or film at least one desired characteristic which otherwise would not be present, which method comprises melting a mixture of at least one thermoplastic polymer and at least one additive having at least two moieties, A and B, and extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000  $\text{sec}^{-1}$  and a throughput of from about 0.01 to about 5.4 kg/cm/hour, in which:

40 (A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

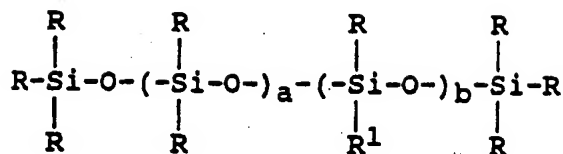
45 (B) moiety B has at least one functional group which imparts to said additive at least one desired characteristic;

(C) said additive is miscible with said polymer at melt extrusion temperatures, under which conditions said additive and said polymer form a metastable solution, but as the temperature drops below melt extrusion temperatures, said additive becomes significantly less compatible with said polymer and, concurrently, the polymer begins to solidify, with both events contributing to the rapid, controlled surface segregation of said additive;

(D) the molecular weight of said additive is in the range of from about 400 to about 15,000; and

(E) the weight ratio of said polymer to said additive is in the range of from about 6 to about 350; with the proviso that said additive cannot be a compound having the general formula,

55



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1.

The present invention further provides a method of stabilizing a thermoplastic composition which is to be melt-extruded to form a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, such that the concentration of additive in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive in the core of the fiber or film, thereby imparting hydrophilicity to the surface of the fiber or film, which method comprises:

(A) melting a thermoplastic composition which comprises:

(1) at least one thermoplastic polymer;

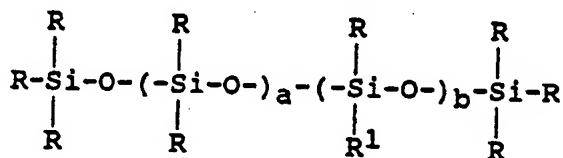
(2) at least one siloxane-containing primary additive having at least two moieties, A' and B', in

which:

(a) said primary additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A' and moiety B', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(b) moiety B' has at least one functional group which is a poly(oxyalkylene moiety); and

(c) the molecular weight of said primary additive is in the range of from about 400 to about 15,000; with the proviso that said primary additive cannot be a compound having the general formula,



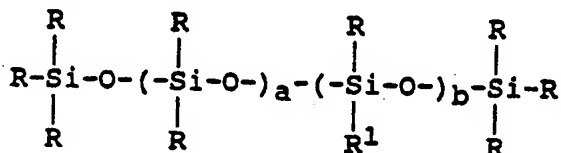
in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1; and

(3) at least one siloxane-containing stabilizing additive having at least two moieties, A'' and B'', in which:

(a) said stabilizing additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A'' and moiety B'', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(b) moiety B'' has at least one functional group which is an aliphatic or cycloaliphatic amino group; and

(c) the molecular weight of said stabilizing additive is in the range of from about 400 to about 15,000; with the proviso that said stabilizing additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl

groups; R<sup>1</sup> is a monovalent organic group containing at least one amino group; and a and b, which can be the same or different, each have a value of at least 1; in which thermoplastic composition:

- (a) said primary additive is present at a level in the range of from about 0.3 to about 17 percent by weight, based on the weight of said polymer; and
- 5 (b) said stabilizing additive is present at a level in the range of from about 0.1 to about 5 percent by weight, based on the weight of said additional additive; and

(B) extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000 sec<sup>-1</sup> and a throughput of from about 0.01 to about 5.4 kg/cm/hour.

10

The present invention further provides a fiber or film which is made from a thermoplastic composition of the present invention, and a nonwoven web comprising fibers made from a thermoplastic composition of the present invention. The present invention also provides a disposable absorbent product, at least one component of which is a nonwoven web comprising fibers made from a thermoplastic composition of the present invention.

15

In certain preferred embodiments, the polymer component of the thermoplastic composition of the present invention is a polyolefin, such as polyethylene and polypropylene. In other preferred embodiments, the polymer is a polyester, such as poly(ethylene terephthalate).

20

The surface-segregatable, melt-extrudable thermoplastic composition of the present invention is useful in the preparation of fibers and films which have at least one surface characteristic which is different from the surface characteristics of the polymer component of the thermoplastic composition. Such thermoplastic composition is particularly useful in the formation of nonwoven webs which are employed in the construction of such disposable absorbent products as diapers, feminine care products, incontinence products, and the like.

25

#### Brief Description of the Drawings

30

Figure 1 is a diagrammatic representation of a wedge of fiber to illustrate certain definitions used throughout the specification and claims.

Figure 2 consists of two hand-drawn representations of photomicrographs of a composition of the present invention, i.e., the fibers of Example 328, taken through a hot-stage microscope at two different temperatures and a magnification of 350X.

35

Figure 3 consists of two hand-drawn representations of photomicrographs of the polymer component only of the fibers of Example 328, taken through a hot-stage microscope at two different temperatures and a magnification of 350X.

40

Figure 4 consists of two hand-drawn representations of photomicrographs of the composition of Example 40 consisting of the polymer component of the fibers of Example 328 and an incompatible silicon-containing compound, taken through a hot-stage microscope at two different temperatures and a magnification of 350X.

Figure 5 consists of two hand-drawn representations of photomicrographs of the composition of Example 45, taken through a hot-stage microscope at two different temperatures and a magnification of 350X.

45

Figure 6 is a diagrammatic representation of a section of melt-pressed film prepared from a composition of the present invention, as described in Examples 131-176, inclusive.

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Figure 7 is a diagrammatic representation of a scanning electron micrograph, using a silicon x-ray probe, of a sample of the film of Example 172, superimposed on the diagrammatic representation of Figure 6, which film was prepared from a composition of the present invention in which the additive was a silicon-containing compound.

Figure 8 is a plot of silicon concentration in atom percent versus depth in Å below the interfacial surface for a sample of the film of Example 172, the data for the plot having been obtained by Rutherford back scattering spectrometry.

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Figure 9 is a diagrammatic representation of a scanning electron micrograph, using a silicon x-ray probe, of a section of the spunbonded nonwoven web of Example 364 prepared from a composition of the present invention, in which the additive was a silicon-containing compound.



Figures 10 and 11 are plots of silicon concentrations in atom percent versus depth in Å below the interfacial surface for the fibers of two spunbonded nonwoven webs made in accordance with the present invention, i.e., Examples 328 and 363, in which the additive was a silicon-containing compound, the data for the plots having been obtained by Rutherford back scattering spectrometry.

Figure 12 consists of two hand-drawn representations of photomicrographs of a composition consisting of the polymer component of the fibers of Example 328 and a surfactant commonly used in a blooming process to render polypropylene fibers wettable, taken through a hot-stage microscope at two different temperatures and a magnification of 350X.

#### Detailed Description of the Invention

A full appreciation of the uniqueness of the present invention requires an understanding of the definitions of various terms used throughout the specification and claims. The definitions, in turn, will be more fully understood by reference to Figure 1.

Figure 1 is a diagrammatic representation of a wedge of a fiber prepared in accordance with the present invention. Fiber 10 can be considered to consist of two major portions, surface 11 and core 15. The latter includes all of the fiber which is not included in surface 11. Surface 11 has three components: interfacial surface 12, effective surface 13, and subsurface 14. The interfacial surface in essence is the monomolecular layer of the fiber which is at the air/polymer (or nonfiber/fiber) interface. The effective surface begins at the interfacial surface and extends into the fiber a distance of about 15 Å. The subsurface lies below the effective surface and extends into the fiber to a depth of about 1,000 Å; thus, the subsurface has a thickness of about 985 Å. Although not illustrated by a drawing, these definitions also apply to films prepared from a composition of the present invention.

In order for the surface of a fiber or film to exhibit the desired characteristic which is not exemplified by the polymer in the absence of the additive, it is not necessary for the additive, and moiety B in particular, to be present at the interfacial surface. Rather, such desired characteristic will be observed if the additive, and moiety B in particular, is within about 15 Å of the interfacial surface because of the conformational changes in the additive which occur spontaneously at ambient conditions. Below about 15 Å, however, these conformational changes usually are not sufficient to make the additive effectively available at the interfacial surface.

Nevertheless, the presence of additive in the subsurface region is important because additive in that region often can be "coaxed" to move into the effective surface region by the application of gentle heat. Moreover, there are some characteristics which do not require the additive to be at either the interfacial surface or effective surface for the additive to be effective with respect thereto. By way of illustration only, examples of such characteristics include ultraviolet radiation stability and degradation inhibition.

In this regard, the term "gentle heat" generally means temperatures in the range of from about 45 to about 110° Celsius for periods of only a few seconds up to about a minute or so. Usually, additive present in the core region can be moved to the effective surface only under conditions which are closer to the prior art blooming procedure discussed earlier.

It should be noted that the term "bulk" is used herein differently from the term "core". As already pointed out, the latter term refers to that portion or region of the fiber or film which is below the subsurface layer or region. The term "bulk", on the other hand, has reference to the entire fiber or film and usually is employed in reference to elemental analyses of fiber or film samples.

As already stated, the surface-segregatable, melt-extrudable thermoplastic composition of the present invention comprises at least one thermoplastic polymer and at least one additive.

The term "melt-extrudable" is equivalent to "melt-processable" and is not intended to be limited in any way. That is, the term is intended to encompass the use of the composition in any melt-extrusion process which is or may be employed to prepare fibers or films, provided the process meets the limitations imposed by the claims. Thus, the term includes the use of the composition in melt-spinning of continuous filaments; meltblowing, spun-bonding, and coforming of nonwoven webs; the casting and blowing of films; and the like.

As a matter of convenience, the present invention is described in detail primarily as applied to the formation of fibers and nonwoven webs. Such description, however, is by way of illustration only and is not intended to in any way limit either the spirit or scope of the present invention.

In general, the term "thermoplastic polymer" is used herein to mean any thermoplastic polymer which can be used for the preparation of fibers or films. Examples of thermoplastic polymers include, by way of illustration only, end-capped polyacetals, such as poly(oxymethylene) or polyformaldehyde, poly-

(trichloroacetaldehyde), poly(n-valeraldehyde), poly(acetaldehyde), poly(propionaldehyde), and the like; acrylic polymers, such as polyacrylamide, poly(acrylic acid), poly(methacrylic acid), poly(ethyl acrylate), poly(methyl methacrylate), and the like; fluorocarbon polymers, such as poly(tetrafluoroethylene), per-fluorinated ethylene-propylene copolymers, ethylene-tetrafluoroethylene copolymers, poly-  
 5 (chlorotrifluoroethylene), ethylene-chlorotrifluoroethylene copolymers, poly(vinylidene fluoride), poly(vinyl fluoride), and the like; polyamides, such as poly(6-aminocaproic acid) or poly( $\epsilon$ -caprolactam), poly-(hexamethylene adipamide), poly(hexamethylene sebacamide), poly(11-aminoundecanoic acid), and the like; polyaramides, such as poly(imino-1,3-phenyleneiminoisophthaloyl) or poly(m-phenylene isophthalamide), and the like; parylenes, such as poly-p-xylylene, poly(chloro-p-xylylene), and the like; polyaryl ethers, such  
 10 as poly(oxy-2,6-dimethyl-1,4-phenylene) or poly(p-phenylene oxide), and the like; polyaryl sulfones, such as poly(oxy-1,4-phenylenesulfonyl-1,4-phenyleneoxy-1,4-phenylene-isopropylidene-1,4-phenylene), poly-(sulfonyl-1,4-phenyleneoxy-1,4-phenylenesulfonyl-4,4'-biphenylene), and the like; polycarbonates, such as poly(bisphenol A) or poly(carbonyldioxy-1,4-phenyleneisopropylidene-1,4-phenylene), and the like; polyesters, such as poly(ethylene terephthalate), poly(tetramethylene terephthalate), poly(cyclohexylene-1,4-  
 15 dimethylene terephthalate) or poly(oxymethylene-1,4-cyclohexylenemethyleneoxyterephthaloyl), and the like; polyaryl sulfides, such as poly(p-phenylene sulfide) or poly(thio-1,4-phenylene), and the like; polyimides, such as poly(pyromellitimido-1,4-phenylene), and the like; polyolefins, such as polyethylene, polypropylene, poly(1-butene), poly(2-butene), poly(1-pentene), poly(2-pentene), poly(3-methyl-1-pentene), poly(4-methyl-1-pentene), 1,2-poly-1,3-butadiene, 1,4-poly-1,3-butadiene, polyisoprene, polychloroprene,  
 20 polyacrylonitrile, poly(vinyl acetate), poly(vinylidene chloride), polystyrene, and the like; copolymers of the foregoing, such as acrylonitrile-butadiene-styrene (ABS) copolymers, and the like; and the like.

The preferred polymers are polyolefins and polyesters, with polyolefins being more preferred. Even more preferred are those polyolefins which contain only hydrogen and carbon atoms and which are prepared by the addition polymerization of one or more unsaturated monomers. Examples of such  
 25 polyolefins include, among others, polyethylene, polypropylene, poly(1-butene), poly(2-butene), poly(1-pentene), poly(2-pentene), poly(3-methyl-1-pentene), poly(4-methyl-1-pentene), 1,2-poly-1,3-butadiene, 1,4-poly-1,3-butadiene, polyisoprene, polystyrene, and the like. In addition, such term is meant to include blends of two or more polyolefins and random and block copolymers prepared from two or more different unsaturated monomers. Because of their commercial importance, the most preferred polyolefins are  
 30 polyethylene and polypropylene.

From the descriptions thus far, it should be evident that the additive, primary additive, and stabilizing additive are similar, differing, if at all, in the nature of moieties B, B', and B'', respectively. Stated differently, the primary additive and stabilizing additive are subgenera of the additive. Thus, for convenience, the three  
 35 additives will be described generically, with subsequent descriptions as appropriate to clarify the differences among them.

Broadly stated, the additive must have at least two moieties, A and B, in which:

(A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at  
 40 temperatures below melt extrusion temperatures; and

(B) moiety B has at least one functional group which imparts to said polymeric material at least one desired characteristic.

Because the additive is compatible with the polymer at melt extrusion temperatures, the additive is  
 45 miscible with the polymer and the polymer and the additive form a metastable solution. The solution formed by the additive and the polymer at temperatures above melt extrusion temperatures is referred to herein as a metastable solution since the solution is not stable at temperatures below melt extrusion temperatures. As the temperature of the newly formed fiber or film drops below melt extrusion temperatures, the polymer begins to solidify which contributes to additive separating from the polymer phase. At the same time, the  
 50 additive becomes less compatible with the polymer. Both factors contribute to the rapid migration or segregation of additive toward the surface of the newly formed fiber or film which occurs in a controllable manner.

This preferential, rapid migration or segregation is controllable because the extent or degree of migration is, at least in part, a function of the molecular weight of the additive, the shear rate, and the  
 55 throughput. While the mechanism of additive migration or segregation is not fully understood, it appears that the rate of migration or segregation is:

(1) indirectly proportional to the additive molecular weight - the higher the additive molecular weight, the slower the rate of segregation;

- (2) directly proportional to the shear rate - the higher the shear rate, the faster the rate of segregation;  
and  
(3) indirectly proportional to throughput the higher the throughput, the slower the rate of segregation.

5 There are at least three very surprising and unexpected aspects to the present invention. The first is that the additive as defined herein is compatible with the polymer at melt extrusion temperatures, given the fact that moieties A and B, if present as separate compounds, would be incompatible with the polymer at any temperature. The second is that lower molecular weight additives perform better than higher molecular weight additives; this is contrary to the conventional wisdom of polymer additives which favors higher  
10 molecular weights. The third and perhaps most startling aspect is the rapidity with which the segregation of the additive takes place.

As just noted, the effect of additive molecular weight on the rate of segregation was surprising, especially in view of past experiences with polydimethylsiloxane. Upon reflection, it now appears that the movement of lower molecular weight additives through the gradually solidifying polymer is roughly  
15 analogous to the movement of small particles through a viscous fluid - the larger the particles, the greater the resistance to movement through the fluid. This analogy seems appropriate since it has been demonstrated that the additive exists as small globules in the polymer, which globules become smaller as the temperature of the molten composition increases. By imposing shear forces on the molten composition, the globules are broken down into smaller globules far more quickly than would have occurred in the absence  
20 of shear. Thus, shear is a contributing factor which enhances the segregation of the additive to the surface of the newly formed filament.

In general, the shear rate will be in the range of from about 50 to about 30,000  $\text{sec}^{-1}$ . Preferably, the shear rate will be in the range of from about 150 to about 5,000  $\text{sec}^{-1}$ , and most preferably from about 300 to about 2,000  $\text{sec}^{-1}$ .

25 It perhaps should be mentioned at this point that the compatibility requirement is critical. That is, if the additive is not compatible with the polymer at melt-extrusion temperatures, the composition cannot be melt processed to give satisfactory fibers or films.

By way of clarification, it already has been noted that compounds such as polydimethylsiloxane have been incorporated in polymers which were extruded, but not melt processed to give fibers or films. Such  
30 compounds migrated to the surface of the extruded article to provide a lubricated surface to aid further processing or removal from a mold. Because extrusion times were very slow compared to the melt processing times typically experienced in fiber and film formation, migration or segregation rates were not an issue. However, the incompatibility of the added compounds prevents acceptable melt-processing because of discontinuities in fiber formation and holes and other related defects in films. In addition, such  
35 compounds often reduce friction within the extruder to the point that the molten mixture rotates essentially as a plug with no downstream movement taking place.

Finally, throughput is of importance because it affects the time the newly formed fiber or film is in a sufficiently molten or fluid state to allow migration or segregation of the additive to the newly formed surfaces, even though throughput also affects the shear rate. Stated differently, it is possible to control the  
40 rate of migration or segregation by controlling the rate of cooling of the newly formed fiber or film. Thus, for any given molecular weight additive, the extent of migration can be reduced by rapidly cooling the fiber or film. Alternatively, migration can be enhanced by reducing the rate of cooling.

Throughput typically will be in the range of from about 0.01 to about 5.4 kg/cm/hour. Preferably, throughput will be in the range from about 0.1 to about 4.0 kg/cm/hour. The throughput most preferably will  
45 be in the range of from about 0.5 to about 2.5 kg/cm/hour.

As used herein, the phrase "molten state" does not necessarily mean "flowable". Rather, the term is used to denote a condition of the thermoplastic composition in which the additive molecules still are capable of migrating or segregating to the surface of the newly formed fiber or film. Thus, the term is somewhat imprecise and not readily subject to accurate measurement. Consequently, this composition  
50 fluidity factor preferentially is described or accounted for by the term "throughput".

The controlled migration or segregation of additive toward the surface of the fiber or film results in a controllable differential concentration of additive in the fiber or film. If measurable migration is allowed to occur, the concentration of the additive in the fiber or film will increase with increasing distance from the center thereof. By the proper selection of additive, additive molecular weight, shear rate, and throughput (or  
55 rate of cooling), a substantial amount, or perhaps even all, of the additive can be found in the surface. Because the concentration of additive in the core of the fiber or film typically will vary nonlinearly from the concentration of the additive in the surface, this concentration difference is referred to herein as a differential concentration.

While the additive can be either a liquid or a solid, a liquid is preferred. It also is preferred that a liquid additive have a surface tension which is less than that of virgin polymer; the lower surface tension assures that the additive will be more likely to completely "wet" or cover the surface of the fiber or film as the segregation process proceeds to completion, especially under conditions favoring a large concentration differential.

As already noted, additive surface segregation is influenced by the molecular weight of the additive. More specifically, the lower the molecular weight of the additive, the more rapid is the rate of segregation of the additive to the surface of the fiber or film at any given temperature at which the fiber or film still is in a sufficiently molten state.

It should be apparent that the additive can be monomeric, oligomeric, or polymeric. Indeed, polymeric additives are required in order to achieve the higher additive molecular weights permitted by the present invention. Because lower additive molecular weights are preferred, the preferred additives perhaps are properly referred to as oligomers. However, such nomenclature can be misleading and reliance instead should be placed on the molecular weight of the additive and the other parameters already described. It is for this reason that the additive is not referred to as a polymeric additive, even though in many instances the additive will be oligomeric or polymeric in nature.

As already stated, the additive molecular weight will be in the range of from about 400 to about 15,000. This range encompasses suitable additive molecular weights, regardless of whether the additive is to be used to prepare a fiber or a film. As a practical matter, however, the suitable additive molecular weight range for fibers is not as broad as that for films because fiber formation generally takes place much more quickly than does film formation. Moreover, the additive molecular weight range also depends in part on whether or not an additive will be used by itself or in a mixture of additives.

Accordingly, the molecular weight range for additives which are to be used individually in compositions for fiber formation and not as part of a mixture of additives typically is from about 400 to about 3,000. Preferably, this range is from about 500 to about 2,000, and more preferably from about 500 to about 1,500. The most preferred range is from about 500 to about 1,000.

When additives are intended to be used in a mixture intended for incorporation in fiber-forming compositions, however, higher molecular weights can be employed. Although the reasons for this are not clearly understood, mixtures of additives frequently are more compatible with the polymer at melt-extrusion temperatures than are the individual additives. Although the selection of additive mixtures is somewhat empirical, in general such mixtures can utilize additives having molecular weights in the range of from about 400 to about 10,000 and preferably from about 400 to about 8,000. Fortunately, the hot stage microscope studies described in the Examples can be used as a simple screening method to estimate whether or not a given mixture can be used successfully.

In this regard, some clarification of the term "used successfully" is necessary. The successful use of an additive or a mixture of additives has reference to two factors. First, the additive or additive mixture must segregate to the target zone in order to achieve the intended properties. For example, if water-wettable fibers are desired, the additive or additive mixture must segregate to either or both of the interfacial surface and the effective surface of the fibers, unless a mild post-formation heat treatment is going to be included in the process. Second, the composition containing the additive or additive mixture must process well enough in commercial-scale equipment to give a web or fabric, or a film, having the required aesthetic and physical properties.

When an additive is to be used for the preparation of a film, the additive molecular weight typically will be in the range of from about 400 to about 15,000. This range preferably will be from about 500 to about 8,000, and most preferably from about 500 to about 4,000. As with additives intended for fiber formation, film-formation compositions also may use additive mixtures, in which case the upper limit of the specified molecular weight ranges can be somewhat higher.

It should be noted that the foregoing molecular weight ranges are based on the assumption that oligomeric or polymeric additives will have relatively broad polydispersities, e.g., of the order of about 1.2. While narrow polydispersities certainly are achievable, usually at a higher cost, they are not necessary in the practice of the present invention, even if relatively low molecular weight additives are to be employed. As a guideline, it may be noted that for a given additive, the average molecular weight of an additive having a narrower polydispersity usually should be slightly lower than the average molecular weight of an additive having a broad polydispersity. While this guideline is not precise and is somewhat empirical in nature, one skilled in the art will be able to properly select an additive of any polydispersity without undue experimentation.

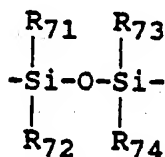
The term "additive" is used broadly herein to encompass the use of two or more additives in a given composition. Such two or more additives may have the same or similar moieties B, or different moieties B

having the same characteristic, e.g., water wettability. On the other hand, two or more additives may be used which have different characteristics, which characteristics may be related or unrelated. Such two or more additives may be present in similar or significantly different amounts. Moreover, the additives may have the same or similar molecular weights in order to segregate in the fiber or film to approximately the same region. Alternatively, different molecular weight additives may be employed in order to effectively layer the additives in the surface.

The use of different molecular weight additives is especially attractive for some characteristics which reinforce each other, an example of which is the use of a first additive having a moiety B which is an absorber of ultraviolet radiation and a second additive having a light stabilizing or degradation inhibiting moiety B which functions by deactivating excited oxygen molecules or terminating free radicals. The first additive normally will have a lower molecular weight than the second. While both additives segregate to the surface, the first additive migrates primarily to the effective surface, while the second additive migrates primarily to the subsurface. Thus, actinic radiation which is not absorbed by the first additive is effectively nullified by the second additive. The result is a complimentary or even synergistic effect which is greater than that which would be achieved if the two additives were commingled in the same region.

The additive preferably is a material which will be referred to herein loosely as a siloxane. When the additive is a siloxane, moiety A will comprise at least one tetrasubstituted disiloxanylene group, optionally associated with one or more groups selected from the group consisting of trisubstituted silyl and trisubstituted siloxy groups, the substituents of all such groups being independently selected from the group consisting of monovalent alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted. As a practical matter, moiety A often will consist of all three groups. Moreover, more than one tetrasubstituted disiloxanylene group often will be present, particularly when the additive has an appreciable molecular weight.

As used herein, the term "tetrasubstituted disiloxanylene group" means a group having the following general formula:



in which each of  $R_{71}$ - $R_{74}$ , inclusive, is a monovalent group independently selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups.

As noted, the substituents of the groups comprising moiety A can be alkyl, cycloalkyl, aryl, or heterocyclic groups which may be the same or different and which in turn may be substituted or unsubstituted. Other than the obvious requirement that such substituents not adversely affect additive stability or other properties, there are no known limitations to such substituents. However, for reasons relating primarily to commercial availability and ease of synthesis, such substituents preferably are alkyl groups and more preferably are unsubstituted alkyl groups having from 1 to 3 carbon atoms. Most preferably, such substituents are methyl groups.

More specifically, the additive preferably contains a plurality of groups selected from the group represented by the following general formulae, it being understood that not all groups need to be present and that the presence of some groups precludes the presence of others:

- (1)  $B_1-$ ,
- (2)  $B_2-O-$ ,
- (3)  $R_1-$ ,
- (4)  $R_2-Si-$ ,
- (5)  $(R_3)(R_4)(R_5)Si-$ ,
- (6)  $(R_6)(R_7)(R_8)Si-O-$ ,
- (7)  $[-Si(R_9)(R_{10})-O-]_n$ , and
- (8)  $[-Si(R_{11})(B_3)-O-]_m$

in which each of  $R_1$  and  $R_2$  independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of  $R_3$ - $R_5$ , inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and  $B_4$ ; each of  $R_6$ - $R_{11}$ , inclusive, independently is a monovalent group selected from the

group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted; each of c and d independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer or that all of such groups have identical substituents; and each of B<sub>1</sub>-B<sub>4</sub>, inclusive, independently is a moiety which imparts to the additive at least one desired characteristic; with the proviso that such plurality of groups results in at least one tetrasubstituted disiloxanylene group.

Molecular weight limitations, if desired, are readily achieved by limiting the sum of c and d to the extent required to achieve the desired molecular weight.

Turning now to the primary additive and the stabilizing additive, the former is a siloxane-containing compound in which moiety B' has at least one functional group which is a poly(oxyalkylene) moiety. In all other respects the primary additive is identical with the additive. The stabilizing additive also is a siloxane-containing compound. However, moiety B' has at least one functional group which is an aliphatic or cycloaliphatic amino group.

In general, the preparation of the siloxane moiety is well known to those having ordinary skill in the art. Siloxanes that have reactive groups, such as H-Si≡, RO-Si≡, and Cl-Si≡, are used as starting products. Such materials are prepared either by hydrolysis of, e.g., methylchlorosilanes or by copolymerization of cyclic or linear polymethylsiloxanes with functional siloxanes. See, for example, W. Noll, "Chemistry and Technology of Silicones," Academic Press, New York, 1968; and R. Meals, "Encyclopedia of Chemical Technology," Vol. 18, 2nd Edition, 1969, p.221.

Turning now to moiety B, it is this moiety which must have at least one functional group which imparts to the additive at least one desired characteristic. Because the additive migrates or segregates toward the surface of the filament upon its formation, it is the presence of moiety B in the surface of the filament which results in such surface acquiring the at least one characteristic of moiety B. Such at least one characteristic clearly would not be found in the surface of the filament in the absence of the additive. Examples of such characteristics include, by way of illustration only and without limitation, wettability by water or other polar solvents, preferential wettability by alcohols, enhanced hydrophobicity which contributes to a nonstaining surface, and stability to actinic radiation, especially ultraviolet radiation.

It perhaps should be noted at this point that the term "functional group" refers to that portion of moiety B which imparts the desired at least one characteristic; the term is not to be equated to "reactive", although a group which also is reactive is not precluded by the term "functional group".

Moiety B need not be limited to a single desired characteristic. Alternatively, the additive can contain two or more moieties B which have different characteristics. For example, a moiety B may have a wettable group and a group which is stable to actinic radiation or a group which absorbs ultraviolet radiation and a group which inhibits actinic radiation-induced degradation, or one moiety B may have a wettable group while a second moiety B is stable to actinic radiation.

The point of attachment of moiety B to moiety A is not known to be critical. For example, when moiety A is a siloxane, moiety B can be a substituent of any one or more of the tetrasubstituted disiloxanylene, trisubstituted silyl, and trisubstituted siloxy groups which may be present.

Those having ordinary skill in the art, upon determining the characteristic or characteristics desired for any given additive, will know what functional group or groups may be required for moiety B. In other words, the selection of functional groups is well within the abilities and understanding of one having ordinary skill in the art in view of the teaching herein. In order to illustrate the principles involved, though, several preferred embodiments will be described in detail.

To obtain a fiber or film having a surface which is water wettable and moiety A of the additive is a siloxane, moiety B preferably is a poly(oxyalkylene) moiety, in which case moiety B is identical with moiety B'. Such additives, then, make up the subgenus identified herein as primary additives. More preferably, the alkylene portion of such moiety, i.e., either B or B', will contain from 2 to about 6 carbon atoms. Most preferably, moiety B (or moiety B') is a poly(oxyalkylene) moiety in which the oxyalkylene repeating units are oxyethylene or oxypropylene or a mixture thereof.

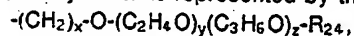
Moiety B' also need not be limited to a single desired characteristic. That is, the primary additive can contain two or more moieties B' which have different characteristics. For example, a moiety B' may have both a hydrophilic group and a group which is stable to actinic radiation. Alternatively, one moiety B' may have a hydrophilic group while a second moiety B' is stable to actinic radiation.

The point of attachment of moiety B' to moiety A' is not known to be critical. For example, moiety B' can be a substituent of any one or more of the tetrasubstituted disiloxanylene, trisubstituted silyl, and trisubstituted siloxy groups which may be present.

References which disclose polysiloxanes containing one or more poly(oxyalkylene) moieties suitable for

use as the additive include, among others, U.S. Patent Nos. 2, 836,748, 2,917,480, 2,991, 300, 2,991,301, 3,168,543, 3,172,899, 3,236,252, 3,278,485, 3,280,160, 3,299,113, 3,356,758, 3,402,192, 3,480,583, 3,505,377, 3,509,192, 3,530,159, 3,600,418, and Re. 27,541; Belgian Patent No. 627,281; British Patent Nos. 892,819, 954,041, 963,437, 981,811, 981,812, 1,073,368, and 1,098,646; French Patent Nos. 1,259,241, 1,356,962, 1,411,757, 1,413,125, 1,482,133, 1,511,661, 1,520,444, and 1,179,743; German Published Specification (Offenlegungsschrift) Nos. 1,495,927, 1,570,656, 1,595,730, 2,045,360, and 2,555,053; German Patent Nos. 1,235,594, 1,257,433, 1,301,576, 1,570,647, and 1,195,953

By way of illustration only, three types of additives for imparting water wettability to the surfaces of filaments, referred to hereinafter as types A, B, and C, respectively, are described below with reference to the plurality of preferred groups described earlier. In each case, moiety B is an oxyalkylene-containing moiety which is represented by the following general formula:

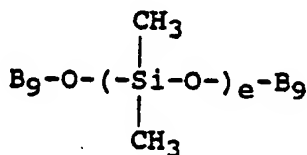


in which  $R_{24}$  is a monovalent group selected from the group consisting of hydrogen and lower alkyl;  $x$  represents an integer from 0 to about 3; and each of  $y$  and  $z$  independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer. These materials, of course, can be used either as additives or primary additives.

#### Type A Additives

The first type, which is most preferred, consists of groups of formulae 1, 2, and 7, in which each of  $R_9$  and  $R_{10}$  independently is an alkyl group containing from 1 to 3 carbon atoms;  $R_{24}$  is an alkyl group containing from 1 to 4 carbon atoms;  $a$  is in the range of from 3 to about 60;  $x$  is 0;  $y$  is in the range of from about 5 to about 25; and  $z$  is in the range of from about 0 to about 25.

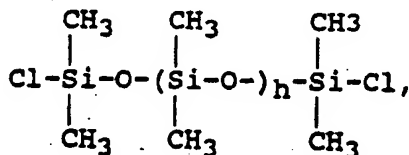
Specific examples of type A additives, by way of illustration only, include materials having the following general formula:



in which  $B_9$  is  $-(C_2H_4O)_y(C_3H_6O)_z-R_{24}$ , where  $e$ ,  $y$ ,  $z$ , and  $R_{24}$  are as already defined.

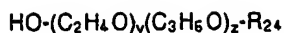
Commercially available additives of this type include TEGOPREN BC-1781, in which  $e$  has an average value of 5.5,  $R_{24}$  is *n*-butyl, and the ethylene oxide/propylene oxide weight percent ratio in  $B_9$  is 40/60; TEGOPREN D-985, in which  $e$  has an average value of 4.3,  $R_{24}$  is methyl, and the ethylene oxide/propylene oxide weight percent ratio in  $B_9$  is 70/30; and TEGOPREN V-337, in which  $e$  has an average value of 4,  $R_{24}$  is methyl, and the ethylene oxide/propylene oxide weight percent ratio in  $B_9$  is 100/0.

Type A additives in general are prepared by heating silicon with, e.g., chloromethane in the presence of a copper catalyst at about 300° C to give dichlorodimethyl silane (see, e.g., U.S. Patent No. 2,380,995 to E. G. Rochow) which, when reacted with water, gives a polymer having the following general formula:



where  $h$  is an integer representing the number of repeating units in the molecule. See, for example, B. B. Hardman and A. Torkelson, "Encyclopedia of Chemical Technology," 3rd Edition, John Wiley & Sons, Inc., New York, 1982, pp. 922-962. The polymer then is reacted in the presence of trifluoroacetic acid with an oxyalkylene-containing compound having the general formula,





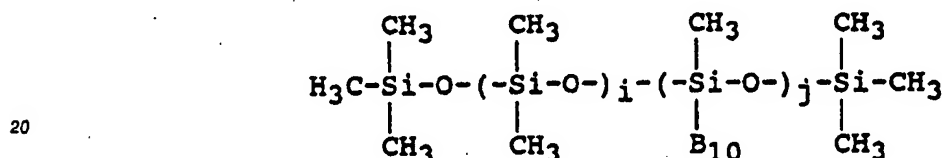
in which  $\text{R}_{24}$ ,  $y$ , and  $z$  are as already defined, to give the additive. See U.S. Patent No. 2,836,748 to D. L. Bailey and F. M. O'Connor. See also U.S. Patent No. 2,917,480, U.S. Patent No. 3,505,377 to E. L. Morehouse, and German Patent No. 1,259,241.

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### Type B Additives

The second type of additives consists of groups of formulae 5-8, inclusive, in which each of  $\text{R}_3$ - $\text{R}_{11}$ ,  
 10 inclusive, independently is an alkyl group containing from 1 to 3 carbon atoms;  $\text{R}_{24}$  is an alkyl group containing from 1 to 4 carbon atoms;  $c$  is in the range of from about 3 to about 30;  $d$  is in the range of from about 1 to about 10;  $x$  is 3;  $y$  is in the range of from about 5 to about 25; and  $z$  is in the range of from about 0 to about 25.

Specific examples of type B additives, also by way of illustration only, include materials having the  
 15 following general formula:

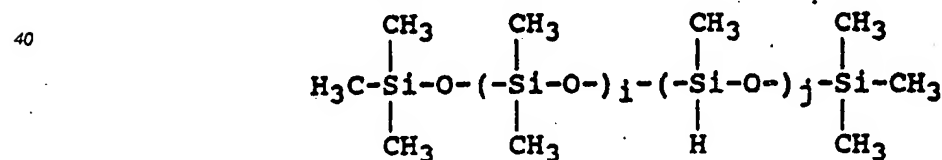


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in which  $\text{B}_{10}$  is  $-(\text{CH}_3)_3\text{O}-(\text{C}_2\text{H}_4\text{O})_y(\text{C}_3\text{H}_5\text{O})_z\text{R}_{24}$ , where  $\text{R}_{24}$ ,  $y$ , and  $z$  are as already defined,  $i$  represents  
 25 an integer from 0 to about 100, and  $j$  represents an integer from 1 to about 100.

Commercially available examples of this type include SILWET L-77, SILWET L-7500, and SILWET L-7602 (Union Carbide Corporation, Danbury, Connecticut). Other commercially available examples include  
 TEGOPREN 5843, in which the  $i/j$  value is 13/5,  $\text{R}_{24}$  is hydrogen, and the ethylene oxide/propylene oxide  
 30 weight percent ratio in  $\text{B}_{10}$  is 100/0; TEGOPREN 5847, in which the  $i/j$  value is 0/1,  $\text{R}_{24}$  is hydrogen, and the ethylene oxide/propylene oxide weight percent ratio in  $\text{B}_{10}$  is 80/20; TEGOPREN 5852, in which the  $i/j$  value is 20/5,  $\text{R}_{24}$  is hydrogen, and the ethylene oxide/propylene oxide weight percent ratio in  $\text{B}_{10}$  is 20/80; TEGOPREN 5863, in which  $\text{R}_{24}$  is hydrogen and the ethylene oxide/propylene oxide weight percent ratio in  $\text{B}_{10}$  is 40/60; TEGOPREN 5873, in which the  $i/j$  value is 20/5,  $\text{R}_{24}$  is hydrogen, and the ethylene  
 oxide/propylene oxide weight percent ratio in  $\text{B}_{10}$  is 35/65; and TEGOPREN 5878, in which  $\text{R}_{24}$  is hydrogen  
 35 and the ethylene oxide/propylene oxide weight percent ratio in  $\text{B}_{10}$  is 100/0 (Th. Goldschmidt AG, Essen, Federal Republic of Germany).

The synthesis of the type B additives begins with a reactive silicon fluid, prepared by known methods, such as that represented by the following formula:



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in which  $i$  and  $j$  are as already defined. The fluid is reacted with a compound having the general formula,  
 $\text{CH}_2=\text{CHCH}_2\text{O}-(\text{C}_2\text{H}_4\text{O})_y(\text{C}_3\text{H}_5\text{O})_z\text{R}_{24}$

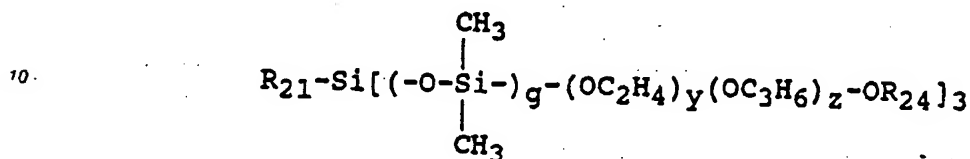
in which  $\text{R}_{24}$ ,  $y$ , and  $z$  are as already defined, to give the additive. The reaction is carried out in the  
 50 presence of a platinum/ $\gamma$ -aluminum oxide catalyst at a temperature of the order of 150° C. See, e.g., U.S. Patent No. 3,280,160 to D. L. Bailey, U.S. Patent No. 3,172,899, also to D. L. Bailey, and U.S. Patent No. 3,505,377 to E. L. Morehouse. The compound which is reacted with the silicone fluid is obtained by the condensation of ethylene oxide and propylene oxide with allyl alcohol in the presence of a catalytic amount of potassium hydroxide, a well-known reaction.

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### Type C Additives

The third, and last, type of additives consists of groups of formulae 2, 4, and 7, in which each of  $R_2$ ,  $R_3$ , and  $R_c$  independently is an alkyl group containing from 1 to 3 carbon atoms;  $R_{24}$  is an alkyl group containing from 1 to 4 carbon atoms;  $c$  is in the range of from 0 to about 50;  $x$  is 0;  $y$  is in the range of from about 5 to about 25; and  $z$  is in the range of from about 0 to about 25.

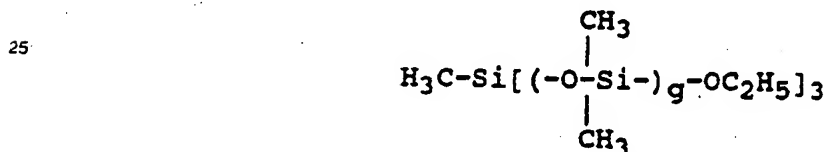
Specific examples of type C additives, again by way of illustration only, include materials having the following general formula:



in which  $R_{21}$  and  $R_{24}$  are lower alkyl groups,  $g$  is as already defined, and each of  $y$  and  $z$  represents an integer from 0 to about 70.

A specific commercially available example is SILWET L-720 (Union Carbide Corporation, Danbury, Connecticut).

Type C additives are prepared by the method described in U.S. Patent No. 2,836,748 to D. L. Bailey and F. M. O'Connor. Briefly, methyltriethoxysilane and mixed cyclic polydimethylsiloxanes are heated at about  $150^\circ C$  in the presence of potassium hydroxide as catalyst to give a material having the following general formula:

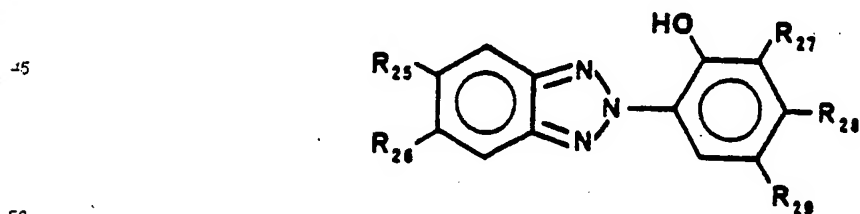


in which  $g$  is as already defined. This material then is reacted in the presence of trifluoroacetic acid with an oxyalkylene-containing compound having the general formula,  
 $HO-(C_2H_4O)_y(C_3H_6O)_zR_{24}$

where  $y$ ,  $z$ , and  $R_{24}$  are as already defined, to give the additive.

When the desired characteristic of the additive is ultraviolet light absorption, moiety B is a chromophore, especially a chromophore having a sufficiently high efficiency for the absorption of ultraviolet radiation. Preferably, moiety B is a benzotriazolyl group, more preferably a 2-(substituted-phenyl)-benzotriazolyl group.

The most preferred additives which absorb ultraviolet radiation can be represented by the general formula, S-Z, in which S represents a siloxane moiety and Z represents a benzotriazolyl group having the following general formula:



in which

(1)  $R_{25}$  is either a monovalent group selected from the group consisting of hydrogen and chloro or a divalent connecting group;

(2)  $R_{26}$  is either a monovalent group selected from the group consisting of hydrogen, chloro, carboxy, sulfo, ethylsulfonyl,  $C_1$ - $C_8$  alkyl,  $C_1$ - $C_4$  alkoxy, vinylbenzyloxy, and alkoxy carbonyl in which the alkoxy moiety contains from 2 to 9 carbon atoms, or a divalent connecting group;

(3)  $R_{27}$  is a monovalent group selected from the group consisting of hydrogen, chloro, carboxyethyl,  $C_1$ - $C_{14}$  alkyl,  $C_1$ - $C_4$  alkoxy, cyclopentyl, cyclohexyl, phenyl, phenyl substituted with  $C_1$ - $C_8$  alkyl groups,  $C_7$ - $C_9$  phenylalkyl, and alkoxy carbonyl in which the alkoxy moiety contains from 2 to 9 carbon atoms;

(4)  $R_{28}$  is a monovalent group selected from the group consisting of hydrogen, chloro, hydroxy,  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_8$  alkoxy, and vinylbenzyloxy; and

(5)  $R_{29}$  is a monovalent group selected from the group consisting of hydrogen, chloro,  $C_1$ - $C_{14}$  alkyl, cyclopentyl, cyclohexyl, and  $C_7$ - $C_9$  phenylalkyl.

Preferably,  $R_{25}$  is hydrogen or a divalent connecting group;  $R_{26}$  is hydrogen, chloro, methyl, ethyl, methoxy, carboxy, or a divalent connecting group;  $R_{27}$  is hydrogen, chloro, methyl, sec-butyl, t-butyl, t-pentyl, t-octyl,  $\alpha$ -methylbenzyl, or  $\alpha,\alpha$ -dimethylbenzyl;  $R_{28}$  is hydrogen; and  $R_{29}$  is  $C_1$ - $C_8$  alkyl, cyclohexyl, phenyl, chloro,  $\alpha$ -methylbenzyl, or carboxyethyl.  $R_{25}$  most preferably will be hydrogen and  $R_{26}$  will be a divalent connecting group.

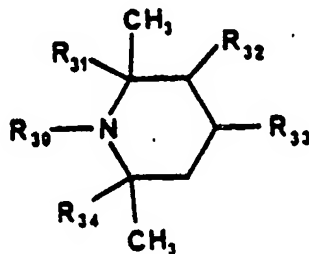
Compounds coming within the foregoing general formula for the benzotriazolyl component, less the divalent connecting group(s), are known, as are procedures for preparing such compounds. See, by way of illustration, U.S. Patent Nos. 3,004,896, 3,055,896, 3,072,585, 3,074,910, 3,189,615, 3,230,194, 3,253,921, 3,983,132, 4,041,044, 4,096,242, 4,127,586, 4,129,521, 4,226,763, 4,283,327, 4,278,590, 4,383,863, 4,414,393, and 4,447,511, each of which is incorporated herein by reference.

In general, such compounds can be prepared by various methods, such as (1) by coupling a phenyldiazonium compound with a phenyl azo compound, followed by oxidation of the resulting *o*-aminoazo compound; or (2) by coupling an *o*-nitrophenyldiazonium compound with a phenol, followed by reduction with, e.g., ammonium sulfide or zinc in an alkaline medium.

A benzotriazole is coupled to a siloxane by known means. For example, a reactive siloxane, such as one of those described earlier, can be converted to another siloxane having a different reactive group. As an illustration, the H-Si group can be reacted with allyl glycidyl ether, in which case the new reactive group is an epoxide. The new reactive group then can be coupled to the benzotriazole by known means. Alternatively, the reactive siloxane can be combined directly with a benzotriazole having at least one functional group which is reactive with the siloxane.

Moiety B is a degradation inhibitor when the desired characteristic of the additive is light stabilization. Preferably, such inhibitor contains a piperidyl group. Most preferably, such inhibitor contains a polyalkyl-substituted piperidyl group.

A preferred class of light-stabilizing additives can be represented by the general formula,  $S'-Z'$ , in which  $S'$  represents a siloxane moiety and  $Z'$  represents a pendant tetraalkylpiperidyl group attached by means of a divalent connecting group other than oxygen to a silicon atom and having the following general formula:



in which

(1)  $R_{30}$  is either (a) a monovalent group selected from the group consisting of hydrogen; hydroxy;  $C_1$ - $C_{18}$  alkyl;  $C_2$ - $C_4$  hydroxyalkyl;  $C_8$ - $C_{12}$  phenylhydroxyalkyl;  $C_3$ - $C_8$  alkenyl;  $C_7$ - $C_{12}$  phenylalkyl;  $C_1$ - $C_8$  alkanoyl;  $C_3$ - $C_5$  alkenoyl; and  $-CON(R_{35})-(R_{36})$ , in which each of  $R_{35}$  and  $R_{36}$  is a monovalent group independently selected from the group consisting of hydrogen,  $C_1$ - $C_{18}$  alkyl,  $C_3$ - $C_{12}$  alkoxyalkyl,  $C_2$ - $C_8$  hydroxyalkyl,  $C_3$ - $C_{12}$  alkenyl,  $C_7$ - $C_{14}$  phenylalkyl,  $C_6$ - $C_{14}$  aryl,  $C_7$ - $C_{14}$  alkaryl,  $C_3$ - $C_7$  cycloalkyl, and 2,2,6,6-tetramethyl-4-piperidyl; or (b) a divalent connecting group other than oxygen;

(2)  $R_{31}$  is  $C_1$ - $C_5$  alkyl;

(3)  $R_{32}$  is hydrogen or  $C_1$ - $C_5$  alkyl;

(4)  $R_{33}$  is either (a) a monovalent group selected from the group consisting of hydrogen; hydroxy;  $C_1$ - $C_{12}$  alkoxy;  $C_3$ - $C_{14}$  alkoxyalkoxy (oxaalkoxy);  $C_3$ - $C_5$  alkenoxy; poly(oxyethylene) having from 1 to 10 oxyethylene repeating units; carboxy;  $C_1$ - $C_{18}$  alkoxy carbonyl;  $C_3$ - $C_5$  alkenoxy carbonyl;  $C_3$ - $C_8$  cycloalkox-

- ycarbonyl; C<sub>5</sub>-C<sub>10</sub> aryloxy carbonyl; C<sub>7</sub>-C<sub>12</sub> alkylaryloxy carbonyl; C<sub>7</sub>-C<sub>12</sub> phenylalkoxy carbonyl; carboxymethyl; C<sub>1</sub>-C<sub>18</sub> alkoxy carbonyl methyl; C<sub>3</sub>-C<sub>5</sub> alkenoxy carbonyl methyl; C<sub>5</sub>-C<sub>8</sub> cycloalkoxy carbonyl; C<sub>5</sub>-C<sub>10</sub> aryloxy carbonyl methyl; C<sub>7</sub>-C<sub>12</sub> alkylaryloxy carbonyl; C<sub>7</sub>-C<sub>12</sub> phenylalkoxy carbonyl methyl; C<sub>2</sub>-C<sub>20</sub> alkanoyloxy (alkoxy carbonyloxy); cyano; cyanomethyl; 2-cyanoethoxy;
- 5 -N(R<sub>37</sub>)(R<sub>38</sub>), in which each of R<sub>37</sub> and R<sub>38</sub> independently is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>18</sub> alkyl, C<sub>2</sub>-C<sub>14</sub> alkoxyalkyl, C<sub>3</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, C<sub>7</sub>-C<sub>12</sub> phenylalkyl, C<sub>6</sub>-C<sub>10</sub> aryl, C<sub>2</sub>-C<sub>5</sub> hydroxyalkyl, and C<sub>7</sub>-C<sub>10</sub> cycloalkylalkyl;
- CH<sub>2</sub>CH<sub>2</sub>N(R<sub>37</sub>)(R<sub>38</sub>), in which R<sub>37</sub> and R<sub>38</sub> are as already defined;
- CO-N(R<sub>39</sub>)(R<sub>40</sub>), in which each of R<sub>39</sub> and R<sub>40</sub> independently is hydrogen, a group as defined for R<sub>35</sub> and
- 10 R<sub>36</sub>, or a monovalent group selected from the group consisting of C<sub>3</sub>-C<sub>12</sub> alkoxyalkyl, C<sub>3</sub>-C<sub>12</sub> alkenyl C<sub>2</sub>-C<sub>8</sub> hydroxyalkyl, C<sub>7</sub>-C<sub>14</sub> phenylalkyl, C<sub>6</sub>-C<sub>14</sub> aryl, and C<sub>7</sub>-C<sub>14</sub> alkaryl;
- N(R<sub>41</sub>)-CO-R<sub>42</sub>, in which R<sub>41</sub> is hydrogen or a group as defined for R<sub>37</sub> and R<sub>38</sub> and R<sub>42</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>12</sub> alkyl, C<sub>3</sub>-C<sub>14</sub> alkoxyalkyl, C<sub>2</sub>-C<sub>14</sub> alkyl which is substituted with carboxy or the C<sub>1</sub>-C<sub>4</sub> alkyl ester thereof, C<sub>2</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, C<sub>7</sub>-C<sub>12</sub> phenylalkyl, C<sub>6</sub>-C<sub>10</sub> aryl, and C<sub>7</sub>-C<sub>12</sub> alkaryl;
- 15 -O-CO-R<sub>43</sub>, in which R<sub>43</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>18</sub> alkyl, C<sub>3</sub>-C<sub>14</sub> alkoxyalkyl, C<sub>2</sub>-C<sub>14</sub> alkyl which is substituted by carboxy or the C<sub>1</sub>-C<sub>4</sub> alkyl ester thereof, C<sub>2</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, C<sub>7</sub>-C<sub>12</sub> phenylalkyl, C<sub>6</sub>-C<sub>10</sub> aryl, C<sub>7</sub>-C<sub>12</sub> alkaryl, and phenyl or C<sub>7</sub>-C<sub>10</sub> phenylalkyl which is substituted by hydroxy and 1-3 C<sub>1</sub>-C<sub>4</sub> alkyl groups;
- 20 -N(R<sub>41</sub>)-CO-O-R<sub>44</sub>, in which R<sub>41</sub> is as already defined and R<sub>44</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>12</sub> alkyl, C<sub>3</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, phenyl, and C<sub>7</sub>-C<sub>12</sub> phenylalkyl;
- O-CO-O-R<sub>44</sub>, in which R<sub>44</sub> is as already defined; and
- O-CO-N(R<sub>45</sub>)(R<sub>46</sub>), in which each of R<sub>45</sub> and R<sub>46</sub> independently is hydrogen or a group as defined for R<sub>37</sub> and R<sub>38</sub>;
- 25 or (b) a divalent connecting group other than oxygen; and
- (5) R<sub>34</sub> is C<sub>1</sub>-C<sub>5</sub> alkyl.

Preferably, R<sub>30</sub> is hydrogen, C<sub>1</sub>-C<sub>12</sub> alkyl, allyl, benzyl, acetyl, acryloyl, or a divalent connecting group; each of R<sub>31</sub> and R<sub>34</sub> is methyl; and R<sub>32</sub> is hydrogen.

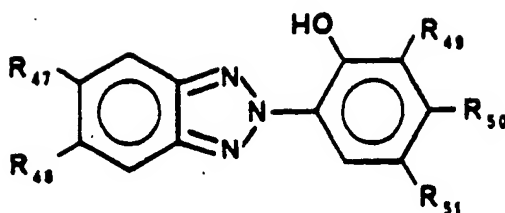
- 30 Compounds coming within the foregoing general formula for the tetraalkylpiperidyl component, less the divalent connecting group(s), also are known, as are procedures for preparing such compounds. See, by way of illustration, U.S. Patent Nos. 4,278,590, 4,299,926, 4,348,524, 4,472,547, 4,511,596, 4,569,997, 4,590,268, Re. 31,342, and Re. 31,343, each of which is incorporated herein by reference. See also, H. S. Mosher, "Piperidines and Partially Hydrogenated Pyridines," Chapter 9 in A. R. Katritzky and C. Rees, Editors, "Heterocyclic Compounds," Pergamon Press, Illinois, 1984, pp. 617-676.

- 35 Briefly, piperidines can be prepared by the reduction of pyridine compounds or by ring closure reactions at a nitrogen atom or between carbon atoms. Ring closure reactions at a nitrogen atom can be 1,5-dihalides, 1,5-aminoaldehydes, 1,5-amino alcohols, 4,5 unsaturated amines, 1,5-diamines, or  $\delta$ -aminocarbonyl compounds, or from the reduction of 1,3-dinitriles, 1,3-cyano esters, or 1,4-aminonitriles. Ring closure
- 40 reactions between carbon atoms typically involve the Dieckmann condensation of suitable dicarboxylic esters or nitriles in which the ring closure is completed between the carbon atoms in the  $\beta,\gamma$  positions. Alternatively, ring closure can be accomplished from several fragments by means of the Petrenko-Kritschenko reaction. Modifications of the piperidines then can be accomplished by a variety of methods, such as those described in the patent literature cited above.

- 45 The tetraalkylpiperidines can be coupled to siloxanes by known procedures, as already described.

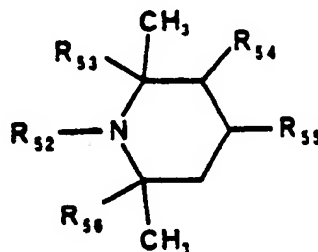
- If a moiety B is desired which has the capability of both absorbing ultraviolet radiation and inhibiting degradation, the additive preferably will be one containing both a benzotriazolyl group and a tetraalkylpiperidyl group, such as those already described. Such additives can be represented by the general formula, S"-Z", in which S" represents a siloxane moiety and Z" represents a pendant benzotriazolyl/tetraalkylpiperidyl
- 50 group attached by means of a divalent connecting group to a silicon atom, in which:

(A) the benzotriazolyl moiety is represented by the general formula,



10 in which

- (1)  $R_{47}$  is (a) a monovalent group selected from the group consisting of hydrogen and chloro; (b) a tetraalkylpiperidyl group; or (c) a divalent connecting group;
- (2)  $R_{48}$  is (a) a monovalent group selected from the group consisting of hydrogen, chloro, carboxy, sulfo, ethylsulfonyl,  $C_1$ - $C_8$  alkyl,  $C_1$ - $C_4$  alkoxy, vinylbenzyloxy, and alkoxy carbonyl in which the alkoxy moiety contains from 2 to 9 carbon atoms; (b) a tetraalkylpiperidyl group; or (c) a divalent connecting group;
- (3)  $R_{49}$  is (a) a monovalent group selected from the group consisting of hydrogen, chloro, carboxyethyl,  $C_1$ - $C_{14}$  alkyl,  $C_1$ - $C_4$  alkoxy, cyclopentyl, cyclohexyl, phenyl, phenyl substituted with  $C_1$ - $C_8$  alkyl groups,  $C_7$ - $C_9$  phenylalkyl, and alkoxy carbonyl in which the alkoxy moiety contains from 2 to 9 carbon atoms; (b) a tetraalkylpiperidyl group; or (c) a divalent connecting group;
- (4)  $R_{50}$  is a monovalent group selected from the group consisting of hydrogen, chloro, hydroxy,  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_8$  alkoxy, and vinylbenzyloxy; and
- (5)  $R_{51}$  is (a) a monovalent group selected from the group consisting of hydrogen, chloro,  $C_1$ - $C_{14}$  alkyl, cyclopentyl, cyclohexyl, and  $C_7$ - $C_9$  phenylalkyl; (b) a tetraalkylpiperidyl group; or (c) a divalent connecting group; and
- (B) the tetraalkylpiperidyl moiety is represented by the general formula,



in which

- (1)  $R_{52}$  is (a) a monovalent group selected from the group consisting of hydrogen; hydroxy;  $C_1$ - $C_{18}$  alkyl;  $C_2$ - $C_4$  hydroxyalkyl;  $C_8$ - $C_{12}$  phenylhydroxyalkyl;  $C_3$ - $C_8$  alkenyl;  $C_7$ - $C_{12}$  phenylalkyl;  $C_1$ - $C_8$  alkanoyl;  $C_3$ - $C_5$  alkenoyl; and  $-CO-N(R_{57})(R_{58})$ , in which each of  $R_{57}$  and  $R_{58}$  is a monovalent group independently selected from the group consisting of hydrogen,  $C_1$ - $C_{18}$  alkyl,  $C_3$ - $C_{12}$  alkoxyalkyl,  $C_2$ - $C_8$  hydroxyalkyl,  $C_3$ - $C_{12}$  alkenyl,  $C_7$ - $C_{14}$  phenylalkyl,  $C_6$ - $C_{14}$  aryl,  $C_7$ - $C_{14}$  alkaryl,  $C_3$ - $C_7$  cycloalkyl, and 2,2,6,6-tetramethyl-4-piperidyl; (b) a benzotriazolyl group; or (c) a divalent connecting group;
- (2)  $R_{53}$  is  $C_1$ - $C_5$  alkyl;
- (3)  $R_{54}$  is hydrogen or  $C_1$ - $C_5$  alkyl;
- (4)  $R_{55}$  is (a) a monovalent group selected from the group consisting of hydrogen; hydroxy;  $C_1$ - $C_{12}$  alkoxy;  $C_3$ - $C_{14}$  alkoxyalkoxy (oxaalkoxy);  $C_3$ - $C_5$  alkenoxy; poly(oxyethylene) having from 1 to 10 oxyethylene repeating units; carboxy;  $C_1$ - $C_{18}$  alkoxy carbonyl;  $C_3$ - $C_5$  alkenoxy carbonyl;  $C_3$ - $C_8$  cycloalkoxy carbonyl;  $C_6$ - $C_{10}$  aryloxy carbonyl;  $C_7$ - $C_{12}$  alkylaryloxy carbonyl;  $C_7$ - $C_{12}$  phenylalkoxy carbonyl; carboxymethyl;  $C_1$ - $C_{18}$  alkoxy carbonyl methyl;  $C_3$ - $C_5$  alkenoxy carbonylmethyl;  $C_5$ - $C_8$  cycloalkoxy carbonyl;  $C_6$ - $C_{10}$  aryloxy carbonyl methyl;  $C_7$ - $C_{12}$  alkylaryloxy carbonyl;  $C_7$ - $C_{12}$  phenylalkoxy carbonylmethyl;  $C_2$ - $C_{20}$  alkanoyloxy (alkoxy carbonyloxy); cyano; cyanomethyl; 2-cyanoethoxy;
- (5)  $-N(R_{59})(R_{60})$ , in which each of  $R_{59}$  and  $R_{60}$  independently is a monovalent group selected from the group consisting of  $C_1$ - $C_{18}$  alkyl,  $C_2$ - $C_{14}$  alkoxyalkyl,  $C_3$ - $C_5$  alkenyl,  $C_5$ - $C_8$  cycloalkyl,  $C_7$ - $C_{12}$  phenylalkyl,  $C_6$ - $C_{10}$  aryl,  $C_2$ - $C_5$  hydroxyalkyl, and  $C_7$ - $C_{10}$  cycloalkylalkyl;
- $-CH_2CH_2N(R_{59})(R_{60})$ , in which  $R_{59}$  and  $R_{60}$  are as already defined;

- CO-N(R<sub>51</sub>)(R<sub>52</sub>), in which each of R<sub>51</sub> and R<sub>52</sub> independently is hydrogen, a group as defined for R<sub>57</sub> and R<sub>53</sub>, or a monovalent group selected from the group consisting of C<sub>3</sub>-C<sub>12</sub> alkoxyalkyl, C<sub>3</sub>-C<sub>12</sub> alkenyl, C<sub>2</sub>-C<sub>3</sub> hydroxyalkyl, C<sub>7</sub>-C<sub>14</sub> phenylalkyl, C<sub>6</sub>-C<sub>14</sub> aryl, and C<sub>7</sub>-C<sub>14</sub> alkaryl;
- N(R<sub>53</sub>)-CO-R<sub>54</sub>, in which R<sub>53</sub> is hydrogen or a group as defined for R<sub>59</sub> and R<sub>60</sub> and R<sub>54</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>12</sub> alkyl, C<sub>3</sub>-C<sub>14</sub> alkoxyalkyl, C<sub>2</sub>-C<sub>14</sub> alkyl which is substituted with carboxy or the C<sub>1</sub>-C<sub>4</sub> alkyl ester thereof, C<sub>2</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, C<sub>7</sub>-C<sub>12</sub> phenylalkyl, C<sub>6</sub>-C<sub>10</sub> aryl, and C<sub>7</sub>-C<sub>12</sub> alkylaryl;
- O-CO-R<sub>55</sub>, in which R<sub>55</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>18</sub> alkyl, C<sub>3</sub>-C<sub>14</sub> alkoxyalkyl, C<sub>2</sub>-C<sub>14</sub> alkyl which is substituted by carboxy or the C<sub>1</sub>-C<sub>4</sub> alkyl ester thereof, C<sub>2</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, C<sub>7</sub>-C<sub>12</sub> phenylalkyl, C<sub>6</sub>-C<sub>10</sub> aryl, C<sub>7</sub>-C<sub>12</sub> alkylaryl, and phenyl or C<sub>7</sub>-C<sub>10</sub> phenylalkyl which is substituted by hydroxy and 1-3 C<sub>1</sub>-C<sub>4</sub> alkyl groups;
- N(R<sub>53</sub>)-CO-O-R<sub>56</sub>, in which R<sub>53</sub> is as already defined and R<sub>56</sub> is a monovalent group selected from the group consisting of C<sub>1</sub>-C<sub>12</sub> alkyl, C<sub>3</sub>-C<sub>5</sub> alkenyl, C<sub>5</sub>-C<sub>8</sub> cycloalkyl, phenyl, and C<sub>7</sub>-C<sub>12</sub> phenylalkyl;
- O-CO-O-R<sub>56</sub>, in which R<sub>56</sub> is as already defined; and
- O-CO-N(R<sub>57</sub>)(R<sub>58</sub>), in which each of R<sub>57</sub> and R<sub>58</sub> independently is hydrogen or a group as defined for R<sub>59</sub> and R<sub>60</sub>;
- (b) a benzotriazolyl group; or (b) a divalent connecting group; and
- (5) R<sub>55</sub> is C<sub>1</sub>-C<sub>5</sub> alkyl.

20

Preferably, R<sub>47</sub> is hydrogen, a tetraalkylpiperidyl group, or a divalent connecting group; R<sub>48</sub> is hydrogen, chloro, methyl, ethyl, methoxy, carboxy, a tetraalkylpiperidyl group, or a divalent connecting group; R<sub>49</sub> is hydrogen, chloro, methyl, *sec*-butyl, *t*-butyl, *t*-pentyl, *t*-octyl,  $\alpha$ -methylbenzyl, or  $\alpha,\alpha$ -dimethylbenzyl; R<sub>50</sub> is hydrogen; R<sub>51</sub> is C<sub>1</sub>-C<sub>8</sub> alkyl, cyclohexyl, phenyl, chloro,  $\alpha$ -methylbenzyl, or carboxyethyl; R<sub>52</sub> is hydrogen, C<sub>1</sub>-C<sub>12</sub> alkyl, allyl, benzyl, acetyl, acryloyl, a benzotriazolyl group, or a divalent connecting group; each of R<sub>53</sub> and R<sub>56</sub> is methyl; and R<sub>54</sub> is hydrogen.

The preparations of suitable benzotriazolyl and tetraalkylpiperidyl moieties already have been described, as have been methods of preparing siloxane moieties. Compounds having benzotriazolyl and tetraalkylpiperidyl moieties are described in U.S. Patent No. 4,481,315, which is incorporated herein by reference. Procedures for coupling siloxane moieties to organic groups also have been described earlier.

As used throughout this specification and the appended claims, the term "divalent connecting group" is employed broadly to mean, without limitation, any divalent group known to those having ordinary skill in the art for covalently coupling one organic moiety to another, or an organic moiety to a silicon atom, provided that such divalent group is sufficiently thermally stable at melt-extrusion temperatures.

As a practical matter, the divalent connecting group will involve alkylene, ether, or amine linkages, examples of which are listed below:

-(CH<sub>2</sub>)<sub>n</sub>-, where n is an integer representing the number of repeating methylene groups - when an organic moiety is being connected to a silicon atom, n will have a value of at least 3;

-O-;

-O-R<sub>75</sub>-, in which R<sub>75</sub> represents a divalent organic group, e.g., C<sub>1</sub>-C<sub>14</sub> alkylene, cycloalkylene, and arylene;

-(CH<sub>2</sub>)<sub>n</sub>-O-, where n is as already defined;

-(CH<sub>2</sub>)<sub>n</sub>-O-R<sub>75</sub>-, where n and R<sub>75</sub> are as already defined;

-NH-;

-N(R<sub>73</sub>)-, in which R<sub>73</sub> represents a monovalent organic group, e.g., C<sub>1</sub>-C<sub>14</sub> alkyl;

-NH-R<sub>77</sub>-, in which R<sub>77</sub> represents a divalent organic group, e.g., C<sub>1</sub>-C<sub>14</sub> alkylene, cycloalkylene, and arylene; and

-N(R<sub>73</sub>)-R<sub>79</sub>-, in which R<sub>78</sub> represents a monovalent organic group, e.g., C<sub>1</sub>-C<sub>14</sub> alkyl, and R<sub>79</sub> represents a divalent organic group, e.g., C<sub>1</sub>-C<sub>14</sub> alkylene, cycloalkylene, and arylene.

Of the above, methylene and ether linkages are preferred when two organic moieties are being linked together, with methylene linkages being most preferred because of the higher thermal stability which is associated with such linkages. In addition, a nitrogen atom normally will not be directly coupled to a silicon atom. However, the above listing is representative only, and the selection of these and other coupling groups is well known to those having ordinary skill in the field of synthetic organic chemistry.

When a nonstaining or low surface energy fiber or film is desired, i.e., a fiber or film having a hydrophobicity which is higher than that of the virgin polymer component of the composition, moiety B conveniently can be a perfluorohydrocarbon group, any number of which are known to those having ordinary skill in the art. Also known to those having ordinary skill in the art are groups which can be used as

moiety B in order to impart a buffering capacity to the fiber or film, such as a buffering capacity against hydrogen ions. In view of the teachings herein, other possible characteristics of moiety B will be readily apparent.

The foregoing descriptions with respect to the additive and primary additive apply also to the stabilizing additive, with two exceptions. First, moiety B" differs from moiety B' of the primary additive, and second, the level of stabilizing additive is different from the level of primary additive. However, as already noted, moiety B" represents a subgenus of moiety B.

As already stated, moiety B" of the stabilizing additive has at least one functional group which is an aliphatic or cycloaliphatic amine. Other functional groups, such as hydroxy groups and derivatives thereof, and the like, can be present, provided they do not adversely affect the ability of the amine groups to stabilize the thermoplastic composition.

Moiety B" of the stabilizing additive can be represented by the following general formula:



in which  $R_{80}$  represents a divalent connecting group which is attached to a silicon atom and each of  $R_{81}$  and  $R_{82}$  is a monovalent group independently selected from the group consisting of  $C_1$ - $C_{18}$  alkyl and  $C_3$ - $C_8$  cycloalkyl, or the two groups, together with the nitrogen atom to which they are bonded, form a 5- to 7-membered saturated ring. The alkyl groups optionally are substituted with one or more groups consisting of hydroxy and derivatives thereof. The 5- to 7-membered ring and the cycloalkyl groups optionally are substituted with 1-3 monovalent groups independently selected from the group consisting of hydroxy and derivatives thereof and  $C_1$ - $C_6$  alkyl.  $R_{81}$  and  $R_{82}$  preferably are  $C_1$ - $C_{10}$  alkyl and most preferably are  $C_1$ - $C_3$  alkyl.

In general, the weight ratio of polymer to additive or primary additive can vary from about 1 to about 1,000. That is, the amount of additive or primary additive in the surface-segregatable, melt-extrudable thermoplastic composition of the present invention can range from about 50 percent by weight to about 0.1 percent by weight. Because the additive or primary additive has a significant influence on the rheology of the melt, compositions containing greater amounts of polymeric material tend to be too fluid for melt-extrusion processes. On the other hand, lower amounts typically do not result in significant surface modification of the fiber or film. As a point of interest, it was observed that although melt viscosities are reduced by inclusion of the additive or primary additive in the polymer, friction within the extruder does not appear to be significantly affected if the extruder screw design is compatible with the compositions. This result is consistent with the formation of a metastable solution. But, such result is contrary to experience with other silicon-containing compounds known to have been incorporated in polymers and, thus, unexpected.

In melt-extrusion processes such as those used to prepare fibers and nonwoven webs, the weight ratio of polymer to additive or primary additive preferably will be in the range of from about 6 to about 350. More preferably, such ratio will vary from about 9 to about 200, and most preferably from about 20 to about 200.

The stabilizing additives useful in the present invention also are readily prepared by known methods. For example, a reactive siloxane having one or more  $-Si(R_{83})H$ -groups, in which  $R_{83}$  is a monovalent group which can be alkyl, cycloalkyl, aryl, or heterocyclic, is reacted with allyl glycidyl ether, 4,5-epoxy-1-pentene, or another suitable epoxide to give siloxane groups having pendent epoxide groups. The epoxide groups then can be reacted with either a secondary amine or an amine having other groups which will react with the epoxide groups.

The stabilizing additive will be present in the thermoplastic composition at a level of from about 0.1 to about 5 percent by weight, based on the amount of primary additive present in the composition. The preferred level of stabilizing additive is from about 0.25 to about 2 percent by weight, based on the level of primary additive present in the composition. The stabilizing additive most preferably will be present at a level of from about 0.5 to about 1 percent by weight, based on the amount of primary additive.

The thermoplastic composition of the present invention can be prepared by any number of methods known to those having ordinary skill in the art. For example, the polymer in chip or pellet form and the additive (meaning any one or more of the additive, primary additive, and stabilizing additive) can be mixed mechanically to coat the polymer particles with additive. If desired, the additive can be dissolved in a suitable solvent to aid the coating process, although the use of a solvent is not preferred. The coated polymer then can be added to the feed hopper of the extruder from which the fibers or film will emerge. Alternatively, the coated polymer can be charged to a heated compounder, such as a heated twin-screw compounder, in order to disperse the additive throughout the bulk of the polymer. The resulting thermoplastic composition typically is extruded as rods which are fed to a chipper. The resulting chips then serve as the feed stock for a melt-processing extruder. In another method, the additive can be metered into the throat of the hopper which contains the polymer in particulate form and which feeds the extruder. In yet



another method, the additive can be metered directly into the barrel of the extruder where it is blended with the molten polymer as the resulting mixture moves toward the die.

In many cases, the stabilizing additive is soluble in the primary additive. In such instances, the stabilizing additive preferably is dissolved in the primary additive. The resulting solution then is used to prepare the composition as described above.

One method provided by the present invention is a method for preparing a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, which differential; increasing concentration imparts to the surface of the fiber or film at least one desired characteristic which otherwise would not be present. The method comprises melting a mixture of at least one thermoplastic polymer and at least one additive having at least two moieties, A and B, and extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000 sec<sup>-1</sup> and a throughput of from about 0.01 to about 5.4 kg/cm/hour, in which:

(A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate molecular units, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

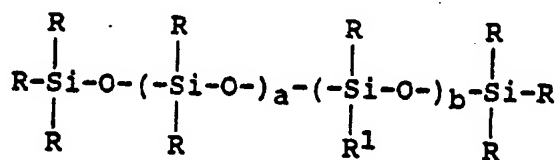
(B) moiety B has at least one functional group which imparts to said additive at least one desired characteristic;

(C) said additive is miscible with said polymer at melt extrusion temperatures, under which conditions said additive and said polymer form a metastable solution, but as the temperature drops below melt extrusion temperatures, said additive becomes significantly less compatible with said polymer and, concurrently, the polymer begins to solidify, with both events contributing to the rapid, controlled segregation of said additive;

(D) the molecular weight of said additive is in the range of from about 400 to about 15,000; and

(E) the weight ratio of said thermoplastic polymer to said polymeric material is in the range of from about 6 to about 350;

with the proviso that said additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1.

Another method provided by the present invention is a method of stabilizing a thermoplastic composition which is to be melt-extruded to form a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, such that the concentration of additive in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive in the core of the fiber or, thereby imparting hydrophilicity to the surface of the fiber or film. The method comprises:

(A) melting a thermoplastic composition which comprises:

(1) at least one thermoplastic polymer;

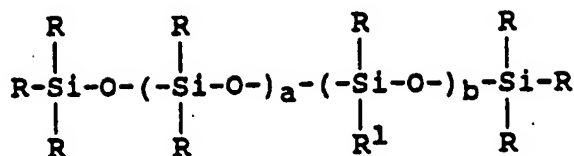
(2) at least one siloxane-containing primary additive having at least two moieties, A' and B', in which:

(a) said primary additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A' and moiety B', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(b) moiety B' has at least one functional group which is a poly(oxyalkylene moiety); and

(c) the molecular weight of said primary additive is in the range of from about 400 to about 15,000;

with the proviso that said primary additive cannot be a compound having the general formula,

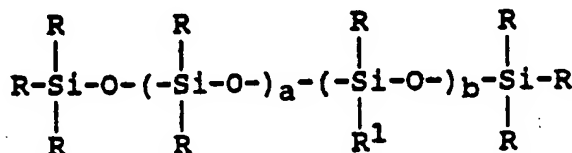


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- in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1; and
- (3) at least one siloxane-containing stabilizing additive having at least two moieties, A'' and B'', in which:
- (a) said stabilizing additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A'' and moiety B'', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;
- (b) moiety B'' has at least one functional group which is an aliphatic or cycloaliphatic amino group; and
- (c) the molecular weight of said stabilizing additive is in the range of from about 400 to about 15,000; with the proviso that said stabilizing additive cannot be a compound having the general formula,

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- in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one amino group; and a and b, which can be the same or different, each have a value of at least 1;
- in which thermoplastic composition:
- (a) said primary additive is present at a level in the range of from about 0.3 to about 17 percent by weight, based on the weight of said polymer; and
- (b) said stabilizing additive is present at a level in the range of from about 0.1 to about 5 percent by weight, based on the weight of said primary additive; and

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(B) extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000 sec<sup>-1</sup> and a throughput of from about 0.01 to about 5.4 kg/cm<sup>2</sup>/hour.

- The key to either method, of course, is the use of the surface-segregatable, melt-extrudable-thermoplastic composition of the present invention which has been discussed in detail already. Otherwise, anyone having ordinary skill in the art and having familiarity with various melt-extrusion processes will be able to produce fibers and films without undue experimentation, based on the teaching provided herein. For example, nonwoven webs may be formed by meltblowing in accordance with U.S. Patent Nos. 3,016,599, 3,704,198, and 3,849,241; or by spunbonding in accordance with U.S. Patent Nos. 3,361,394, 3,655,862, 3,705,068, 3,802,817, 3,853,651, 4,064,605, 4,405,297, and 4,434,204; or by coforming in accordance with U.S. Patent No. 4,100,324.

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- The present invention is further described by the examples which follow. Such examples, however, are not to be construed as limiting in any way either the spirit or scope of the present invention, especially since the experimental work concentrated on (but is not limited to) imparting wettability to polyolefin fibers.
- In the examples, all temperatures are in degrees Celsius and all parts are by weight unless stated otherwise.

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### Examples

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For convenience, the examples are divided into seven sections describing (1) the additives and polymers employed; (2) the preparation of surface-segregatable, melt-extrudable thermoplastic composition;

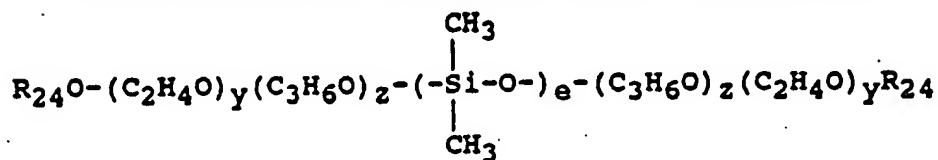
tions; (3) the preparation of melt-pressed films from the thermoplastic compositions; (4) the preparation of fibers from the thermoplastic compositions; (5) the preparation of cast films from the thermoplastic compositions; (6) the stabilization study; and (7) evaluation of a known material as an additive by way of comparison.

## I. Descriptions of Additives and Polymers

### 10 A. Additives

Each of the additives employed in the examples was a type A, B, or C additive. The structures imparting water wettability are identified in Tables 1, 3, and 5 ("MW" represents molecular weight); if an additive were commercially available, the material designation or catalog number is given in the column labeled "I.D." and a manufacturer code is given in the column labeled "Source". The properties of the additives identified in Tables 1, 3, and 5 are summarized in Tables 2, 4, and 6, respectively. The structures of additives imparting characteristics other than water wettability are given in Table 7 and their properties are summarized in Table 8.

Table 1  
Type A Additives Imparting Water Wettability



## Additive

	Code	R <sub>24</sub>	e	z	y	MW	I.D.	Source
15	A01	CH <sub>3</sub>	3	0	3	516	V-363	G <sup>a</sup>
	A02	CH <sub>3</sub>	3	0	3	516	V-360	G
	A03	CH <sub>3</sub>	4	0	3	590	V-361	G
20	A04	CH <sub>3</sub>	3	0	4	604	V-336	G
	A05	CH <sub>3</sub>	4	0	4	678	KC-V2 <sup>b</sup>	G
	A06	CH <sub>3</sub>	4	0	4	678	V-337	G
	A07	CH <sub>3</sub>	3	1.5	3	690	V-362	G
25	A08	CH <sub>3</sub>	4	1	3	706	V-3003	G
	A09	CH <sub>3</sub>	3	1.5	4	778	V-338	G
	A10	CH <sub>3</sub>	4	1	4	794	KC-V3 <sup>b</sup>	G
30	A11	CH <sub>3</sub>	4	1.5	4	852	T-3004	G
	A12	CH <sub>3</sub>	4	1.5	4	852	V-339	G
	A13	CH <sub>3</sub>	4	1.5	4	852	V-335	G
35	A14	CH <sub>3</sub>	4	0	6	854	KC-V4	G
	A15	CH <sub>3</sub>	4.3	1.5	5	1023	D-985	G
	A16	CH <sub>3</sub>	5.7	1.5	5	1127	D-984	G
	A17	CH <sub>3</sub>	4.3	1.5	7.5	1130	D-979	G
40	A18	NA <sup>c</sup>	NA	0	NA	1200	PS-071	UC <sup>d</sup>
	A19	CH <sub>3</sub>	5.5	1.5	7.5	1200	D-978	G
	A20	n-C <sub>4</sub> H <sub>9</sub>	5.5	NA	NA	1450	BC-1781	G
45	A21	NA	NA	NA	NA	2400	PS-555	UC
	A22	CH <sub>3</sub>	6	NA	NA	NA	V-284	G
	A23	NA	6	NA	NA	NA	V-290	G
50	A24	H	60	17	16	7922	T-5830	G

<sup>a</sup>Th. Goldschmidt AG, Essen, Federal Republic of Germany.

<sup>b</sup>Synthesis utilized a purer polyether.

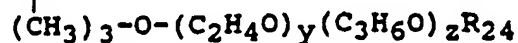
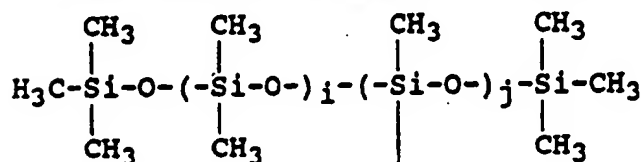
<sup>c</sup>Not available.

<sup>d</sup>Union Carbide Corporation, Danbury, Connecticut.

Table 2

Properties of the Type A Additives of Table 1			
Code	Viscosity <sup>a</sup>	Cloud Point <sup>b</sup>	Surface Tension <sup>c</sup>
A01	7	NA <sup>d</sup>	24.9
A02	10	1	24.4
A03	11	1	22.5
A04	16	7	24.2
A05	13	<0	23.5
A06	15	2	23.4
A07	18	7	26.0
A08	15	<0	NA
A09	17	4	25.2
A10	24	<0	24.3
A11	23	<3	25.2
A12	16	2	22.8
A13	18	2	24.3
A14	22	15	23.9
A15	26	22	NA
A16	31	21	NA
A17	58	45	25.8
A18	20	20	NA
A19	59	40	24.0
A20	40	0	24.9
A21	320	NA	NA
A22	38	4	22.8
A23	44	4	24.3
A24	2400	T <sup>e</sup>	21.0

<sup>a</sup>In centistokes at 25° C.<sup>b</sup>In degrees C, of a 1 percent by weight aqueous solution.<sup>c</sup>In dynes/cm,  $\pm 1.5$ , of a 1 percent by weight aqueous solution.<sup>d</sup>Not available.<sup>e</sup>Turbid

Table 3Type B Additives Imparting Water Wettability

## Additive

Code	R <sub>24</sub>	i	j	y	z	MW	I.D.	Source
B01	CH <sub>3</sub>	NA <sup>a</sup>	NA	NA	NA	600	L-77	UCb
B02	H	0	1	10	2	836	T-5847	G <sup>c</sup>
B03	CH <sub>3</sub>	0	2	10	2	850	T-5878	G
B04	CH <sub>3</sub>	NA	NA	NA	NA	3000	L-7602	UC
B05	n-C <sub>4</sub> H <sub>9</sub>	NA	NA	NA	NA	3000	L-7500	UC
B06	H	18	5	12	0	4724	T-5842	G
B07	H	20	5	3	10	5792	T-5852	G
B08	H	20	5	13	3	5962	T-5851	G
B09	H	18	5	16	2	6184	T-5857	G
B10	H	20	5	8	12	7472	T-5873	G
B11	H	43	5	22	23	15,444	T-5863	G

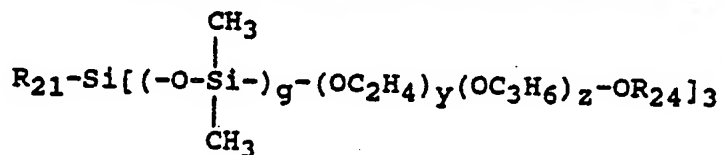
<sup>a</sup>Not available.<sup>b</sup>Union Carbide Corporation, Danbury, Connecticut.<sup>c</sup>Th. Goldschmidt AG, Essen, Federal Republic of Germany.

Table 4

Properties of the Type B Additives of Table 3				
Code	Viscosity <sup>a</sup>	Cloud Point <sup>b</sup>	Refractive Index <sup>c</sup>	Surface Tension
B01	20	10	NA <sup>d</sup>	21 <sup>e</sup>
B02	100	45	NA	23 <sup>f</sup>
B03	25	T <sup>g</sup>	1.446	20 <sup>f</sup>
B04	100	0	NA	22 <sup>g</sup>
B05	175	h	NA	NA
B06	560	80	1.450	30 <sup>f</sup>
B07	290	10	1.444	NA
B08	430	65	1.450	30 <sup>f</sup>
B09	580	84	1.449	28 <sup>f</sup>
B10	440	30	1.449	28 <sup>f</sup>
B11	2700	42	1.450	30 <sup>f</sup>

<sup>a</sup>In centistokes at 25° C.<sup>b</sup>In degrees C, of a 1 percent by weight aqueous solution.<sup>c</sup>At 20° C, ± 0.005.<sup>d</sup>Not available.<sup>e</sup>In dynes/cm, ± 1.5, of a 0.1 percent by weight aqueous solution.<sup>f</sup>In dynes/cm, ± 1.5, of a 0.1 percent by weight aqueous solution.<sup>g</sup>Turbid.<sup>h</sup>Insoluble.

Table 5

Type C Additive Imparting Water Wettability

Add.

Code	R <sub>21</sub>	R <sub>24</sub>	g	y	z	MW	I.D.	Source
C01	n-C <sub>4</sub> H <sub>9</sub>	NA <sup>a</sup>	NA	NA	NA	8000	L-720	UC <sup>b</sup>

<sup>a</sup>Not available.<sup>b</sup>Union Carbide Corporation, Danbury, Connecticut.



Table 6

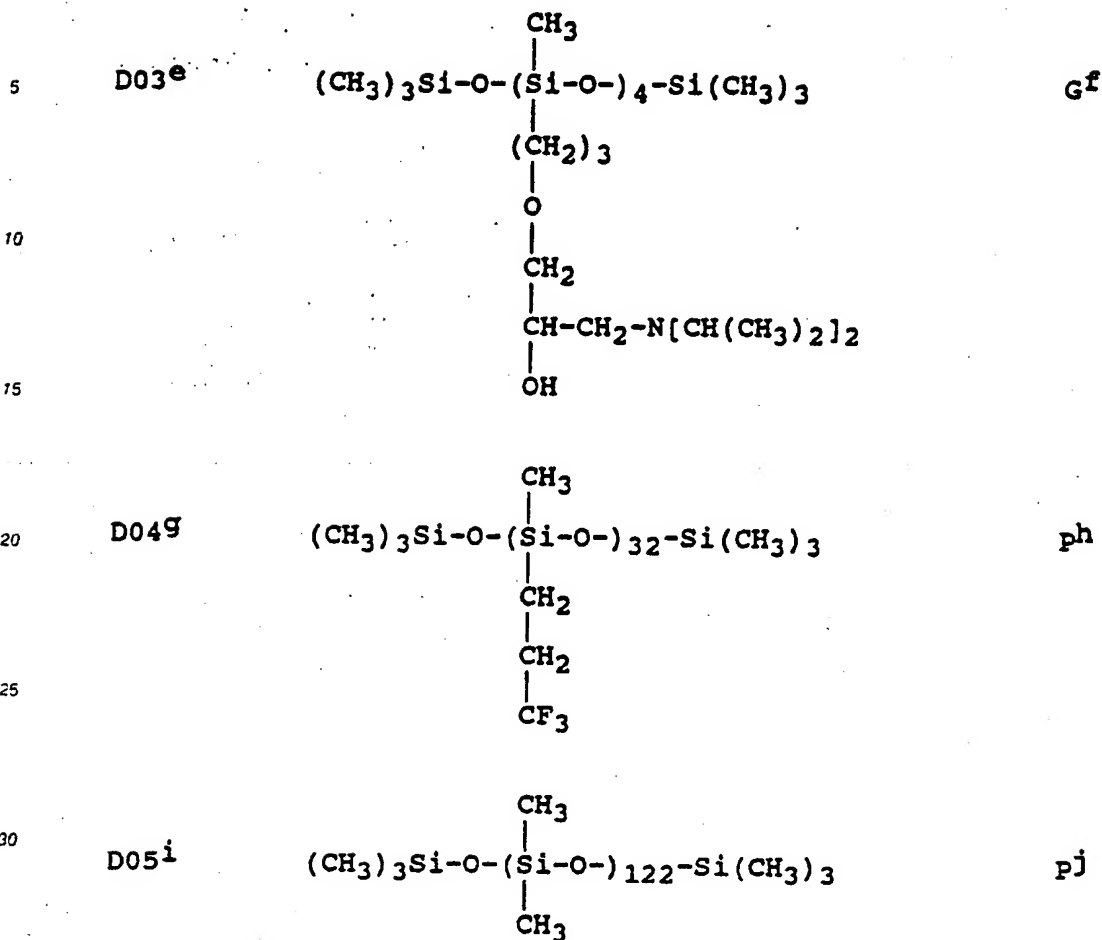
Properties of the Type C Additive of Table 3				
Code	Viscosity <sup>a</sup>	Cloud Point <sup>b</sup>	Refractive Index <sup>c</sup>	Surface Tension <sup>d</sup>
C01	1100	42	NA <sup>e</sup>	29

<sup>a</sup>In centistokes at 25° C.<sup>b</sup>In degrees C, of a 1 percent by weight aqueous solution.<sup>c</sup>At 20° C, ± 0.005.<sup>d</sup>In dynes/cm, ± 1.5, of a 0.1 percent by weight aqueous solution.<sup>e</sup>Not available.

Table 7

Additives Imparting Characteristics  
Other Than Water Wettability

Additive Code	Structure	Source
D01 <sup>a, b</sup>	$  \begin{array}{c}  \text{CH}_3 \qquad \text{CH}_3 \\    \qquad \quad   \\  (\text{CH}_3)_3\text{Si}-(\text{O}-\text{Si}-)_4-\text{O}-\text{Si}-\text{O}-\text{Si}(\text{CH}_3)_3 \\    \qquad \quad   \\  \text{CH}_3 \qquad (\text{CH}_2)_3 \\    \\  \text{CHOH} \\    \\  \text{CH}_2\text{R}_{69}  \end{array}  $	Ex. 1
D02 <sup>c, d</sup>	$  \begin{array}{c}  \text{CH}_3 \qquad \text{CH}_3 \\    \qquad \quad   \\  (\text{CH}_3)_3\text{Si}-(\text{O}-\text{Si}-)_4-\text{O}-\text{Si}-\text{O}-\text{Si}(\text{CH}_3)_3 \\    \qquad \quad   \\  \text{CH}_3 \qquad (\text{CH}_2)_3 \\    \\  \text{CHOH} \\    \\  \text{CH}_2\text{R}_{70}  \end{array}  $	Ex. 2



<sup>a</sup>Imparts ultraviolet radiation absorption.

<sup>b</sup>R<sub>69</sub> is 2-(2-hydroxy-3-t-butyl-5-methylphenyl)-2H-benzotriazol-5-yl, lithium salt.

<sup>c</sup>Imparts light stabilization by deactivating excited oxygen molecules or terminating free radicals.

<sup>d</sup>R<sub>70</sub> is poly(N-β-hydroxyethyl-2,2,6,6-tetramethyl-4-hydroxypiperidyl succinate) covalently coupled through an ether linkage via the 4-hydroxy group of the terminal piperidyl moiety.

<sup>e</sup>Imparts buffering capacity against hydrogen ions.

<sup>f</sup>D-1059, Th. Goldschmidt AG, Essen, Federal Republic of Germany.

<sup>g</sup>Imparts a low surface energy.

<sup>h</sup>PS-182, Petrarch Systems, Bristol, Pennsylvania.

1A control additive which lacks a moiety B.  
 JPS-042, Petrarch Systems, Bristol, Pennsylvania.

Properties of the Additives of Table 7			
Code	Viscosity <sup>a</sup>	Refractive Index <sup>b</sup>	Surface Tension <sup>c</sup>
D01	NA <sup>d</sup>	NA	NA
D02	NA	NA	NA
D03	NA	NA	NA
D04	1,000	1.382	NA
D05	500	1.403	21.1

<sup>a</sup>In centistokes at 25° C.

<sup>b</sup>At 20° C, ± 0.005.

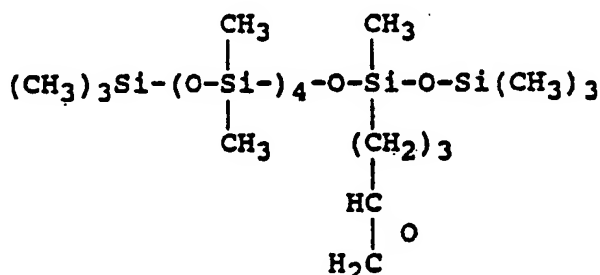
<sup>c</sup>In dynes/cm.

<sup>d</sup>Not available.

#### Example 1

#### Preparation of Additive D01

A 100-ml, three-necked, round-bottomed flask was fitted with a pressure-equalized side arm addition funnel, condenser, and rubber septum. The addition funnel and condenser also were fitted with rubber septa. The flask was purged continuously with dry nitrogen (Matheson extra dry grade) which was introduced via a syringe needle inserted through the rubber septum fitted on one of the three necks of the flask; the nitrogen exited via another syringe needle inserted through the condenser-mounted rubber septum. Using a syringe, the flask was charged with 0.5 g (1.56 mmole) of 2-(2-hydroxy-3-t-butyl-5-methylphenyl)-5-chlorobenzotriazole (TINUVIN 326, Ciba-Geigy Corporation, Hawthorne, New York) dissolved in 30 ml of dry tetrahydrofuran (THF) (Gold Label, 99.9 percent, Aldrich Chemical Company, Inc., Milwaukee, Wisconsin). The resulting solution was cooled in a dry ice/acetone bath to a temperature of about -78° while being stirred with a magnetic stirrer. To the cold solution was slowly added dropwise 0.48 g of lithium diisopropylamine (Aldrich Chemical Company, Inc.) in approximately 5 ml of THF which had been added via a syringe to the addition funnel. The resulting mixture was stirred for one hour, after which time 0.91 g (1.56 mmole) of a compound having the following formula (TEGOPREN 3010, Th. Goldschmidt AG, Essen, Federal Republic of Germany), dissolved in about 5 ml of THF, was added dropwise by means of the addition funnel (charged by syringe injection), over a 20-minute period:



The resulting mixture was allowed to warm to ambient temperature, with stirring. The mixture was allowed to stir for four hours, after which time the solvent was removed under reduced pressure by means of a rotating evaporator (Buchi Rotovap, Model RE 120). The residue was a pale yellow wax. Infrared analysis of the material showed absorption maxima at 3600 and 3100  $\text{cm}^{-1}$ .

## Example 2

### Preparation of Additive D02

The procedure of Example 1 was repeated, except that the 2-(2-hydroxy-3-t-butyl-5-methylphenyl)-5-chlorobenzotriazole was replaced with 10 g (4 mmole) of poly(N- $\beta$ -hydroxyethyl-2,2,6,6-tetramethyl-4-hydroxypiperidyl succinate) having a molecular weight of approximately 2300 (TINUVIN 622 LD, Ciba-Geigy Corporation, Ardsley, New York), the lithium diisopropylamine was replaced with 0.26 g (4 mmole) of butyllithium (Aldrich Chemical Company, Inc.), and the amount of TEGOPREN 3010 was increased to 2.4 g (4 mmole). The yield of additive was 9.6 g (77 percent).

### B. Polymers

The polymers employed are summarized in Table 9 which is based on data supplied by the manufacturers. In the table, the melt flow rate is given in the column labeled "MFR" and was determined in accordance with ASTM Test Method D1238-82, "Standard Test Method for Flow Rates of Thermoplastics by Extrusion Plastometer." The polydispersity, PD, is the ratio of the weight-average molecular weight,  $M_w$ , to the number-average molecular weight,  $M_n$ .

**Table 9**  
**Summary of Polymers Employed**

Polymer	Code	MFR	PD	$M_n$	$M_w$	Temp. Range <sup>a</sup>
	PPA <sup>b</sup>	35	2.7	52,000	140,000	293-316
	PPB <sup>c</sup>	400	4.0	17,000	68,000	254-304
	PPC <sup>d</sup>	400	4.0	17,000	68,000	254-304
	PPD <sup>e</sup>	60	4.0	30,000	NA <sup>f</sup>	NA
	PPE <sup>g</sup>	NA	NA	NA	NA	204-260
	PPF <sup>h</sup>	NA	NA	NA	NA	NA
	PEA <sup>i</sup>	NA	NA	NA	NA	NA
	PEB <sup>j</sup>	NA	NA	NA	NA	NA
	PSA <sup>k</sup>	NA	NA	NA	NA	245 <sup>l</sup>

<sup>a</sup>Degrees C.

<sup>b</sup>Type PC-973 polypropylene, Himont Incorporated, Wilmington, Delaware.

<sup>c</sup>Type PF-441 polypropylene, Himont Incorporated.

dType PF-015 polypropylene, Himont Incorporated; the polymer is type PF-441 to which has been added 500 ppm of Lubrizol 101 (Lubrizol, Inc., Wickliffe, Ohio).

eType PF-444 polypropylene, Himont Incorporated.

fNot available.

gType 5A08 polypropylene, Shell Chemical Co., Houston, Texas; melt index, 3.0 g/10 min.; and specific gravity, 0.903.

hType WRS-5-144 polypropylene, Shell Chemical Co., Houston, Texas.

iType 61800.06 low density polyethylene, Dow Chemical Co., Midland, Michigan.

jType 3404 low density polyethylene, Norchem, Inc., Rolling Meadows, Illinois; melt index, 1.8 g/10 min.; and density, 0.922 g/cm<sup>3</sup>.

kType PET 7352 poly(ethylene terephthalate), Eastman Chemical Products, Inc., Kingsport, Tennessee; melt index, 1.2 g/10 min.; and specific gravity, 1.4.

lRecommended melt processing temperature.

## II. Preparation of Compositions

Surface-segregatable thermoplastic, melt-extrudable compositions as provided by the present invention were prepared by several methods. However, only those methods are described below which permitted isolation of the composition prior to a melt-processing step; i.e., a bench-scale method and a pilot-scale method. The preparations of compositions simultaneously with melt-processing are described in conjunction with such melt-processing.

### Examples 3-49

#### A. Bench-Scale Method

Approximately 10 g of a polymer in pellet form was mixed in a beaker with the desired amount of additive. The resulting mixture was poured into the hopper of a small compounding unit (Max Mixing Extruder, No. CS-194-FA-093, Custom Scientific Instruments, Inc., New York, New York). The mixture was heated in the extruder of the compounder to a temperature of 180° and extruded through a die having a single, approximately 4-mm diameter, orifice. The extruded composition was collected either on aluminum foil or in a glass evaporating dish. The cooled material was cut manually into approximately 6-mm long pieces. The compositions prepared are summarized in Table 10.

Table 10  
Summary of Bench-Scale Preparations of Compositions

<u>Example</u>	<u>Composition</u>	<u>Polymer</u>	<u>Additive(s)</u>	
	<u>Code</u>	<u>Code</u>	<u>Code(s)</u>	<u>Wt. Percent</u>
3	PP01-1	PPA	A13	2
4	PP02-1	PPA	A18	1
5	PP03-1	PPA	A18	3
6	PP04-1	PPA	A20	1
7	PP05-1	PPA	A20	3
8	PS01-1	PSA	A20	2
9	PS02-1	PSA	A20	5
10	PP06-1	PPA	A21	1
11	PP07-1	PPA	A21	3
12	PE01-1	PEA	A21	1
13	PE02-1	PEA	A21	3
14	PS03-1	PSA	A23	2
15	PP08-1	PPA	B01	1
16	PP09-1	PPA	B01	2
17	PP10-1	PPA	B01	3
18	PE03-1	PEA	B01	1
19	PE04-1	PEA	B01	3
20	PP11-1	PPA	B04	1
21	PP12-1	PPA	B04	3
22	PE05-1	PEA	B04	1
23	PE06-1	PEA	B04	3

	24	PP13-1	PPA	B05	1
	25	PP14-1	PPA	B05	3
5	26	PE07-1	PEA	B05	1
	27	PE08-1	PEA	B05	3
	28	PP15-1	PPA	B06	3
	29	PP16-1	PPA	B09	3
10	30	PP17-1	PPA	B10	3
	31	PP18-1	PPA	C01	1
	32	PP19-1	PPA	C01	3
15	33	PE09-1	PEA	C01	1
	34	PE10-1	PEA	C01	3
	35	PE11-1	PEA	D01	1
	36	PE12-1	PEA	D01	3
20	37	PE13-1	PEA	D02	3
	38	PE14-1	PEA	D03	3
	39	PP20-1	PPA	D04	3
25	40	PP21-1	PPA	D05	3
	41	PP22-2	PPA	B02	1.5
				B11	1.5
30	42	PP23-2	PPA	B06	1.5
				B10	1.5
	43	PP24-2	PPA	B10	1.5
				B11	1.5
35	44	PP25-3	PPA	B04	0.33
				B05	0.33
				C01	0.33
40	45	PP26-3	PPA	B04	1
				B05	1
				C01	1
45	46	PP27-3	PPA	B04	1.67
				B05	1.67
				C01	1.67
50	47	PE15-3	PEA	B04	0.33
				B05	0.33
				C01	0.33

5	48	PE16-3	PEA	B04	1
				B05	1
				C01	1
10	49	PE17-3	PEA	B04	1.67
				B05	1.67
				C01	1.67

#### Examples 50-130

#### B. Pilot-Scale Method

To a weighed amount of polymer, typically from about 13 to about 45 kg, in a plastic-lined fiber drum was added the desired amount of additive. The components then were mixed mechanically in a paddle mixer (Banbury, Ann Arbor, Michigan). The hopper of a twin-screw compounding unit (Egan Machinery Company, Sommerville, New Jersey) was charged with the resulting mixture. The mixture was gravity-fed to the compounding screws. Compounding was accomplished at a temperature of from about 180 to about 250°, depending on the polymer employed. The resulting composition was extruded through a die having six orifices with diameters of about 3 mm. The extruded filaments were passed through a ten-foot water bath and then a forced-air blower. The dried filaments were pelletized in a rotary pelletizer (Cumberland Company, New York, New York) and stored in 23-kg lots in plastic-lined boxes. The resulting compositions are summarized in Table 11. In some cases, an elemental analysis was carried out on the composition by Galbraith Laboratories, Inc., Knoxville, Tennessee. The results of the elemental analyses are summarized in Table 12.



Table 11  
Summary of Pilot-Scale Preparations of Compositions

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	<u>Example</u>	<u>Composition</u>	<u>Polymer</u>	<u>Additive(s)</u>	
		<u>Code</u>	<u>Code</u>	<u>Code(s)</u>	<u>Wt. Percent</u>
10	50	PP28-1	PPA	A21	1
	51	PP29-1	PPA	A21	3
	52	PP30-1	PPA	A21	5
	53	PP31-1	PPA	A21	12
15	54	PE18-1	PEA	A21	1
	55	PE19-1	PEA	A21	3
	56	PE20-1	PEA	A21	5
20	57	PP32-1	PPA	B01	3
	58	PP33-1	PPA	B01	5
	59	PP34-1	PPB	B01	3
25	60	PP35-1	PPB	B01	5
	61	PP36-1	PPC	B01	3
	62	PP37-1	PPC	B01	5
	63	PE21-1	PEA	B01	3
30	64	PE22-1	PEA	B01	5
	65	PP38-1	PPA	B02	3
	66	PP39-1	PPA	B02	5
35	67	PP40-1	PPC	B02	3
	68	PP41-1	PPC	B02	5
	69	PP42-1	PPA	B03	3
40	70	PP43-1	PPA	B03	5
	71	PP44-1	PPC	B03	3
	72	PP45-1	PPC	B03	5
	73	PP46-1	PPA	B04	3
45	74	PP47-1	PPA	B04	5
	75	PE23-1	PEA	B04	3
	76	PE24-1	PEA	B04	5
50	77	PP48-1	PPA	B05	3
	78	PP49-1	PPA	B05	5
	79	PE25-1	PEA	B05	3
55	80	PE26-1	PEA	B05	5

	81	PP50-1	PPA	B06	3
5	82	PP51-1	PPA	B06	5
	83	PP52-1	PPC	B06	3
	84	PP53-1	PPC	B06	5
	85	PP54-1	PPA	B07	3
10	86	PP55-1	PPA	B07	5
	87	PP56-1	PPC	B07	3
	88	PP57-1	PPC	B07	5
15	89	PP58-1	PPA	B08	3
	90	PP59-1	PPA	B08	5
	91	PP60-1	PPC	B08	3
20	92	PP61-1	PPC	B08	5
	93	PP62-1	PPA	B09	2
	94	PP63-1	PPA	B09	3
	95	PP64-1	PPA	B09	5
25	96	PP65-1	PPC	B09	3
	97	PP66-1	PPC	B09	5
	98	PP67-1	PPA	B10	3
30	99	PP68-1	PPA	B10	5
	100	PP69-1	PPC	B10	3
	101	PP70-1	PPC	B10	5
35	102	PP71-1	PPA	B11	3
	103	PP72-1	PPA	B11	5
	104	PP73-1	PPC	B11	3
	105	PP74-1	PPC	B11	5
40	106	PP75-1	PPA	C01	1
	107	PP76-1	PPA	C01	3
	108	PP77-1	PPA	C01	5
45	109	PE27-1	PEA	C01	1
	110	PE28-1	PEA	C01	3
	111	PE29-1	PEA	C01	5
50	112	PP78-1	PPA	D03	3
	113	PP79-1	PPA	D04	3
	114	PP80-1	PPA	D05	3
55	115	PP81-2	PPA	B02	1
			B11	1	

5	116	PP82-2	PPA	B02	1.5
				B11	1.5
	117	PP83-2	PPA	B06	1
				B10	1
10	118	PP84-2	PPA	B06	1.5
				B10	1.5
15	119	PP85-2	PPA	B10	1
				B11	1
	120	PP86-2	PPA	B10	1.5
				B11	1.5
20	121	PP87-3	PPA	B06	1
				B09	1
	122	PP88-3	PPA	B10	1
				B06	1
25	123	PP89-3	PPA	B09	1
				B11	1
30	124	PP90-3	PPA	B09	0.67
				B10	0.67
	125	PP91-3	PPA	B11	0.67
				B04	0.33
35	126	PP92-3	PPA	B05	0.33
				C01	0.33
	127	PP93-3	PPA	B04	0.67
				B05	0.67
40	128	PE30-3	PEA	C01	0.67
				B04	1
	129	PP94-3	PPA	B05	1
				C01	1
45	130	PP95-3	PPA	B04	1.67
				B05	1.67
	131	PP96-3	PPA	C01	1.67
				B04	0.33
50	132	PP97-3	PPA	B05	0.33
				C01	0.33
55	133	PP98-3	PPA	B04	0.33
				B05	0.33

129	PE31-3	PEA	B04	1
			B05	1
			C01	1
130	PE32-3	PEA	B04	1.67
			B05	1.67
			C01	1.67

Table 12

Elemental Analyses of Selected Compositions					
Example	Composition Code	Elemental Analysis			
		% C	% H	% Si	% F
50	PP28-1	85.60	13.96	0.23	-
52	PP30-1	84.28	13.54	0.77	-
65	PP38-1	84.36	13.83	0.50	-
74	PP47-1	84.44	13.50	0.47	-
78	PP49-1	84.51	13.47	0.36	-
81	PP50-1	84.90	13.79	0.77	-
93	PP62-1	83.56	13.39	0.42	-
98	PP67-1	84.49	13.65	0.47	-
102	PP71-1	83.86	13.55	0.42	-
108	PP77-1	84.05	13.58	0.38	-
112	PP78-1	83.83	13.49	1.06	0.93
121	PP87-3	84.30	13.70	0.45	-
122	PP88-3	82.70	13.50	0.64	-
123	PP89-3	84.36	13.74	0.33	-
124	PP91-3	85.04	13.58	0.27	-
126	PP92-3	85.11	13.59	0.52	-

It is evident from the data in Table 12 that each composition analyzed contained additive. However, the effectiveness of the additive remained to be demonstrated.

### C. Hot-Stage Microscope Study

A hot-stage microscope study was conducted on several polymer-additive combinations in an effort to gain an insight into the compatibility aspect of the additive with the polymer. Although the study actually was done later in the program, it is reported here for convenience, except for one part which will be described in Section VI.

Briefly, polymer, either in the form of small granules or fibers, both with and without additives, was observed under a hot-stage microscope at two temperatures, 160° and 220°, at a magnification of 350x. The equipment consisted of a Mettler hot-stage and a Zeiss Universal optical microscope equipped with transmitted light optics. The presence of additive globules at either temperature was an indication of the incompatibility of the additive with the polymer at the temperature of observation. The study was conducted by Ricerca, Inc., Painesville, Ohio.

The first material studied was the web of Example 327 which was prepared from a composition of the present invention consisting of polymer PPA and 3 percent by weight of additive A11. Figure 2A is a representation of the photomicrograph at 160° and Figure 2B is a representation of the photomicrograph at 220°. In Figure 2A, additive globules 21 clearly are present. Also present are what appear to be a few particles 22 of debris or foreign matter. At 220°, as seen in Figure 2B, a few additive globules 21 seem to

be present, but they appear to be slightly smaller in size. Again, some debris particles 22 are present.

The existence of a large number of additive globules at 160° demonstrates that the additive is incompatible with the polymer at that temperature. Moreover, the fact that the number of globules decreases significantly at 220° indicates that additive compatibility with the polymer has increased substantially. Since melt-extrusion temperatures for polymer PPA typically are in the range of from about 250 to about 300°, the additive clearly will be compatible with the polymer at melt extrusion temperatures. The second material consisted of polymer PPA alone as a negative control. Figures 3A and 3B are representations of the hot-stage photomicrographs at 160 and 220°, respectively. In Figure 3A, crystallites 31 are seen. While not apparent from the Figures, such crystallites 31 differ in appearance and are distinguishable from additive globules, such as additive globules 21 in Figure 2A. Upon heating to 220°, as shown by Figure 3B, most of the crystallites 31 have disappeared; some debris 32 is present.

As a positive control, composition PP21-1 from Example 40 was studied under the same conditions. Representations of the photomicrographs are shown as Figures 4A and 4B. In both figures, numerous globules 41 of additive D05 are apparent. Some of such globules apparently have coalesced at the higher temperature to form droplets 43 (Figure 4B). At least one debris particle 42 is seen in Figure 4A.

The incompatibility of additive D05 in polymer PPA at both 160 and 220° is striking, especially when Figure 4B is compared with Figure 2B. Moreover, it is clear that the additive becomes less compatible with the polymer as the temperature of the polymer increases.

This discussion of the hot-stage microscope study concludes with the results obtained with composition PP26-3 from Example 45. That composition, it will be recalled, consists of polymer PPA and a mixture of additives having molecular weights of 3,000, 3,000, and 8,000, respectively. The presence of additive globules 51 is seen in Figure 5A which represents the hot-stage photomicrograph at 160°. Such globules appear to be nearly gone at 220° (Figure 5B).

Thus, Figures 5A and 5B are similar to Figures 2A and 2B, respectively, and demonstrate that the additive mixture changes from incompatible to compatible as the temperature of the polymer is raised from 160 to 220°.

Several other compositions of the present invention were included in the hot-stage microscope study with results similar to those shown in Figures 2A, 2B, 5A, and 5B.

From the foregoing, it is apparent that the use of the hot-stage microscope as just described can be used as a simple method for determining whether or not any given additive or additive mixture is likely to segregate in a controlled manner to the surface of a fiber or film as described herein. If the additive or additive mixture forms globules which remain at both 160 and 220°, the probability is that such additive or additive mixture will not segregate to one or more of the interfacial surface, effective surface, and subsurface. In addition, the melt-processing of a composition incorporating therein such additive or additive mixture probably will not be successful. On the other hand, if the additive or additive mixture does not form globules at 160°, the additive or additive mixture is compatible with the polymer at temperatures below melt-extrusion temperatures and probably will remain distributed throughout the bulk of the resulting fiber or film without any controlled segregation toward the surface.

### III. Preparation of Melt-Pressed Films

#### Examples 131-176

As an initial screening method, films were pressed from various of the compositions prepared and described in Section II, above. The apparatus employed was a Carver Laboratory Press, Model 2518 (Fred S. Carver, Inc., Menomonee Falls, Wisconsin) having heated plates. From about 1 to about 10 g of a composition was placed between two sheets of aluminum foil and the resulting assembly was placed on the bottom plate of the press, the plates having been preheated to about 180°. Pressure up to about 10,000 psig was applied and maintained for no more than about 5 seconds. The pressure was released and the foil sandwich was removed from the press. The foil was removed and the film thus obtained was stored in a plastic bag. Film thicknesses of from about 1 to about 5 microns typically were obtained. The wettability of each film made with a type A, B, or C additive was qualitatively estimated by simply placing a drop of water on the surface and observing whether or not the drop wet the surface of the film. The films obtained and the results of the wettability screen are summarized in Table 13.

Table 13  
Summary of Melt-Pressed Films Prepared  
from Compositions Prepared in Section II

5

	<u>Composition</u>		<u>Wettability</u>
	<u>Example</u>	<u>Code</u>	
10	131	PP01-1	Positive
	132	PP02-1	Positive
	133	PP03-1	Positive
15	134	PP04-1	Positive
	135	PP05-1	Positive
	136	PS01-1	Positive
	137	PS02-1	Positive
20	138	PP06-1	Positive
	139	PP07-1	Positive
	140	PE01-1	Positive
25	141	PE02-1	Positive
	142	PS03-1	Positive
	143	PP08-1	Positive
30	144	PP09-1	Positive
	145	PP10-1	Positive
	146	PE03-1	Positive
	147	PE04-1	Positive
35	148	PP11-1	Positive
	149	PP12-1	Positive
	150	PE05-1	Positive
40	151	PE06-1	Positive
	152	PP13-1	Positive

-5

50

55

	153	25	PP14-1	Positive
	154	26	PE07-1	Positive
5	155	27	PE08-1	Positive
	156	28	PP15-1	Positive
	157	29	PP16-1	Positive
	158	30	PP17-1	Positive
10	159	31	PP18-1	Positive
	160	32	PP19-1	Positive
	161	33	PE09-1	Positive
15	162	34	PE10-1	Positive
	163	35	PE11-1	N/A <sup>a</sup>
	164	36	PE12-1	N/A
20	165	37	PE13-1	N/A
	166	38	PE14-1	N/A
	167	39	PP20-1	N/A
	168	40	PP21-1	N/A
25	169	41	PP22-2	Positive
	170	42	PP23-2	Positive
	171	43	PP24-2	Positive
30	172	44	PP25-3	Positive
	173	45	PP26-3	Positive
	174	46	PP27-3	Positive
35	175	47	PE15-3	Positive
	176	48	PE16-3	Positive
	177	49	PE17-3	Positive

<sup>a</sup>Not applicable, since the additive was not designed to impart water wettability.

In an effort to obtain some indication of the preferential segregation of additive(s) to the surface of the melt-pressed films, a sample of the film of Example 173 was subjected to scanning electron microscopy in conjunction with a silicon x-ray probe (Si-SEM) in accordance with standard procedures. The scanning electron microscope was manufactured by Cambridge Instruments, Cambridge, England, and the x-ray probe was manufactured by Princeton Gamma Tech, Princeton, California.

The sample of the film of Example 173 is represented diagrammatically by Figure 6, in which film sample 60 has top surface 61 and front end surface 62. Figure 7 is the diagrammatic representation of Figure 6 on which has been superimposed the results of the Si-SEM. In Figure 7, film sample 70 has top surface 71 and front end surface 72. Each of dots 73 represents the presence of silicon atoms.

It is clear that the additives included in the composition from which the film of Example 173 was prepared have segregated preferentially to the surface region of the film. The absence of silicon in the core region of the film is striking. The irregular distribution of silicon along top surface 71 (Figure 7) is believed to have resulted from the irregularities present in the surface of the top plate of the press. Such irregularities include the generally streaked orientation of silicon atoms along surface 71.

Water contact angles were measured for several of the melt-pressed films. The apparatus employed was an NRL Goniometer, Model No. 100-00-115 (Ramé-Hart, Inc., Mountain Lakes, New Jersey. The water

used was HPLC Grade water (Fisher Scientific, Pittsburgh, Pennsylvania). The results of the measurements are summarized in Table 14.

Table 14

Water Contact Angles for Selected Melt-Pressed Films	
Film Example	Contact Angle, °
131	<2
144	<2
156	10
157	12
158	10
171	7
Control <sup>a</sup>	98
167	105
168b	115

<sup>a</sup>Film pressed from virgin polymer (PPA) without any additive.

<sup>b</sup>Film pressed from the composition consisting of polymer PPA and additive D05 as a positive control.

The presence of either an additive intended to impart water wettability or an additive intended to increase the surface energy of the film clearly changed the contact angle measurement of the film relative to the control film which did not contain additive. Additives of the former type decreased the contact angle, as expected, and the additive of the latter type increased the contact angle, also as expected.

With respect to the two films which contained an additive which absorbed ultraviolet radiation, i.e., the films of Examples 163 and 164, they showed a broad, strong absorption band from 220 to 360 nm when analyzed on an ultraviolet spectrophotometer.

Samples of both films were subjected to electron spectroscopy for chemical analysis (ESCA). The ESCA data were collected by Surface Science Laboratories, Inc., Mountain View, California, using a Hewlett-Packard 5950 B spectrometer with a monochromatic aluminum K-alpha x-ray source. The scans were done with the open aperture setting for high sensitivity (low resolution). The x-ray power setting was 600-800 watts and charge neutralization was accomplished with a flood gun setting of 13 electron volts. The vacuum utilized was  $10^{-8}$  Torr. The area analyzed was about 1 x 4 mm and the sampling depth was about 100 Å.

In addition, each film was subjected to bulk elemental analysis. The ESCA data and the results of the elemental analyses are summarized in Table 15.

Table 15

Summary of ESCA Data and Elemental Analyses on Melt-Pressed Films Containing a UV Absorber								
Example	ESCA Data				Bulk Elemental Analyses			
	% C	% O	% N	% Si	% C	% H	% N	% Si
163	64	12	12	6	85.30	14.10	0.13	0.26
164	61	11	14	7	85.10	14.37	0.10	0.33

Because ESCA analyses are limited to a depth of about 100 Å, two film samples were submitted for analysis by Rutherford back scattering (RBS) spectrometry. The analyses were carried out by Charles Evans & Associates, Redwood City, California. The apparatus employed was a General Ionics Model 4110 Tandem Accelerator (General Ionics Corporation, Newburyport, Massachusetts) using an Evans End Station



(Charles Evans & Associates). A 2.275 MeV  $\text{He}^{++}$  ion probe was used, with a detection angle of  $160^\circ$ . Typical beam currents were 1-20 nanoamps. Ions were detected by surface barrier detectors. Data analysis involved the TOS source code written by Charles Evans & Associates and owned by General Ionics Corporation. The energy losses of the scattered helium nuclei give information on the nature and depth of the target atoms in the polymer matrix. The results are summarized in Table 16.

Table 16

Summary of RBS Analyses on Melt-Pressed Films					
Example	Depth, Å	Atomic Concentration, Atom %			
		C	O	Si	Ti
144	0-500	30	0.3	0.09	<0.01 <sup>a</sup>
	>500	30	0.1	0.03	<0.01 <sup>a</sup>
173	0-500	30	1.0	0.56	<0.01 <sup>a</sup>
	500-1000	30	0.6	0.15	<0.01 <sup>a</sup>
	>1000	30	0.1	0.04	<0.01 <sup>a</sup>

<sup>a</sup>This concentration was at or near the detection limit; the actual concentration may be considerably lower.

The RBS data from Table 16 for the film of Example 173 were plotted as the atomic concentration of silicon in atom percent (y-axis) versus depth in Å (x-axis); the plot is shown as Figure 8. In this and all subsequent plots of RBS data, the silicon concentrations were drawn parallel to the x-axis as lines which correspond to the depth field and the midpoints of such lines then were connected to obtain the curve shown in the plot. It is evident from Figure 8 that most of the additives have segregated to the interfacial surface, effective surface, and subsurface of the film. Below a depth of around 1000-1250 Å, the concentration of silicon is very low, i.e., no more than about 0.04 atom percent.

The films from Examples 144 and 173 also were submitted for ESCA and bulk elemental analyses. The results of these analyses are shown in Table 17.

Table 17

Summary of ESCA and Elemental Analyses for the Films of Examples 144 and 172						
Example	ESCA Data			Bulk Elemental Anal.		
	% C	% O	% Si	% C	% H	% Si
144	94	4.4	1.3	84.21	13.32	0.24
173	62	25	12	85.11	13.59	0.52

It is apparent that the ESCA data and the RBS data cannot be correlated, partly because of the differences in the depths of measurements and partly because of the nonlinear concentration gradient which exists from the interfacial surface to the core of the film. Taken together, however, the data clearly establish the controlled segregation of additive toward the surface of the film.

The evaluation of the film from Example 165 which contained additive D02 consisted of an accelerated ultraviolet radiation exposure trial. A sample of film measuring 3.8 x 10 cm, along with a control film pressed from virgin polymer, was suspended 0.91 m in front of a 400-watt mercury arc lamp (Hanovia 674A10). Both films were exposed continuously for 12 hours. The films then were moved to a distance of 0.30 m from the lamps and exposed continuously for an additional 8 hours. Upon examining both films, it was found that the film of example 165 appeared to be unchanged, whereas the control film was brittle and could not be bent without breaking.

Before evaluating the film of Example 166 which contained buffering additive D03, the additive itself was examined for its buffering capabilities. This was done by charging a 50-ml beaker with 15 ml of deionized water and a small magnetic stirring bar. The beaker was placed on top of a magnetic stirrer and fitted with a calibrated pH electrode. The beaker then was charged with 0.032 g (1 drop) of TRITON X-102

(Rohm and Haas Co., Philadelphia, Pennsylvania) and the pH of the resulting solution measured. To the solution in the beaker then was added 0.032 g (1 drop) of additive D03, followed by the measurement of the solution pH. Three additional, equal amounts of additive D03 were added sequentially, with the solution pH being measured after each addition. The results are presented in Table 18.

Table 18

Summary of pH Measurements of Aqueous Additive D03 Solutions	
Solution Composition	Solution pH
Water and 1 drop TRITON	5.50
Water, 1 drop TRITON, 1 drop D03	6.25
Water, 1 drop TRITON, 2 drops D03	8.30
Water, 1 drop TRITON, 3 drops D03	8.72

The solution containing 1 drop of TRITON X-102 and 3 drops of additive D03 (0.096 g) then was titrated with 0.01 N hydrochloric acid. That is, incremental volumes of hydrochloric acid were added, with the pH of the solution being measured after each addition. The results are summarized in Table 19, which shows the cumulative volume of acid added.

Table 19

Titration of Additive D03 Solution	
Volume (ml) HCl Added	Solution pH
-	8.72
0.2	6.55
0.5	6.91
1.0	6.73
2.0	6.74
3.0	6.70
4.0	6.62

It is clear that additive D03 is capable of acting as a buffer. The sharp drop in pH with the first addition of acid was expected, since a buffer system consists of a weak acid or base and its salt; consequently, buffering behavior could not be seen until acid had been added to form the salt of additive D03.

Having verified the buffering capability of additive D03, the procedure which provided the data for Table 19 was repeated, except that the three aliquots of additive D03 were replaced with a sample of the film of Example 166 weighing 0.211 g and only three 0.5-ml additions of hydrochloric acid were done. The results are summarized in Table 20; again, the cumulative volume of acid is shown.

Table 20

Titration of 0.211-g Sample of Film 166	
Volume (ml) HCl Added	Solution pH
None (sample absent)	5.71
None (sample present)	5.91
0.5	5.90
1.0	5.90
1.5	5.75

The titration of a sample of the film of Example 166 was repeated, except that the film sample weighed 0.474 g. The results are shown in Table 21 which shows the cumulative volume of acid added.

Table 21

Titration of 0.474-g Sample of Film 166	
Volume (ml) HCl Added	Solution pH
None (sample absent)	5.60
None (sample present)	6.70
0.5	6.69
1.0	6.69
1.5	6.69
2.0	6.60
2.5	6.40
3.0	4.60

Additive D03 not only retains its buffering capability when incorporated into a composition from which a film is formed, but also clearly is on the interfacial surface; otherwise, the additive could not buffer the solution in which the film was placed since the solution could not swell the film under the conditions of the test.

While the additives clearly segregated to the surfaces of the melt-pressed films and in general were effective in imparting to the film surfaces the desired characteristics, the critical test remained to be conducted; namely, the continuous preparation of melt-processed fibers or films to determine whether or not additive segregation will occur under the conditions encountered during fiber and film formation. Thus, the preparation of fibers is the subject of the next section.

#### IV. Preparation of Fibers

##### Examples 178-239

##### A. Meltblown Fibers from Bench-Scale Apparatus

As a simple screening method, fibers were formed by means of a bench-scale apparatus having a single orifice in the die tip. The apparatus consisted of a cylindrical steel reservoir having a capacity of about 15 g. The reservoir was enclosed by an electrically heated steel jacket. The temperature of the reservoir was thermostatically controlled by means of a feedback thermocouple mounted in the body of the reservoir. The extrusion orifice had a diameter of 0.016 inch (0.41 mm) and a length of 0.060 inch (1.5 mm). A second thermocouple was mounted near the die tip. The exterior surface of the die tip was flush with the

reservoir body. Composition extrusion was accomplished by means of a compressed air piston in the reservoir. The extruded filament was surrounded and attenuated by a cylindrical air stream exiting a circular 0.075-inch (1.9-mm) gap. Attenuating air pressures typically were of the order of 5-90 psig. The forming distance was approximately 10 inches (25 cm). The attenuated extruded filament was collected on the clear plastic film of an 8.5 x 11 inch (21.6 x 27.9 cm) loose leaf protector having a black paper insert.

In each case, the material extruded consisted of a simple mixture of a polymer and the desired additive(s) in the desired amount(s). The mixtures extruded (meltblown) are summarized in Table 22.

**Table 22**  
**Summary of Compositions Meltblown**  
**on Bench-Scale Apparatus**

<u>Example</u>	<u>Polymer</u> <u>Code</u>	<u>Additive</u>	
		<u>Code</u>	<u>Wt. Percent</u>
178	PPA	A01	3
179	PPC	A01	3
180	PPA	A02	3
181	PPC	A02	3
182	PPA	A03	3
183	PPC	A03	3
184	PPA	A04	3

	185	PPC	A04	3
	186	PPA	A05	3
5	187	PPC	A05	3
	188	PPA	A06	3
	189	PPC	A06	3
10	190	PPA	A07	3
	191	PPC	A07	3
	192	PPA	A08	3
15	193	PPC	A08	3
	194	PPA	A09	3
	195	PPC	A09	3
	196	PPA	A10	2
20	197	PPA	A10	3
	198	PPC	A10	3
	199	PPA	A11	3
25	200	PPA	A11	5
	201	PPB	A11	3
	202	PPB	A11	5
30	203	PPA	A12	3
	204	PPC	A12	3
	205	PPA	A13	2
	206	PPA	A13	3
35	207	PPC	A13	3
	208	PPA	A14	3
	209	PPC	A14	3
40	210	PPA	A15	2
	211	PPA	A15	3
	212	PPC	A15	3
45	213	PPA	A16	3
	214	PPC	A16	3
	215	PPA	A17	2
	216	PPC	A17	3
50	217	PPA	A18	2
	218	PPA	A18	3
	219	PPC	A18	3
55	220	PPA	A19	3

	221	PPC	A19	3
5	222	PPA	A20	2
	223	PPA	A20	3
	224	PPB	A20	3
	225	PPC	A20	3
10	226	PPA	A22	3
	227	PPC	A22	3
	228	PPA	A24	2
15	229	PPA	A24	3
	230	PPB	A24	3
	231	PPC	A24	3
20	232	PPA	B01	2
	233	PPA	B02	2
	234	PPA	B03	2
	235	PPA	B04	2
25	236	PPA	B11	2
	237	PPA	B04	0.33
			B05	0.33
30			C01	0.33
	238	PPA	B04	0.67
			B05	0.67
35			C01	0.67
	239	PPA	B04	1
			B05	1
40			C01	1

Meltblowing conditions for any given composition depended primarily on the polymer component. Consequently, standardized conditions were utilized for each of the three polymers as summarized in Table 23.

Table 23

Summary of Meltblowing Conditions Using the Bench-Scale Apparatus <sup>a</sup>		
Polymer Code	Die Temp., °	Air Temp., °
PPA	260	228
PPB	249	249
PPC	240	230

<sup>a</sup>The conditions given are approximate only and typically may vary by as much as  $\pm 30^\circ$ .

The wettability of each web was estimated by placing a drop of water on a sample of the nonwoven material and measuring the time required for complete penetration of the water drop into the fabric (referred to hereinafter as "wetting time"). Each sample was tested with a minimum of five drops of water placed in five different locations. If all of the drops wet the web within three seconds, the web was considered to be immediately wettable (i.e., wettable). If the wetting times of the drops were greater than three seconds and equal to or less than 30 seconds, the web was considered to be slowly wettable. If wetting times were greater than 30 seconds, the web was considered to be nonwettable.

Of the webs obtained in Examples 178-239, inclusive, those from Examples 178-227, 232-234, and 237-239, inclusive, were immediately wettable, although in some cases wettability was dependent upon fiber diameter. Those from Examples 228-231, inclusive, 235, and 236 were nonwettable. It is seen from Table 16 that Examples 228-231 employed additive A24, Example 235 employed additive B04, and Example 236 employed additive B11. According to Table 1, additive A24 has a molecular weight of about 7,900. From Table 3, it is seen that additive B04 has a molecular weight of about 3,000 and additive B11 has a molecular weight of about 15,000. All three molecular weights are high enough to prevent the rapid segregation of the additive to the effective and/or interfacial surface region of the fibers. Consequently, the fibers were not wettable.

It should be noted, however, that webs made from a composition containing a mixture of additives having molecular weights equal to or greater than about 3,000, i.e., the webs of Examples 237-239, inclusive, were wettable, while webs made from a composition containing any one of the additives used in the mixture were not wettable (i.e., the web of Example 235). This illustrates the apparent synergistic effect which can result from combining additives, even though such additives individually do not segregate under similar melt-processing conditions above the subsurface of the fibers or films.

Some qualitative observations on web quality and wettability as a function of fiber diameter are appropriate at this point, at least for webs made with polymer PPA.

Web quality was based on visual inspection or inspection under a low-power optical microscope and was rated on a scale of from 1 to 4 as follows:

- 4 - fibers having uniform diameters with no shot present;
- 3 - fibers having a small amount of fiber diameter nonuniformity, with small amounts of shot present (fiber diameter nonuniformity refers to variations of fiber diameter, i.e., the presence of varying large and small fiber diameters);
- 2 - moderate fiber nonuniformity and a moderate amount of shot present; and
- 1 - substantial fiber nonuniformity and a large amount of shot present.

Fiber diameters also were estimated visually or under the microscope and were simply classed as small, medium, or large. As will be described in greater detail later, fiber diameter is a function of attenuating air pressure—the higher the pressure, the smaller the fiber diameters.

A number of the webs obtained in Examples 178-239, inclusive, were evaluated for web quality and fiber diameter. The results of this evaluation and the wettabilities of the webs evaluated are summarized in Table 24.

**Table 24**  
**Summary of Evaluations of**  
**Web Quality and Fiber Diameters**

<u>Additive</u>		<u>Cloud</u>	<u>Primary</u>	<u>Web</u>	<u>Wettability<sup>c</sup></u>
<u>Code</u>	<u>MW</u>	<u>Point<sup>a</sup></u>	<u>Air<sup>b</sup></u>	<u>Rating</u>	
A06	678	2	25-90	4	WS, WM, WL
A11	852	3	25-90	4	WS, WM, WL
A13	852	2	25-90	4	WS, WM, WL
A17	1130	45	27	1	WL
A19	1200	40	30	1	WL
A20	1450	0	26-90	4	WS, WM, WL
A22	NA <sup>d</sup>	4	25-85	4	WS, WM, WL
A23	NA	4	25-90	4	WS, WM, WL
B01	600	10	30-90	4	WS, WM, WL
B04	3000	0	30-80	4	WL
B05	3000	I <sup>e</sup>	25	1	Nonwetttable <sup>f</sup>
B07	5792	10	25-45	3	WL
B08	5962	65	25	1	Slowly Wett. <sup>f</sup>
B11	15,444	42	25	1	Nonwetttable <sup>f</sup>
C01	8000	42	25	2	Nonwetttable <sup>f</sup>

<sup>a</sup>In degrees C.

<sup>b</sup>In psig.

<sup>c</sup>Code: WS = small diameter fibers wetttable;

WM = medium diameter fibers wetttable; and

WL = large diameter fibers wetttable.

<sup>d</sup>Not available.

<sup>e</sup>Insoluble.

<sup>f</sup>Only large fibers were produced.

The data in Table 24 substantiate the already-observed decrease in wettability associated with increasing additive molecular weight. In addition, however, the data suggest that there is a correlation between web quality and additive cloud point. That is, when the cloud point of the additive is above about 20° C, web quality declines significantly. Thus, the cloud point of additives employed to impart water wettability to the surface of fibers or films preferably will be no more than about 20° C and most preferably no more than about 10° C.

#### Examples 240-261

In order to more fully understand the segregation phenomenon, three series of the bench-scale



meltblowing experiments were repeated under somewhat more carefully controlled conditions. The first series employed either polymer PPA or PPB and additive levels of two percent by weight; the process and product details are summarized in Table 25. Fiber diameters were estimated from scanning electron photomicrographs taken by Surface Science Laboratories, Inc., Mountain View, California. The instrument employed was a Camscan Series 4 Scanning Electron Microscope. The accelerating voltage was 24 keV, the working distance was 20 mm, and the spot size was 5. The instrument was calibrated with 0.76-micron diameter National Bureau of Standards latex spheres. Each sample was gold coated (100-Å thickness) to increase conductivity under the electron beam.

Table 25

Summary of First Series of Additional Bench-Scale Meltblowing Experiments				
Example <sup>a</sup>	Additive		Air Press. <sup>b</sup>	Fiber Dia. <sup>c</sup>
	Code	MW		
240	B01	600	40	15
241	B01	600	80	3
242	B02	836	20	12
243	B02	836	80	3
244	B03	850	40	12
245	B03	850	80	4
246	A13	852	35	12
247	A13	852	80	4
248	B04	3000	25	12
249	B04	3000	40	5
250 <sup>d</sup>	B04	3000	12	20
	B05	3000		
	C01	8000		
251 <sup>d</sup>	B04	3000	20	6
	B05	3000		
	C01	8000		
252 <sup>d</sup>	B04	3000	25	5
	B05	3000		
	C01	8000		
253 <sup>d</sup>	B04	3000	40	2-3
	B05	3000		
	C01	8000		

<sup>a</sup>Polymer PPA was employed in every case, except for Examples 250-253, inclusive, which utilized polymer PPB.

<sup>b</sup>In psig.

<sup>c</sup>In micrometers.

<sup>d</sup>The polymer contained a mixture of all three additives in equal concentrations; the total of all three additives still was two percent by weight.

In each case, a coherent web was obtained. Each web was subjected to ESCA analysis. Additionally, each web was subjected to bulk elemental analysis and the water drop test. The ESCA data and the results of the elemental analyses and water drop tests are summarized in Table 26.

Table 26

Summary of Analytical Data And Water Drop Test for Webs from Experiments 240-253, Inclusive					
Example	Additive MW	Fiber Dia. <sup>a</sup>	ESCA Si <sup>b</sup>	Bulk Si <sup>c</sup>	Wettability
240	600	15	1.8	0.006	Wettable
241	600	3	2.0	0.007	Wettable
242	836	12	1.9	0.017	Wettable
243	836	3	1.5	0.018	Wettable
244	850	12	2.6	0.008	Wettable
245	850	4	1.7	0.009	Wettable
246	852	12	4.3	0.011	Wettable
247	852	4	4.5	0.011	Wettable
248	3000	12	13.0	0.017	Nonwettable
249	3000	5	6.3	0.016	Nonwettable
250	3-8 x 10 <sup>3d</sup>	20	8.5	0.010	Wettable
251	3-8 x 10 <sup>3d</sup>	6	5.8	0.010	Slowly Wett.
252	3-8 x 10 <sup>3d</sup>	5	5.9	0.010	Slowly Wett.
253	3-8 x 10 <sup>3d</sup>	2-3	4.8	0.010	Slowly Wett.

<sup>a</sup>In micrometers.

<sup>b</sup>Average concentration in atom-percent to a depth of approximately 100 Å.

<sup>c</sup>Average concentration in atom-percent throughout the bulk of the fibers.

<sup>d</sup>The polymer contained three additives having molecular weights of 3,000, 3,000, and 8,000, respectively.

From Table 26, it is seen that only two webs were not wettable; both webs were made with additive B04 which has a molecular weight of about 3,000. Interestingly, the fibers of both webs had higher bulk silicon concentrations and higher surface silicon concentrations than any of the webs which were wettable. Indeed, the fibers of the web from Example 248 had from three to nine times as much silicon in the top 100-Å layer of the surface as the fibers of webs which were wettable. Notwithstanding such high concentrations, it is evident that there was insufficient additive in the effective surface to render the webs wettable. Thus, while the higher molecular weight additives will segregate to some extent, additive molecular weights of less than about 3,000 are required in order for additive to migrate to the interfacial surface or effective surface in concentrations sufficient to impart wettability to the fibers, at least for fibers having diameters in the 3-15 micrometer range.

In order to demonstrate the effect of fiber diameter on surface silicon concentration, a second series of bench-scale meltblowing experiments was carried out. In this series, the polymer was PPB and the additive was A10 at a level of two percent by weight (the additive molecular weight is 794 - see Table 1). ESCA analyses were carried out on the webs, all of which were wettable. The results are summarized in Table 27.

Table 27

Summary of Second Series of Additional Bench-Scale Meltblowing Experiments				
Example	Air Press. <sup>a</sup>	Fiber Dia. <sup>b</sup>	ESCA Data <sup>c</sup>	
			% C	% Si
254	40	6	84	4.7
255	50	4	87	4.1
256	60	2	88	3.9

<sup>a</sup>In psig.<sup>b</sup>In micrometers, estimated from scanning electron photomicrographs as already described.<sup>c</sup>Average concentration in atom-percent to a depth of approximately 100 Å; the bulk silicon concentration as determined by elemental analysis was 0.01 atom-percent.

From the discussion earlier regarding the factors influencing the segregation of the additive, it is apparent that there are two competing factors in the segregation of additive during fiber formation. First, as the diameter of the fiber is diminished, the distance to the surface also is diminished, thereby contributing to higher additive concentrations in the surface region. Second, as the diameter of the fiber is diminished, the time the fiber remains in a molten state also is diminished, thereby shortening the time during which the additive can migrate toward the surface. From the data in Table 27, it is evident that the second factor was controlling since the additive concentration was reduced as the fiber diameter decreased.

As already pointed out, the higher molecular weight additives segregate toward the surface of the fiber or film, but typically do not reach either the interfacial surface or the effective surface. In cases where the additive has segregated to the subsurface and is sufficiently close to the effective surface, the additive can be "coaxed" to the effective surface by the application of relatively mild heating conditions. This phenomenon is illustrated by a third series of bench-scale meltblowing experiments.

The third series of experiments involved the incorporation of two weight percent of an additive in PPA polymer essentially as described in Examples 178-239, inclusive. An ESCA and elemental analysis was obtained for each web. The wettability of each web also was estimated by the water drop test. A sample of each web then was heated in an oven at 120 degrees for 20 seconds. An ESCA analysis was obtained on the heated web and its wettability estimated as before. The results are summarized in Tables 28 and 29.

Table 28

Summary of Third Series of Additional Bench-Scale Meltblowing Experiments			
Example	Additive		Bulk % Si <sup>a</sup>
	Code	MW	
257	A15	1023	0.005
258	A18	1200	0.014
259	A20	1450	0.014
260	A23	NA <sup>b</sup>	0.008
261	B11	15,444	0.006

<sup>a</sup>Average concentration in atom-percent throughout the bulk of the fibers.<sup>b</sup>Not available.

Table 29

Summary of ESCA Data and Wettability Testing for Third Series of Bench-Scale Meltblowing Experiments Before and After Heating the Webs				
Example	Before Heating		After Heating	
	% Si <sup>a</sup>	Wettability	% Si <sup>a</sup>	Wettability
257	3.2	Nonwetable	5.8	Slowly Wett.
258	1.9	Nonwetable	2.7	Wetable
259	6.9	Wetable	7.4	Wetable
260	4.3	Nonwetable	3.3	Nonwetable
261	4.7	Nonwetable	5.3	Nonwetable

<sup>a</sup>Average concentration in atom-percent to a depth of approximately 100 Å.

While the heat treatment did not convert every nonwetable web into a wettable one, the procedure was successful for the two lowest molecular weight additives. Whether or not such treatment can be used depends, at least in part, on whether or not the additive has segregated to the subsurface sufficiently close to the effective surface to permit a gentle heat treatment to move the material into the effective surface region. Such segregation in turn is in part dependent upon the diameter of the fibers, i.e., the time the fibers remain in a molten state. Thus, the choice of additive and heat treatment conditions is, of necessity, somewhat empirical.

The ability of additive to be moved from the subsurface to either the effective surface or the interfacial surface, or both, expands the types of products based on nonwoven webs prepared in accordance with the present invention. A few examples in the area of household and industrial wipes will serve by way of illustration:

(1) a wipe consisting of a single polyolefin nonwoven web prepared in accordance with the present invention, in which additive is present in either or both of the effective surfaces and the interfacial surfaces of the fibers - the wipe is hydrophilic or water wettable and is suited for washing or cleaning tasks using aqueous cleaning solutions;

(2) a wipe consisting of a single polyolefin nonwoven web prepared in accordance with the present invention, in which additive is present in the subsurface of the fibers-the web is hydrophobic or oleophilic and is suited for cleaning oily surfaces, but on washing the wipe is converted to a hydrophilic wipe because the heat of the washing or drying environment will cause additive to migrate from the fiber subsurface to either or both of the fiber effective surface and interfacial surface, which conversion aids in the removal of oily residues from the wipe; and

(3) a wipe consisting of two polyolefin nonwoven layers, one prepared from virgin polymer and the other consisting of a web as described in either (1) or (2) above - in the first instance, the wipe will be effective for both water-soluble or water dispersible substances and oily substances, depending on which layer is used as the wiping layer, and in the second instance, the wipe can be converted to a wipe of the first instance by laundering.

#### B. Meltblown Fibers from Pilot-Scale Apparatus

##### Examples 262-297

Since the above bench-scale meltblowing experiments in general were successful, meltblowing trials were conducted on a pilot-scale meltblowing apparatus essentially as described in U.S. Patent No. 4,663,220, which is incorporated herein by reference. Briefly, such meltblowing was accomplished by extruding a composition (or a simple mixture) through a 0.75-inch (19-mm) diameter Brabender extruder and then through a meltblowing die having nine extrusion capillaries per linear inch (approximately 3.5

capillaries per linear cm) of die tip. Each capillary had a diameter of about 0.0145 inch (about 0.37 mm) and a length of about 0.113 inch (about 2.9 mm). The process variables in general were as follows:

polymer extrusion rate, 2.5-3.5 g per capillary per minute;

polymer extrusion temperature, 250-300°, depending upon the polymer employed;

5 extrusion pressure, 490-510 psig;

die tip temperature, 270-275°;

attenuating air temperature, 304-310°;

attenuating air pressure, 8-11 psig; and

forming distance, 20-40 cm.

10 The collecting arrangement consisted of a rotating 15.2-cm wide drum having a diameter of 76.2 cm. The surface of the drum was a screen.

The polymer and additive typically were mixed by one of several methods before introducing the mixture to the feed hopper of the extruder. In the first (method A), a standard portable cement mixer was charged with 50 pounds of the polymer in pellet form. The mixer then was started and charged with the  
15 desired amount of additive. Mixing was allowed to continue for 20 minutes, after which time the mixture was removed from the mixer and stored in plastic-lined boxes. In a variation of that method, the additive was used in an amount higher than that intended for melt-processing to give a stock mixture. The stock mixture then was mixed in a similar fashion with additional polymer in a ratio calculated to give the desired final additive concentration (method B). In the third (method C), a metered stream of additive was pumped into  
20 the feed hopper about 15 cm above the feed screws as polymer pellets flowed downward by gravity into the screws. All three methods worked equally well, although method C was used with only one additive.

In each case, a coherent web was obtained which had a basis weight in the range of from about 20 to about 50 g/m<sup>2</sup>. Wettability was estimated by means of the water drop test. The trials are summarized in Table 30, along with the results of the water drop test.

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Table 30

Summary of Pilot-Scale Meltblowing Trials

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<u>Example</u>	<u>Polymer Code</u>	<u>Additive</u>		<u>Wettability</u>
		<u>Code</u>	<u>Wt. %</u>	
262	PPA	A11	2	Wettable
35 263	PPA	A11	3	Wettable
264	PPA	A11	5	Wettable
265	PPB	A11	2	Wettable
40 266	PPB	A11	3	Wettable
267	PPB	A11	5	Wettable

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	268	PPA	A18	1	Wettable
	269	PPA	A18	3	Wettable
5	270	PPA	A18	5	Wettable
	271	PPB	A18	1	Wettable
	272	PPB	A18	3	Wettable
	273	PPB	A18	5	Wettable
10	274	PPA	A21	1	Wettable
	275	PPA	A21	3	Wettable
	276	PPA	A21	5	Wettable
15	277	PPC	A21	1	Wettable
	278	PPC	A21	3	Wettable
	279	PPC	A21	5	Wettable
20	280	PPA	B01	1	Wettable
	281	PPA	B01	3	Wettable
	282	PPA	B01	5	Wettable
	283	PPB	B01	1	Wettable
25	284	PPB	B01	3	Wettable
	285	PPB	B01	5	Wettable
	286	PPC	B01	1	Wettable
30	287	PPC	B01	3	Wettable
	288	PPC	B01	5	Wettable
	289	PPA	B04	1	Nonwettable
35	290	PPA	B04	3	Nonwettable
	291	PPA	B04	5	Nonwettable
	292	PPA	B05	1	Nonwettable
	293	PPA	B05	3	Nonwettable
40	294	PPA	B05	5	Nonwettable
	295	PPA	C01	1	Nonwettable
	296	PPA	C01	3	Nonwettable
45	297	PPA	C01	5	Nonwettable

The results obtained are consistent with the bench-scale meltblowing experiments. Single additives having molecular weights of the order of 3,000 or higher do not segregate to the interfacial surface or effective surface when fiber diameters are relatively small, as they are in typical meltblowing processes.

#### C. Spunbonded Fibers from Pilot-Scale Apparatus

Examples 298-365

Spunbonded trials were conducted on a pilot-scale apparatus essentially as described in U.S. Patent No. 4,360,563, which is incorporated herein by reference.

The polymer and additive typically were mixed by one of the methods described above with respect to Examples 262-297, inclusive.

5 In each case, a web was obtained which had a basis weight in the range of from about 14 to about 60 g/m<sup>2</sup>. In some cases, webs of different basis weights were made during a trial by changing the velocity of the forming wire. Typical basis weights thus prepared were 14, 19, 36, 47, and 59 g/m<sup>2</sup>. Wettability was estimated by means of the water drop test.

10 Unlike the meltblown trials, however, it was discovered that when the additive level was greater than 1 percent by weight, there was no web integrity; that is, the web simply fell apart upon attempting to remove it from the forming wire, even when excellent fiber formation was obtained. The problem was overcome by running the web under a heated compaction roll before removing it from the forming wire. Thus, all of the spunbonded examples in which additive levels were greater than 1 percent by weight utilized a heated compaction roll. While a compaction roll temperature of about 66° was employed, lower or higher  
15 temperatures can be used.

The trials are summarized in Table 31, along with the results of the water drop test; because wettability was independent of web basis weight, the latter is not included in the table.

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**Table 31**  
**Summary of Pilot-Scale Spunbonding Trials**

	<u>Example</u>	<u>Polymer</u>	<u>Additive</u>		<u>Wettability</u>
		<u>Code</u>	<u>Code</u>	<u>Wt. %</u>	
5	298	PPA	A05	1	Wettable
10	299	PPA	A05	3	Wettable
	300	PPC	A05	1	Wettable
	301	PPC	A05	3	Wettable
15	302	PPD	A05	1	Wettable
	303	PPD	A05	3	Wettable
	304	PPA	A08	0.75	Wettable
20	305	PPA	A08	1	Wettable
	306	PPA	A08	3	Wettable
	307	PPD	A08	0.75	Wettable
25	308	PPD	A08	1	Wettable
	309	PPD	A08	3	Wettable
	310	PPE	A08	1	Wettable
	311	PPE	A08	3	Wettable
30	312	PPA	A10	0.5	Slowly Wett.
	313	PPA	A10	0.75	Wettable
	314	PPA	A10	1	Wettable
35	315	PPA	A10	1.5	Wettable
	316	PPA	A10	2	Wettable
	317	PPA	A10	3	Wettable
40	318	PPE	A10	0.5	Slowly Wett.
	319	PPE	A10	0.75	Wettable
	320	PPE	A10	1	Wettable
	321	PPE	A10	1.5	Wettable
45	322	PPE	A10	2	Wettable
	323	PPE	A10	3	Wettable
	324	PPE	A11	0.5	Slowly Wett.
50	325	PPE	A11	0.75	Wettable
	326	PPE	A11	1	Wettable
	327	PPE	A11	1.5	Wettable
55	328	PPA	A11	2	Wettable



	329	PPA	A11	3	Wettable
5	330	PPD	A11	0.5	Slowly Wett.
	331	PPD	A11	0.75	Wettable
	332	PPD	A11	1	Wettable
10	333	PPD	A11	1.5	Wettable
	334	PPD	A11	2	Wettable
	335	PPD	A11	3	Wettable
	336	PPE	A11	0.5	Slowly Wett.
15	337	PPE	A11	0.75	Wettable
	338	PPE	A11	1	Wettable
	339	PPE	A11	1.5	Wettable
20	340	PPE	A11	2	Wettable
	341	PPE	A11	3	Wettable
	342	PPA	A14	1	Wettable
25	343	PPA	A14	3	Wettable
	344	PPD	A14	1	Wettable
	345	PPD	A14	3	Wettable
	346	PPA	B01	1	Wettable
30	347	PPA	B01	3	Wettable
	348	PPA	B01	5	Wettable
	349	PPD	B01	0.5	Wettable
35	350	PPD	B01	1	Wettable
	351	PPD	B01	2	Wettable
	352	PPD	B01	3	Wettable
40	353	PPD	B01	5	Wettable
	354	PPA	B04	1	Wettable
	355	PPA	B04	3	Wettable
	356	PPA	B04	5	Wettable
45	357	PPA	B05	1	Wettable
	358	PPA	B05	3	Wettable
	359	PPA	B05	5	Wettable
50	360	PPA	C01	1	Nonwettable
	361	PPA	C01	3	Nonwettable
	362	PPA	C01	5	Nonwettable

363 <sup>a</sup>	PPA	B04	0.33	Wettable
		B05	0.33	
		C01	0.33	
364 <sup>a</sup>	PPA	B04	0.67	Wettable
		B05	0.67	
		C01	0.67	
365 <sup>b</sup>	PPA	B04	1	Wettable
		B05	1	
		C01	1	

<sup>a</sup>The composition also contained 2.5 percent by weight titanium dioxide.

<sup>b</sup>The composition also contained 2 percent by weight titanium dioxide.

Because spunbonded fibers typically have larger diameters on the average than meltblown fibers, the spunbonded webs were wettable or slowly wettable with additives having molecular weights up to about 3,000. However, the use of an additive having a molecular weight of about 8,000 did not produce a wettable web.

In order to further investigate the ability of a gentle post-formation heat treatment to bring additive to the effective surface and/or interfacial surface, ESCA analyses were carried out on three of the spunbonded webs. The webs then were heated at 110 degrees for 1 minute in a laboratory oven and the heated webs were subjected to ESCA analyses. The results of the ESCA analyses before and after heating are summarized in Table 32.

Table 32

Summary of ESCA Analyses Before and After Heating							
Example	ESCA Analyses Before and After Heating <sup>a</sup>						% Inc.
	Before Heating			After Heating			
	% C	% O	% Si	% C	% O	% Si	
325	95	3.2	1.6	91	6.6	2.8	75
326	95	3.9	1.6	79	15	6.5	306
327	84	11	5.0	76	17	7.4	48

<sup>a</sup>In atom percent.

<sup>b</sup>Percent silicon increase in first 100 Å of surface.

The data in Table 32 clearly show the remarkable increase in silicon concentration within the first 100 Å of the surface upon exposing a web to a mild heat treatment, especially at an additive level of 1 percent by weight.

Because spunbonded webs commonly are employed as liners in disposable diapers, the mild heat treatment phenomenon was investigated by two different methods in conjunction with a simple diaper run-off test. The diaper run-off test involved removing the liner from a standard KIMBEE diaper. The linerless diaper was mounted on a plate which was inclined at a 45° angle, the back edge of the diaper being at the top of the plate. The test fabric was laid over the diaper. A reservoir containing 100 ml of 0.85 percent (weight per volume) saline (cat. no. SS-442-10, Fisher Scientific, Pittsburgh, Pennsylvania) at 37° was located at the top of the plane 2 inches (5.1 cm) above the uppermost edge of the diaper's absorbent pad. The saline then was allowed to run out of the reservoir in a steady stream. Fluid which was not retained by

the diaper was collected and measured, the volume in ml being the run-off value.

In the first method, samples of a spunbonded nonwoven web made from a composition of the present invention and having a basis weight of 27 g/m<sup>2</sup> were heated in an oven at two different temperatures. Run-off measurements were made on samples which had not been heat treated and those which had. In every case, the additive was A11 and the polymer was PPE. The results are summarized in Table 33.

Table 33

Summary of Results of Run-Off Test After First Heat Treatment Method				
Web Example	Add. Level <sup>a</sup>	Oven Temp., °	Heating Time	Run-Off Test, ml
324	0.5	-	-	100 <sup>b</sup>
	0.5	80	3 min.	20-30
	0.5	110	30 sec.	30-40
325	0.75	-	-	70-80 <sup>b</sup>
	0.75	80	3 min.	0-1
	0.75	110	30 sec.	40-50
326	1	-	-	20-30 <sup>b</sup>
	1	80	3 min.	0
	1	110	30 sec.	0

<sup>a</sup>In weight percent.

<sup>b</sup>Control.

The efficacy of the heat treatment in each case is readily apparent. It appears that 80° for 3 minutes is more effective than 110° for 30 seconds, at least for the webs having the two lowest concentrations of additive. Either temperature treatment, however, converts the web containing 1 percent by weight of additive into a highly wettable, highly efficient transfer layer.

In the second method, samples in continuous roll form of the same webs used in the first method were passed over two steam cans in series which were heated by steam at a pressure of 5 psig. The surfaces of the cans were at about 85°. Each sample was passed over the cans at two different line speeds, after which the run-off test was performed. The results are summarized in Table 34.

Table 34

Summary of Results of Run-Off Test After Second Heat Treatment Method			
Web Example	Add. Level <sup>a</sup>	Line Speed, m/min	Run-Off Test, ml
324	0.5	-	100 <sup>b</sup>
	0.5	9	80-90
	0.5	4.5	80-90
325	0.75	-	70-80 <sup>b</sup>
	0.75	9	50
	0.75	4.5	50
326	1	-	20-30 <sup>b</sup>
	1	9	5-10
	1	4.5	0-5

<sup>a</sup>In weight percent.

<sup>b</sup>Control.

The results from the second method were similar to those of the first method in that the concentration of additive leading to the most efficient transfer layer was 1 percent by weight; the slower line speed gave

slightly better results at that concentration.

Because of the success with the Si-SEM procedure with a melt-pressed film, a similar effort was carried out with spunbonded fibers prepared from a composition containing a mixture of additives in polymer PPA, i.e., Example 365. In this case, a bundle of fibers was collected before they reached the forming wire. The bundle was cut and inserted into a small plastic tube about 19 mm long and having an inside diameter of about 3 mm, thereby packing the tube with fibers. The packed tubing was placed in liquid nitrogen, removed, and cut with a razor blade. The sample was placed on the SEM mount and sputtered with carbon before carrying out the analysis. A diagrammatic representation of the results of the analysis is shown by Figure 9. In Figure 9, the fibers 50 are bilobal in cross-section. As with the film analysis, each of dots 51 represents the presence of silicon atoms.

It is clear that the additives included in the composition from which the fibers of Example 365 were prepared have segregated preferentially to the surface region of the film. While the core region is not as devoid of silicon as was the core region of the film, there clearly is a lower concentration of the additives in the core region than in the area at or near the surfaces of the fibers. This result was expected, however, because of the relatively rapid formation of the fibers as compared to the film formation time. That is, the fibers remained in a molten state for a time which was much shorter than the time the film remained in a molten state. The fact that the additives segregated to the surfaces of the fibers in such a short time is, as already pointed out, a result of the influence of shear during the extrusion process.

Two samples of fibers from the spunbonded trials were submitted for analysis by RBS. The results are summarized in Table 35.

Table 35

Summary of RBS Analyses on Spunbonded Fibers					
Example	Depth, Å	Atomic Concentration, Atom %			
		C	O	Si	Ti
329	0-1000	30	0.7	0.28	0.01 <sup>a</sup>
	1000-3000	30	0.2	0.06	0.02
	>3000	30	0.2	0.03	0.03
329 <sup>b</sup>	0-1000	29	0.3	0.13	0.01 <sup>a</sup>
	1000-2000	29	0.1	0.02	0.02
	>2000	30	0.1	0.02	0.02
364	0-250	28	3.6	1.94	0.02
	250-900	28	2.2	0.90	0.02
	900-1600	29	1.5	0.45	0.05
	1600-2900	29	1.0	0.37	0.05
	2900-4900	29	0.8	0.26	0.05
	>4900	29	0.8	0.12	0.05

<sup>a</sup>This concentration was at or near the detection limit; the actual concentration may be considerably lower.

<sup>b</sup>A second analysis was carried out on the same sample.

From the data for the two analyses on the same sample, it appears that the RBS procedure causes some loss of additives as evidenced by the decreased silicon concentration values. Thus, it is probable that the concentration values are lower than the actual concentrations. Nevertheless, the procedure is helpful because it gives at least a qualitative view of the segregation of the additives in the surface region and the core region adjacent thereto.

The RBS data from Table 35 for the webs of Examples 329 and 364 were plotted as already described. The plots for the two analyses of the web of Example 329 are shown as Figures 10A and 10B. The plot for the analysis of the web of Example 364 is shown as Figure 11.

The plots are similar to that for the RBS analysis of the film of Example 173. Figures 8 and 10A are especially similar, although in the latter the concentration of silicon diminishes to the minimum concentration at around 2,000 Å, rather than at around 1,000 Å. In Figure 11, it is seen that the silicon concentration diminishes more slowly with depth, although all of the plots resulted in curves having similar shapes.

The webs from Examples 329 and 364 also were submitted for ESCA and bulk elemental analyses. The

results of these analyses are shown in Table 36.

Table 36

Summary of ESCA Data and Elemental Analyses for the Webs of Examples 329 and 364						
Example	ESCA Data			Bulk Elemental Anal.		
	% C	% O	% Si	% C	% H	% Si
329	77	17	6.6	83.84	13.23	0.35
364	62	27	11	82.23	13.40	0.89

#### D. Meltblown Fibers from Pilot-Scale Coforming Apparatus

##### Examples 366-439

A number of larger-scale meltblowing runs were carried out on a coforming apparatus of the type described in U.S. Patent Nos. 4,100,432 and 4,663,220, the latter patent having been identified and incorporated herein by reference in regard to Examples 262-297, inclusive; the former patent also is incorporated herein by reference.

Meltblowing was accomplished by extruding the composition from a 1.5-inch (3.75-cm) Johnson extruder and through a meltblowing die having 15 extrusion capillaries per linear inch (about 5.9 extrusion capillaries per linear cm) of die tip. Each capillary had a diameter of about 0.018 inch (about 0.46 cm) and a length of about 0.14 inch (about 3.6 mm). The composition was extruded through the capillaries at a rate of about 0.5 g per capillary per minute at a temperature of about 184°. The extrusion pressure exerted on the composition in the die tip was in the range of from about 180 to about 200 psig. The composition viscosity in the die tip under these conditions was about 500 poise. The die tip configuration was adjusted to have a positive perpendicular die tip distance of about 0.01 inch (about 0.25 mm). The air gaps of the two attenuating air passageways were adjusted to be about 0.067 inch (about 1.7 mm). Forming air for meltblowing the composition was supplied to the air passageways at a temperature of about 209° and a pressure of about 2 psig. The fibers thus formed were deposited on a forming screen drum which was approximately 18 inches (46 cm) below and 20 inches (51 cm) back from the die tip.

The more significant process variables generally were as follows:

- barrel temperature, 280-300°;
- die temperature, 285-316°;
- melt temperature in die, 275-316°;
- barrel pressure, 220-570 psig;
- die pressure, 55-130 psig;
- primary air temperature, 235-349°;
- primary air pressure, 3-4.5 psig;
- throughput, 7-360 g per cm of die width per hour;
- forming distance, 36 cm; and
- basis weight, 27-85 g/m<sup>2</sup>, with the more typical basis weights being 27, 51, and/or 85 g/m<sup>2</sup>.

The compositions which were meltblown were prepared by melt-blending polymer and additive(s) as described in Examples 50-130, inclusive. Coherent webs were formed in each case. As with previous trials, wettability of the formed webs was estimated by the water drop test as appropriate. The compositions meltblown and the results of the water drop test are summarized in Table 37.

**Table 37**  
**Summary of Meltblowing Trials on**  
**Pilot-Scale Coforming Apparatus**

	Comp.	Polymer	Additive(s)			
10	<u>Example</u>	<u>Code</u>	<u>Code</u>	<u>Code(s)</u>	<u>Wt. %</u>	<u>Wettability</u>
	366	PP28-1	PPA	A21	1	Wettable
	367	PP29-1	PPA	A21	3	Wettable
15	368	PP30-1	PPA	A21	5	Wettable
	369	PP31-1	PPA	A21	12	Wettable
	370	PE18-1	PEA	A21	1	Wettable
	371	PE19-1	PEA	A21	3	Wettable
20	372	PE20-1	PEA	A21	5	Wettable
	373	PP32-1	PPA	B01	3	Wettable
	374	PP33-1	PPA	B01	5	Wettable
25	375	PP34-1	PPB	B01	3	Wettable
	376	PP35-1	PPB	B01	5	Wettable
	377	PP36-1	PPC	B01	3	Wettable
30	378	PP37-1	PPC	B01	5	Wettable
	379	PE21-1	PEA	B01	3	Wettable
	380	PE22-1	PEA	B01	5	Wettable
	381	PP38-1	PPA	B02	3	Wettable
35	382	PP39-1	PPA	B02	5	Wettable
	383	PP40-1	PPC	B02	3	Wettable
	384	PP41-1	PPC	B02	5	Wettable
40	385	PP42-1	PPA	B03	3	Wettable
	386	PP43-1	PPA	B03	5	Wettable
	387	PP44-1	PPC	B03	3	Wettable
45	388	PP45-1	PPC	B03	5	Wettable
	389	PP46-1	PPA	B04	3	Nonwettable
	390	PP47-1	PPA	B04	5	Nonwettable
	391	PE23-1	PEA	B04	3	Nonwettable
50	392	PE24-1	PEA	B04	5	Nonwettable
	393	PP48-1	PPA	B05	3	Nonwettable
	394	PP49-1	PPA	B05	5	Nonwettable
55	395	PE25-1	PEA	B05	3	Nonwettable

	396	PE26-1	PEA	B05	5	Nonwetable
5	397	PP50-1	PPA	B06	3	Nonwetable
	398	PP51-1	PPA	B06	5	Nonwetable
	399	PP52-1	PPC	B06	3	Nonwetable
	400	PP53-1	PPC	B06	5	Nonwetable
10	401	PP54-1	PPA	B07	3	Nonwetable
	402	PP55-1	PPA	B07	5	Nonwetable
	403	PP56-1	PPC	B07	3	Nonwetable
15	404	PP57-1	PPC	B07	5	Nonwetable
	405	PP58-1	PPA	B08	3	Nonwetable
	406	PP59-1	PPA	B08	5	Nonwetable
20	407	PP60-1	PPC	B08	3	Nonwetable
	408	PP61-1	PPC	B08	5	Nonwetable
	409	PP62-1	PPA	B09	2	Nonwetable
	410	PP63-1	PPA	B09	3	Nonwetable
25	411	PP64-1	PPA	B09	5	Nonwetable
	412	PP65-1	PPC	B09	3	Nonwetable
	413	PP66-1	PPC	B09	5	Nonwetable
30	414	PP67-1	PPA	B10	3	Nonwetable
	415	PP68-1	PPA	B10	5	Nonwetable
	416	PP69-1	PPC	B10	3	Nonwetable
35	417	PP70-1	PPC	B10	5	Nonwetable
	418	PP71-1	PPA	B11	3	Nonwetable
	419	PP72-1	PPA	B11	5	Nonwetable
	420	PP73-1	PPC	B11	3	Nonwetable
40	421	PP74-1	PPC	B11	5	Nonwetable
	422	PP75-1	PPA	C01	1	Nonwetable
	423	PP76-1	PPA	C01	3	Nonwetable
45	424	PP77-1	PPA	C01	5	Nonwetable
	425	PE27-1	PEA	C01	1	Nonwetable
	426	PE28-1	PEA	C01	3	Nonwetable
50	427	PE29-1	PEA	C01	5	Nonwetable
	428	PP78-1	PPA	D03	3	Wetable
	429	PP79-1	PPA	D04	3	N/A <sup>a</sup>
55	430	PP80-1	PPA	D05	3	N/A

	431	PP82-2	PPA	B02	1.5	Wettable
				B11	1.5	
5	432	PP84-2	PPA	B06	1.5	Wettable
				B10	1.5	
	433	PP86-2	PPA	B10	1.5	Wettable
				B11	1.5	
10	434	PP90-3	PPA	B04	0.33	Wettable
				B05	0.33	
				C01	0.33	
15	435	PP92-3	PPA	B04	1	Wettable
				B05	1	
				C01	1	
20	436	PP93-3	PPA	B04	1.67	Wettable
				B05	1.67	
				C01	1.67	
25	437	PE30-3	PEA	B04	0.33	Wettable
				B05	0.33	
				C01	0.33	
30	438	PE31-3	PEA	B04	1	Wettable
				B05	1	
				C01	1	
35	439	PE32-3	PEA	B04	1.67	Wettable
				B05	1.67	
				C01	1.67	

<sup>a</sup>Not applicable.

40

The results of the meltblowing trials on the coforming apparatus with additives which impart water wettability to the surfaces of the fibers were consistent with those of the previous meltblowing trials.

45 In order to verify the presence of additive D04 on the surfaces of the fibers, ESCA and bulk elemental analyses were run on the web from Example 429. Similar analyses were carried out with the web from Example 430 as a control. The results of these analyses are summarized in Table 38.

50

55



Table 38

5

10

Summary of ESCA and Bulk Analyses on the Webs from Examples 429 and 430						
Example	ESCA Data			Bulk Elemental Analyses		
	% C	% F	% Si	% C	% F	% Si
429	73	11	6.9	83.66	0.99	0.50
430	69	-	16	84.72	-	1.06
Control <sup>a</sup>	100	-	-	98	-	-

<sup>a</sup>Polymer PPA which did not contain any additive.

15 According to the analytical data for the web from Example 429, it is evident that additive D04 has segregated to the surface region; i.e., the first 100 Å of the surface as measured from the interfacial surface. The web from Example 430 also contained a substantial amount of additive, in this case D05, in the same surface region.

20 As already pointed out, however, additive D05 moved to the surface of the fibers because it is incompatible with the polymer. Such incompatibility resulted in poor web formation; that is, the web was characterized by nonuniform fiber diameters, an unusually high proportion of discontinuous fibers, and a substantial amount of shot. The process was characterized by a frequent, almost explosive, expulsion of polymer from the die orifices which is potentially hazardous to the operators.

25

#### E. Coformed Webs from Pilot-Scale Coforming Apparatus

30

#### Examples 440 and 441

Two fibrous coformed nonwoven webs were formed by meltblowing a composition of the present invention and incorporating polyester staple fibers therein.

35 Meltblowing was accomplished as described for Examples 366-439, inclusive. In each case, the polymer was PPA and the additive was B01 at a level of 3 percent by weight.

The more significant meltblowing process conditions were approximately as follows:

40 die tip temperature, 296°;  
primary air temperature, 284°;  
primary air pressure, 3.5 psig;  
throughput, 179 g per cm of die width per hour;  
horizontal forming distance, 51 cm; and  
vertical forming distance, 43 cm.

45 Following the procedure illustrated by Figure 5 of said U.S. Patent No. 4,663,220 and described therein, 3-inch (7.6-cm) long, 40 denier per filament polyester staple (type 125, E. I. Du Pont de Nemours & Co., Inc., Wilmington, Delaware) was incorporated into the stream of meltblown fibers prior to deposition upon the forming drum. The polyester fibers were first formed by a Rando Webber mat-forming apparatus into a mat having a basis weight of about 100 g/m<sup>2</sup>. The mat was fed to the picker roll by a feed roll which was positioned about 0.13 mm from the picker roll surface. The picker roll was rotating at a rate of about 3,000  
50 revolutions per minute and fiber transporting air was supplied to the picker roll at a pressure of about 2.5 psig. While actual measurement of the position of the nozzle of the coform apparatus with respect to the stream of meltblown fiber was not made, it was estimated to be about 5.1 cm below and about 5.1 cm away from the die tip of the meltblowing die.

55 Two coformed webs were prepared, both of which had a width (cross-machine direction) of about 51 cm. The first web was composed of about 70 percent by weight of the polyester staple fibers and about 30 percent by weight of the meltblown fibers and the second web was composed of about 50 percent by weight of each of the two types of fibers. Each web had a basis weight of about 100 g/m<sup>2</sup> and wet immediately when subjected to the water drop test.

Although not described in detail here, other coformed webs were similarly prepared with staple fiber:meltblown fiber ratios of 85:15, 75:25, 65:35, and 15:85. In addition, webs utilizing other sources of polyester staple fibers were prepared at each of the foregoing ratios. Such other polyester staple fibers were as follows:

- 5 3.25-inch (8.3-cm) x 25 denier (Eastman Chemical Products, Inc., Kingsport, Tennessee);
- type ES 1.5-inch (3.8-cm) x 1.5 denier (Chisso Corporation, Tokyo, Japan); and
- type 41-D 1.5-inch (3.8-cm) x 1.5 denier (Eastman Chemical Products, Inc.).

#### 10 Example 441

The procedure of Examples 440 and 441 was repeated, except that the composition was 3 percent by weight of additive B01 in polymer PEA, the secondary fibers were wood pulp fibers, and a dual meltblowing  
15 die-center secondary fiber duct arrangement was employed. The composition was meltblown through one die at a throughput of either 179 or 894 g per cm per hour. In either case, the melt temperature was about 288°. The die tip pressure was either 90 or 220 psig, depending upon the throughput.

Polymer PPC was meltblown through the other die at a throughput of from about 179 to about 716 g per cm per hour. The melt temperature was in the range of from about 246 to about 274° and the primary  
20 air temperature was in the range of from about 280 to about 302°. The primary air pressure was in the 2-5 psig range.

Coformed webs containing pulp:polymer ratios of 70:30 and 90:10 were prepared. The webs wet immediately and the composition did not impede the absorbency of the web.

#### 25 V. Preparation of Cast Films

#### 30 Examples 443-445

Cast films were prepared on a cast film pilot line consisting essentially of a Hexco extruder having an 8.9-cm diameter barrel, an all-purpose mixing screw, and a length-to-diameter ratio of 24 (Hexco, Inc., Addison, Illinois). The die was a 91-cm wide coathanger manifold, standard sheet die (EDI Ultraflex H-40,  
35 Extrusion Dies, Inc., Chippewa Falls, Wisconsin). The chill roll and take-away system consisted of two 50.8-cm outer diameter, 91-cm wide rolls with matte finishes, water cooled or heated. The winder was a Model 191-W winder and take-away system manufactured by Gloucester Engineering Co., Gloucester, Massachusetts. The resin feeders were Conair automatic pneumatic-type resin loaders.

Three films were prepared as summarized in Table 39. In each case, the melt temperature was about  
40 216° and the die temperature was 216-219°. The chill rolls were maintained at temperatures of 16 and 21°, respectively.

Table 39

Summary of Cast Films			
Example	Polymer Code	Additive	
		Code	Wt. Percent
443	PPF	A11	1
444	PPF	A11	3
445	PEB	A11	1

Film preparation in general was routine, although barrel pressure decreased upon switching to the higher level of additive. Attempts to cast a film with polymer PPF and 5 percent by weight additive A11 and films from polymer PEB with higher levels of additive PEB were unsuccessful because of a loss of extruder

pressure resulting from an inappropriate extruder screw design for the polymers and additive levels employed (no difficulties were encountered in compounding compositions in the pilot-scale apparatus, regardless of the polymer or additive level). All of the films prepared were wettable.

The films were subjected to both ESCA and bulk elemental analyses. The results are summarized in Table 40.

Table 40

Summary of ESCA Data and Elemental Analyses for the Cast Films of Examples 442-444						
Example	ESCA Data			Bulk Elemental Analyses		
	% C	% O	% Si	% C	% H	% Si
443	80	13	7.2	85.85	14.13	0.22
444	71	20	8.9	84.87	13.32	0.42
445	64	24	13	85.73	13.71	0.13

The analytical data summarized in Table 40 demonstrate that the additive in each case segregated to the film surface. Thus, the behavior of the additives is the same for both films and fibers.

#### VI. Stabilization Studies

When fibers were formed from compositions containing lower molecular weight primary additives, such as additives A01-A14, inclusive, and B01-B03, inclusive, smoke occasionally was observed emanating from the screw pumps. This was particularly true with equipment having longer residence times in the extruder, such as the equipment used to produce fibers as described in Part B of Section IV.

The effectiveness of stabilizing additive was demonstrated by coating particles of polymer PPE with a solution of additive D03 in additive A11. The amount of additive A11 was equal to 3 percent by weight of the amount of polymer, and the amount of additive D03 was equal to 1 percent by weight of the amount of additive A11. The coated polymer was meltblown as described in Section IV, Part B for Examples 262-297, inclusive. As a control, polymer coated with 3 percent by weight of additive A11 only also was meltblown under equivalent conditions. While smoke was observed during the meltblowing of the control, no smoke was observed upon meltblowing the polymer coated with additive A11 which contained additive D03 as a stabilizer.

#### VII. Evaluation of Known Material

In conclusion, an additive of the type described in U.S. Patent No. 4,659,777 was evaluated both in melt-pressed films and fibers from the bench-scale meltblowing apparatus. The additive was a poly(2-ethyloxazoline)polydimethylsiloxane-poly(2-ethyloxazoline) block copolymer, each of the blocks having a molecular weight of about 3,000.

#### Example 446

A melt-pressed film was prepared successfully as described for Examples 131-176, inclusive. The material contained 10 percent by weight of the additive in polymer PPA.

The surface energy of the film was estimated by means of Pillar wetting agents (Pillar Corporation, West Allis, Wisconsin) to be 34-35 dynes per cm. The value for virgin polymer is about 30. The film then was subjected to ESCA analysis. None of the additive was found to be in the first 100 Å below the interfacial surface.

#### Example 447

Meltblown fibers were prepared with a bench-scale apparatus as described for Examples 178-239, inclusive. The composition consisted of 3 percent by weight of the additive in polymer PPA. Meltblowing was conducted at an air pressure of 35 psig and melt temperatures of 264, 285, and 308°. Although webs were obtained in each case, web quality was poor and decomposition of the additive occurred at each melt temperature. Decomposition was especially severe at the highest temperature. No analyses of the webs were attempted since the additive obviously is unsuited for melt-processing procedures and does not segregate to the surface.

One last hot-stage microscope analysis is conveniently described here. The composition consisted of polymer PPA with 3 percent by weight of TRITON X-102 (Rohm and Haas Co.), a surfactant which is commonly used to make polypropylene wettable by means of the blooming technique already described; see U.S. Patent No. 4,070,218. The representations of the photomicrographs are shown in Figures 12A and 12B. Globules 121 of the surfactant are seen in both Figures; some debris 122 in Figure 12A also is apparent. The most noteworthy fact about the two Figures is that the surfactant not only is incompatible with the polymer at 160°, but is even less compatible at about 220°. In view of Figures 12A and 12B, it is easy to understand why a blooming process is required to bring the surfactant to the surface of the fiber or film and why the material migrates back into the polymer.

It now should be evident that the additives described herein and the compositions of the present invention function in a manner which is different from the materials previously added to thermoplastic polymers to alter the surface characteristics of shaped articles, such as fibers and films, made therefrom. Moreover, the compositions of the present invention permit the control of the segregation phenomenon, which control was not possible with prior art procedures.

Having thus described the invention, numerous changes and modifications thereof will be readily apparent to those having ordinary skill in the art without departing from the spirit or scope of the invention. For example, the compositions of the present invention also can contain fillers, delustrants, colorizers, stabilizers, and the like.

### Claims

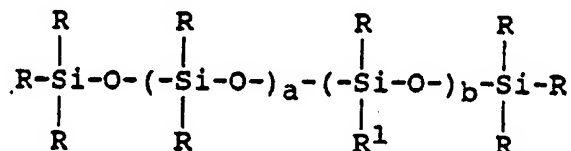
1. A surface-segregatable, melt-extrudable thermoplastic composition which comprises at least one thermoplastic polymer and at least one additive having at least two moieties, A and B, in which:

(A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(B) moiety B has at least one functional group which imparts to said additive at least one desired characteristic;

(C) the molecular weight of said additive is in the range of from about 400 to about 15,000; and

(D) the weight ratio of said polymer to said additive is in the range of from about 1 to about 1,000; with the proviso that said additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1.

2. The thermoplastic composition of claim 1, in which said additive is a siloxane-containing compound.

3. The thermoplastic composition of claim 2, in which said moiety A comprises at least one tetrasubstituted disiloxanylene group, optionally associated with one or more groups selected from the group consisting of

trisubstituted silyl and trisubstituted siloxy groups, the substituents of all such groups being independently selected from the group consisting of monovalent alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and moiety B.

4. The thermoplastic composition of claim 3, in which said substituents independently are selected from the group consisting of monovalent alkyl groups and said moiety B.

5. The thermoplastic composition of claim 2, in which said additive contains a plurality of groups selected from the group represented by the following general formulae:

- (1) B<sub>1</sub>-
- (2) B<sub>2</sub>-O-
- 10 (3) R<sub>1</sub>-
- (4) R<sub>2</sub>-Si-
- (5) (R<sub>3</sub>)(R<sub>4</sub>)(R<sub>5</sub>)Si-
- (6) (R<sub>6</sub>)(R<sub>7</sub>)(R<sub>8</sub>)Si-O-
- (7) [-Si(R<sub>9</sub>)(R<sub>10</sub>)-O]<sub>c</sub>, and
- 15 (8) [-Si(R<sub>11</sub>)(B<sub>3</sub>)-O]<sub>d</sub>;

in which each of R<sub>1</sub> and R<sub>2</sub> independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of R<sub>3</sub>-R<sub>5</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and B<sub>4</sub>; each of R<sub>6</sub>-R<sub>11</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted; each of c and d independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer or that all of such groups have identical substituents; and each of B<sub>1</sub>-B<sub>4</sub>, inclusive, independently is a moiety which imparts to the additive at least one desired characteristic; with the proviso that such plurality of groups results in at least one tetrasubstituted disiloxanylene group.

6. The thermoplastic composition of claim 5, in which the sum of c and d is such that the molecular weight of said additive is less than about 8,000.

30 7. The thermoplastic composition of claim 5, in which the sum of c and d is such that the molecular weight of said polymeric material is less than about 4,000.

8. The thermoplastic composition of claim 5, in which the sum of c and d is such that the molecular weight of said additive is less than about 1,500.

9. The thermoplastic composition of claim 1, in which a characteristic of said moiety B is hydrophilicity.

35 10. The thermoplastic composition of claim 9, in which said moiety B is a poly(oxyalkylene) moiety.

11. The thermoplastic composition of claim 10, in which the alkylene portion of said poly(oxyalkylene) moiety contains from 2 to about 6 carbon atoms.

12. The thermoplastic composition of claim 11, in which the oxyalkylene repeating units are oxyethylene or oxypropylene units or a mixture thereof.

40 13. The thermoplastic composition of claim 1, in which said thermoplastic composition comprises at least one thermoplastic polymer and a mixture of two or more additives.

14. The thermoplastic composition of claim 1, in which a characteristic of said moiety B is ultraviolet radiation absorption.

45 15. The thermoplastic composition of claim 14, in which said moiety A is a siloxane and said moiety B is a 2-(substituted-phenyl)benzotriazolyl group.

16. The thermoplastic composition of claim 1, in which a characteristic of said moiety B is degradation stabilization.

50 17. The thermoplastic composition of claim 16, in which said moiety A is a siloxane and said moiety B is a pendant tetraalkylpiperidyl group attached by means of a divalent connecting group other than oxygen to a silicon atom of said moiety A.

18. The thermoplastic composition of claim 1, in which said moiety B is both an ultraviolet radiation absorber and a degradation stabilizer.

55 19. The thermoplastic composition of claim 18, in which said moiety A is a siloxane and said moiety B is a pendant 2-(substituted-phenyl)benzotriazolyl/tetraalkylpiperidyl group attached by means of a divalent connecting group to a silicon atom of said moiety A.

20. The thermoplastic composition of claim 1, in which a characteristic of said moiety B is high hydrophobicity.

21. The thermoplastic composition of claim 20, in which said moiety B is a perfluorohydrocarbon group.

22. The thermoplastic composition of claim 1, in which a characteristic of said moiety B is a buffering capacity.

23. The thermoplastic composition of claim 22, in which said buffering capacity is against hydrogen ions.

24. The thermoplastic composition of claim 23, in which said moiety B is an amine.

25. The thermoplastic composition of claim 24, in which said moiety B is an aliphatic amine.

26. A stabilized surface-segregatable, melt-extrudable thermoplastic composition which comprises:

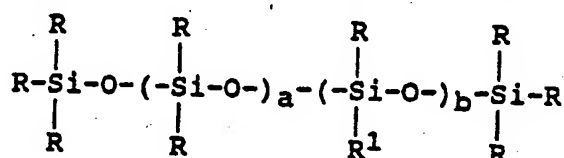
(A) at least one thermoplastic polymer;

(B) at least one siloxane-containing primary additive having at least two moieties, A' and B', in which:

(1) said primary additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A' and moiety B', if present as separate molecular units, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(2) moiety B' has at least one functional group which is a poly(oxyalkylene) moiety; and

(3) the molecular weight of said primary additive is in the range of from about 400 to about 15,000; with the proviso that said primary additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R' is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1; and

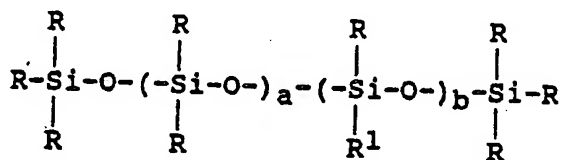
(C) at least one siloxane-containing stabilizing additive having at least two moieties, A'' and B'', in which:

(1) said stabilizing additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A'' and moiety B'', taken as separate molecular units, is incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(2) moiety B'' has at least one functional group which is an aliphatic or cycloaliphatic amino group; and

(3) the molecular weight of said stabilizing additive is in the range of from about 400 to about 15,000;

with the proviso that said stabilizing additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R' is a monovalent organic group containing at least one amino group; and a and b, which can be the same or different, each have a value of at least 1;

in which thermoplastic composition:

(1) said primary additive is present at a level in the range of from about 0.1 to about 50 percent by weight, based on the weight of said polymer; and

(2) said stabilizing additive is present at a level in the range of from about 0.1 to about 5 percent by weight, based on the weight of said primary additive.

27. The stabilized thermoplastic composition of claim 26, in which said polymer is a polyolefin.

28. The stabilized thermoplastic composition of claim 26, in which said primary additive is present at a level of from about 0.5 to about 5 percent by weight, based on the weight of said polymer.

29. The stabilized thermoplastic composition of claim 26, in which said primary additive has a molecular weight of from about 500 to about 1,500.

30. The stabilized thermoplastic composition of claim 1, in which the alkylene portion of said poly-(oxyalkylene) moiety of moiety B' of said primary additive contains from 2 to about 6 carbon atoms.

31. The stabilized thermoplastic composition of claim 30, in which the oxyalkylene repeating units are oxyethylene or oxypropylene units or a mixture thereof.

5 32. The stabilized thermoplastic composition of claim 1, in which said stabilizing additive is present at a level of from about 0.5 to about 5 percent by weight, based on the weight of said primary additive.

33. The stabilized thermoplastic composition of claim 26, in which said stabilizing additive has a molecular weight of from about 500 to about 1,500.

34. The stabilized thermoplastic composition of claim 26, in which said moiety B'' of said stabilizing additive is an aliphatic amine.

35. The stabilized thermoplastic composition of claim 26, in which said moiety A' of said primary additive comprises at least one tetrasubstituted disiloxanylene group, optionally associated with one or more groups 5 selected from the group consisting of trisubstituted silyl and trisubstituted siloxy groups, the substituents of all such groups being independently selected from the group consisting of monovalent alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and moiety B'.

36. The stabilized thermoplastic composition of claim 26, in which said moiety A'' of said stabilizing additive comprises at least one tetrasubstituted disiloxanylene group, optionally associated with one or more groups selected from the group consisting of trisubstituted silyl and trisubstituted siloxy groups, the substituents of all such groups being independently selected from the group consisting of monovalent alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and moiety B'.

37. The stabilized thermoplastic composition of claim 26, in which said primary additive contains a plurality of groups selected from the group represented by the following general formulae:

(1) B<sub>1</sub>-,

(2) B<sub>2</sub>-O-,

25 (3) R<sub>1</sub>-,

(4) R<sub>2</sub>-Si≡,

(5) (R<sub>3</sub>)(R<sub>4</sub>)(R<sub>5</sub>)Si-,

(6) (R<sub>6</sub>)(R<sub>7</sub>)(R<sub>8</sub>)Si-O-,

(7) [-Si(R<sub>9</sub>)(R<sub>10</sub>)-O]<sub>c</sub>, and

30 (8) [-Si(R<sub>11</sub>)(B<sub>3</sub>)-O]<sub>d</sub>;

in which each of R<sub>1</sub> and R<sub>2</sub> independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of R<sub>3</sub>-R<sub>5</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and B<sub>4</sub>; each of R<sub>6</sub>-R<sub>11</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted; each of c and d independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer or that all of such groups have identical substituents; and each of B<sub>1</sub>-B<sub>4</sub>, inclusive, independently is a moiety which imparts to the additive at least one desired characteristic; with the proviso that such plurality of groups results in at least one tetrasubstituted disiloxanylene group.

38. The stabilized thermoplastic composition of claim 26, in which said stabilizing additive contains a plurality of groups selected from the group represented by the following general formulae:

45 (1) B<sub>1</sub>-,

(2) B<sub>2</sub>-O-,

(3) R<sub>1</sub>-,

(4) R<sub>2</sub>-Si≡,

(5) (R<sub>3</sub>)(R<sub>4</sub>)(R<sub>5</sub>)Si-,

50 (6) (R<sub>6</sub>)(R<sub>7</sub>)(R<sub>8</sub>)Si-O-,

(7) [-Si(R<sub>9</sub>)(R<sub>10</sub>)-O]<sub>c</sub>, and

(8) [-Si(R<sub>11</sub>)(B<sub>3</sub>)-O]<sub>d</sub>;

in which each of R<sub>1</sub> and R<sub>2</sub> independently is a monovalent group selected from the group consisting of hydrogen, alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which, except for hydrogen, may be substituted or unsubstituted; each of R<sub>3</sub>-R<sub>5</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or unsubstituted, and B<sub>4</sub>; each of R<sub>6</sub>-R<sub>11</sub>, inclusive, independently is a monovalent group selected from the group consisting of alkyl, cycloalkyl, aryl, and heterocyclic groups, each of which may be substituted or

unsubstituted; each of c and d independently represents an integer from 0 to about 100 which indicates only the quantity of the respective group present in the additive without indicating or requiring, in instances when an integer is greater than 1, that such plurality of the respective group are connected to one another to form an oligomer or polymer or that all of such groups have identical substituents; and each of B<sub>1</sub>-B<sub>n</sub>, inclusive, independently is a moiety which imparts to the additive at least one desired characteristic; with the proviso that such plurality of groups results in at least one tetrasubstituted disiloxanylene group.

39. A method for preparing a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, such that the concentration of additive in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive in the core of the fiber or film, thereby imparting to the surface of the fiber or film at least one desired characteristic which otherwise would not be present, which method comprises melting a mixture of at least one thermoplastic polymer and at least one additive having at least two moieties, A and B, and extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000 sec<sup>-1</sup> and a throughput of no more than about 5.4 kg/cm<sup>2</sup>/hour, in which:

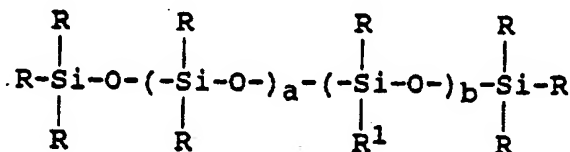
(A) said additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A and moiety B, if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;

(B) moiety B has at least one functional group which imparts to said additive at least one desired characteristic;

(C) said additive is miscible with said polymer at melt extrusion temperatures, under which conditions said additive and said polymer form a metastable solution, but as the temperature drops below melt extrusion temperatures, said additive becomes significantly less compatible with said polymer and, concurrently, the polymer begins to solidify, with both events contributing to the rapid, controlled surface segregation of said additive;

(D) the molecular weight of said additive is in the range of from about 400 to about 15,000; and

(E) the weight ratio of said polymer to said additive is in the range of from about 6 to about 350; with the proviso that said additive cannot be a compound having the general formula,



in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least 1.

40. The method of claim 39, in which said thermoplastic composition comprises at least one thermoplastic polymer and a mixture of two or more additives.

41. The method of claim 40, in which a portion of all of the moieties B in all of the additives have a first characteristic and the remainder of all of the moieties B in all of the additives have a second characteristic.

42. The method of claim 41, in which said first characteristic is ultraviolet radiation absorption and said second characteristic is degradation stabilization.

43. The method of claim 42, in which the average molecular weight of any additive having at least one moiety B which has the characteristic of degradation stabilization is greater than the average molecular weight of any additive having at least one moiety B which has the characteristic of ultraviolet radiation absorption.

44. The method of claim 43, in which all additives having moieties B which have the characteristic of degradation stabilization substantially segregate in a region which is contiguous with and below the region in which all additives having moieties B which have the characteristic of ultraviolet radiation absorption segregate.

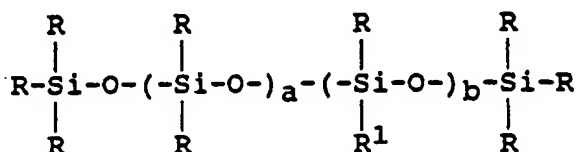
45. A fiber or film made from the thermoplastic composition of claim 1.

46. A nonwoven web comprising fibers made from the thermoplastic composition of claim 1.

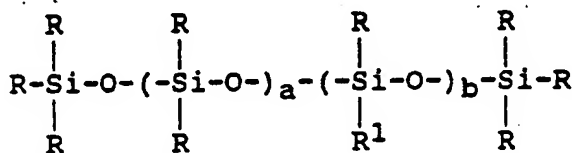
47. The nonwoven web of claim 46, in which the at least one additive migrated upon formation of the fibers by melt extrusion primarily to at least one of the effective surface and the interfacial surface of the fibers.



48. The nonwoven web of claim 47, in which a characteristic of said moiety B is hydrophilicity.
49. The nonwoven web of claim 48, in which the at least one additive migrated upon formation of the fibers by melt extrusion primarily to the subsurface of the fibers.
50. The nonwoven web of claim 49, in which a characteristic of said moiety B is hydrophilicity.
51. A disposable absorbent product, at least one component of which is the nonwoven web of claim 46.
52. A method of stabilizing a thermoplastic composition which is to be melt-extruded to form a fiber or film having a differential, increasing concentration of an additive from the center to the surface thereof, such that the concentration of additive in at least one of the interfacial surface, effective surface, and subsurface of the fiber or film is greater than the average concentration of additive in the core of the fiber or film, thereby imparting hydrophilicity to the surface of the fiber or film, which method comprises:
- (A) melting a thermoplastic composition which comprises:
- (1) at least one thermoplastic polymer;
  - (2) at least one siloxane-containing primary additive having at least two moieties, A' and B', in which:
    - (a) said primary additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A' and moiety B', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;
    - (b) moiety B' has at least one functional group which is a poly(oxyalkylene moiety); and
    - (c) the molecular weight of said primary additive is in the range of from about 400 to about 15,000;
- with the proviso that said primary additive cannot be a compound having the general formula,



- in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one ethyleneoxy group, vicinal epoxy group, or amino group; and a and b, which can be the same or different, each have a value of at least one; and
- (3) at least one siloxane-containing stabilizing additive having at least two moieties, A'' and B'', in which:
- (a) said stabilizing additive is compatible with said polymer at melt extrusion temperatures but is incompatible at temperatures below melt extrusion temperatures, but each of moiety A'' and moiety B'', if present as separate compounds, would be incompatible with said polymer at melt extrusion temperatures and at temperatures below melt extrusion temperatures;
  - (b) moiety B'' has at least one functional group which is an aliphatic or cycloaliphatic amino group; and
  - (c) the molecular weight of said stabilizing additive is in the range of from about 400 to about 15,000;
- with the proviso that said stabilizing additive cannot be a compound having the general formula,



- in which each R independently is a monovalent organic group selected from the group consisting of alkyl groups; R<sup>1</sup> is a monovalent organic group containing at least one amino group; and a and b, which can be the same or different, each have a value of at least one;
- in which thermoplastic composition:
- (a) said primary additive is present at a level in the range of from about 0.3 to about 17 percent by weight, based on the weight of said polymer; and
  - (b) said stabilizing additive is present at a level in the range of from about 0.1 to about 5 percent by weight, based on the weight of said additional additive; and
- (B) extruding the resulting melt through a die at a shear rate of from about 50 to about 30,000 sec<sup>-1</sup> and a throughput of no more than about 5.4 kg/cm<sup>2</sup>/hour.

53. The method of claim 52, in which said polymer is a polyolefin.

54. The method of claim 52, in which the shear rate is from about 150 to about 5,000  $\text{sec}^{-1}$ .

55. The method of claim 52, in which the throughput is in the range of from about 0.1 to about 4.0 kg·cm·hour.

56. The method of claim 52, in which said primary additive is present at a level of from about 0.5 to about 5 percent by weight, based on the weight of said polymer.

57. The method of claim 52, in which said primary additive has a molecular weight of from about 500 to about 1,500.

58. The method of claim 52, in which the alkylene portion of said poly(oxyalkylene) moiety of moiety B' of said primary additive contains from 2 to about 6 carbon atoms.

59. The method of claim 58, in which the oxyalkylene repeating units are oxyethylene or oxypropylene units or a mixture thereof.

60. The method of claim 52, in which said stabilizing additive is present at a level of from about 0.5 to about 5 percent by weight, based on the weight of said primary additive.

61. The method of claim 52, in which said stabilizing additive has a molecular weight of from about 500 to about 1,500.

62. The method of claim 52, in which said moiety B'' of said stabilizing additive is an aliphatic amine.

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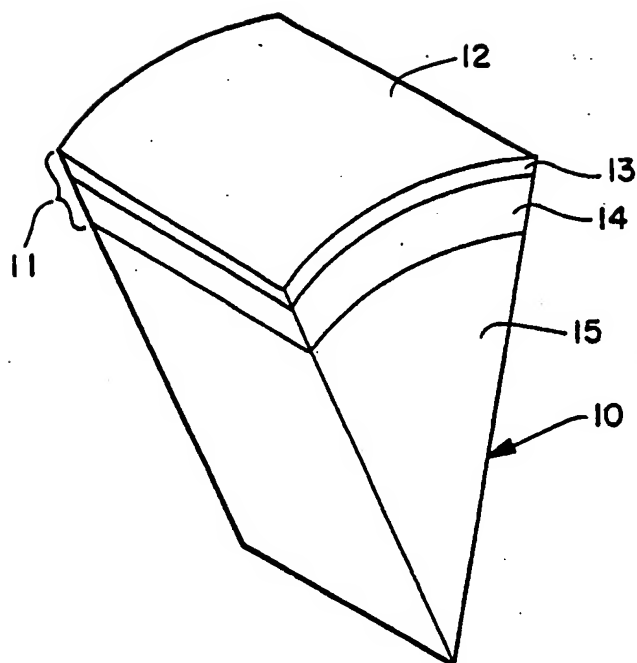


FIG. 1

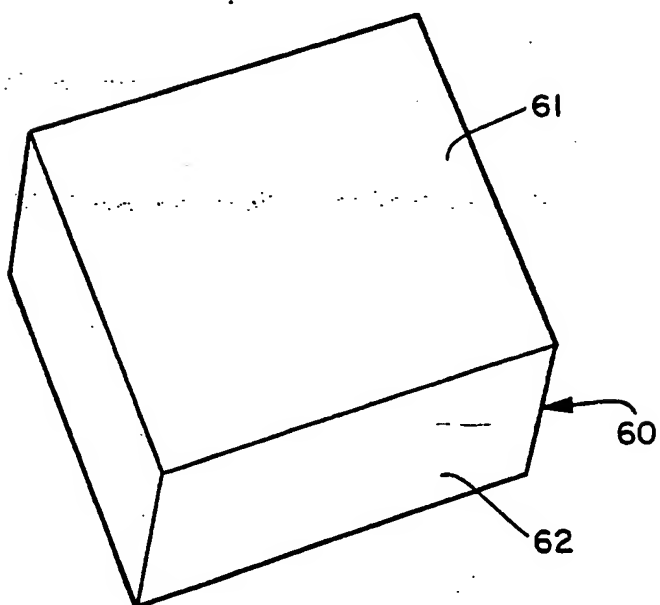


FIG. 6

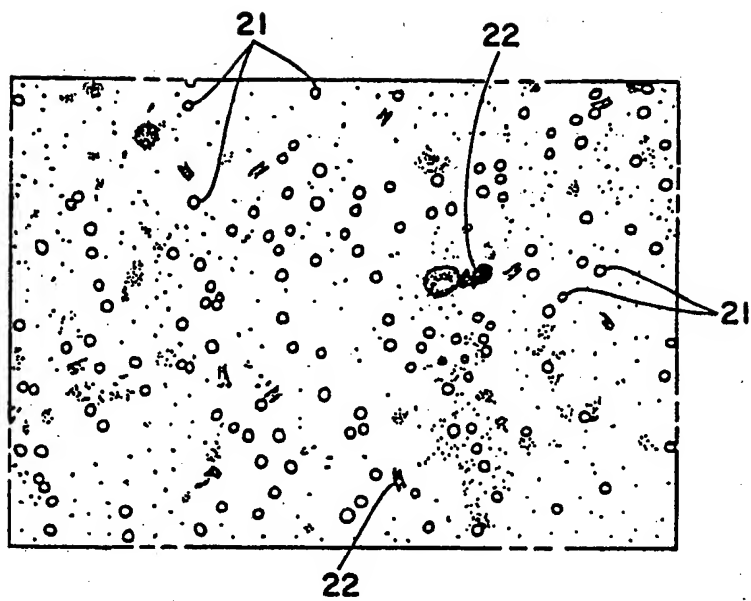


FIG. 2A

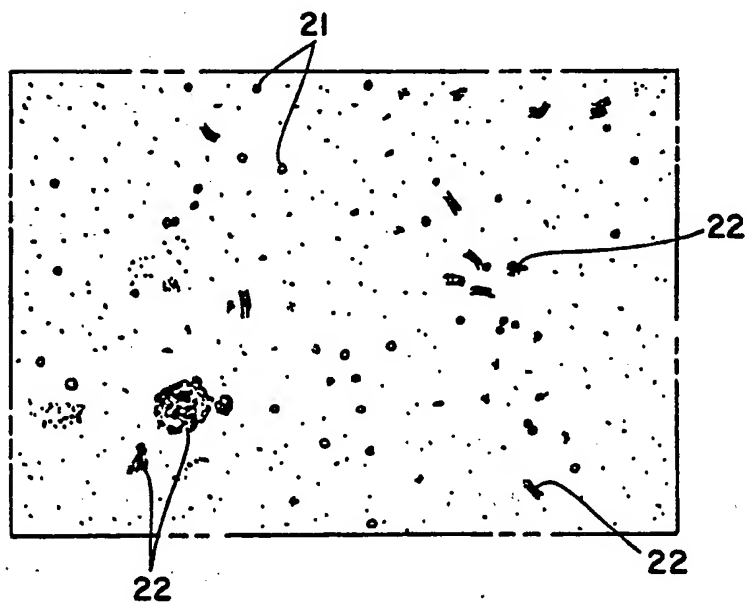


FIG. 2B

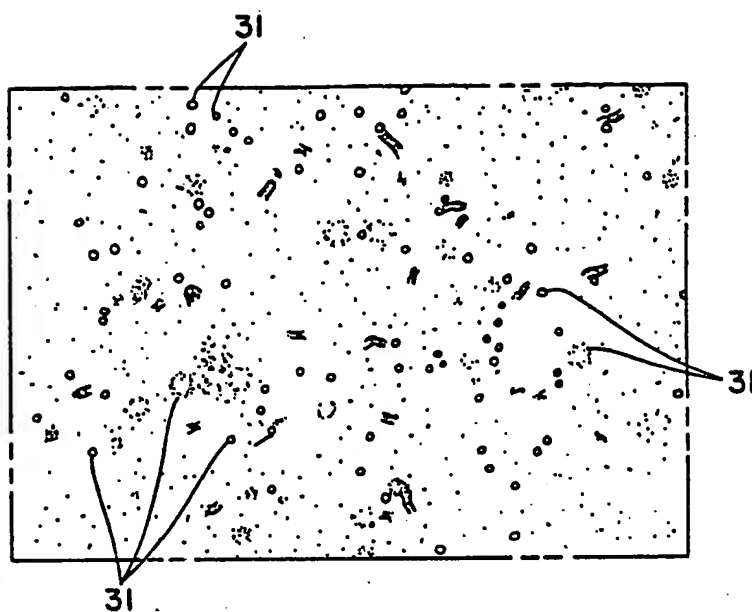


FIG. 3A

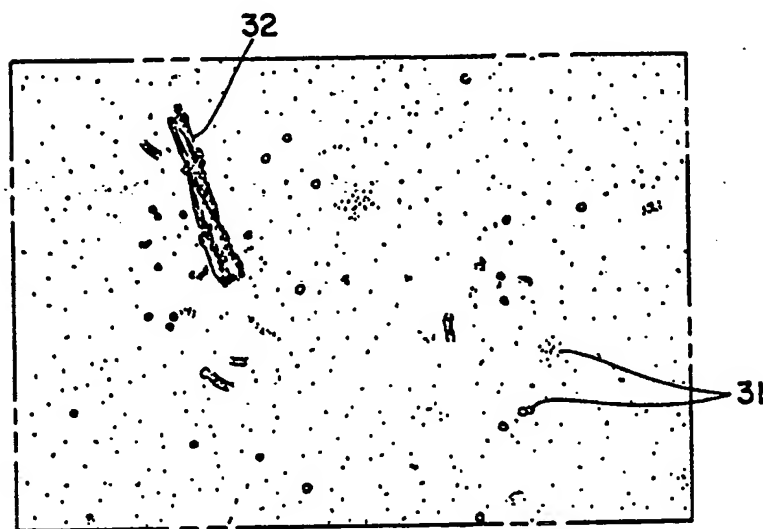


FIG. 3B

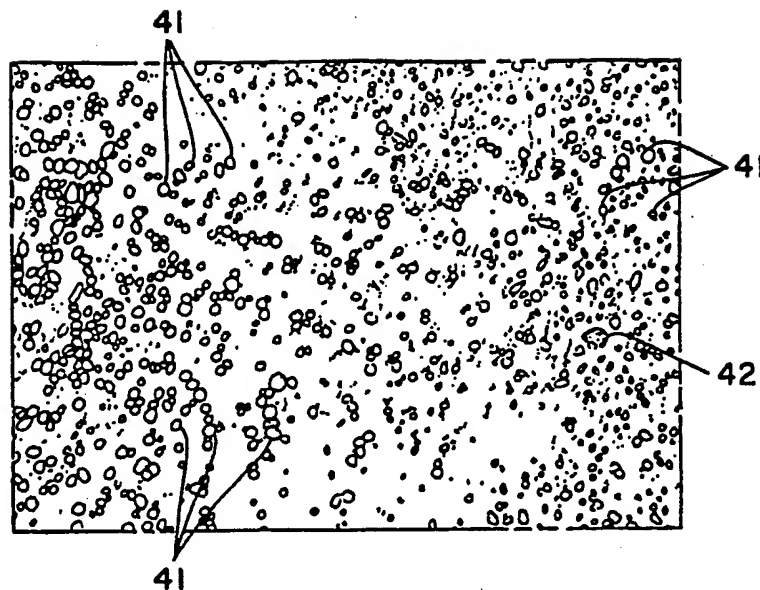


FIG. 4A

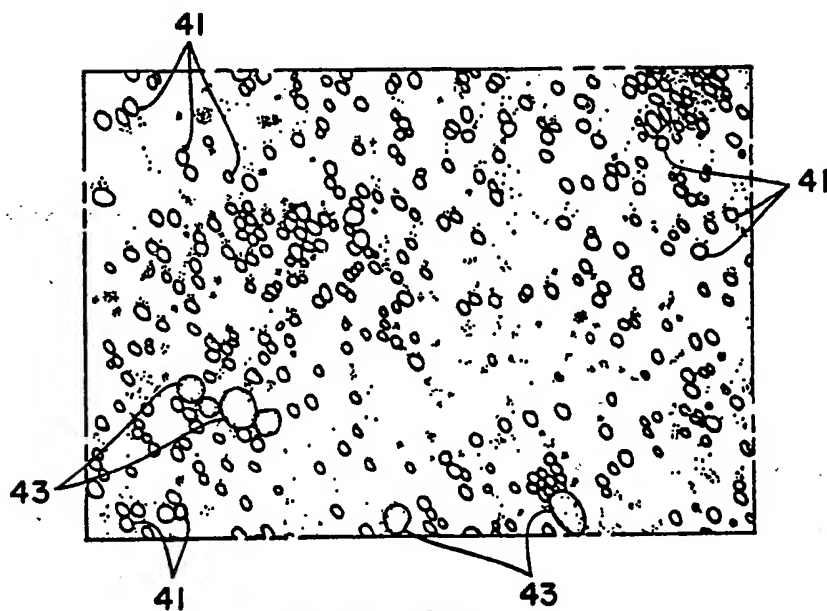


FIG. 4B

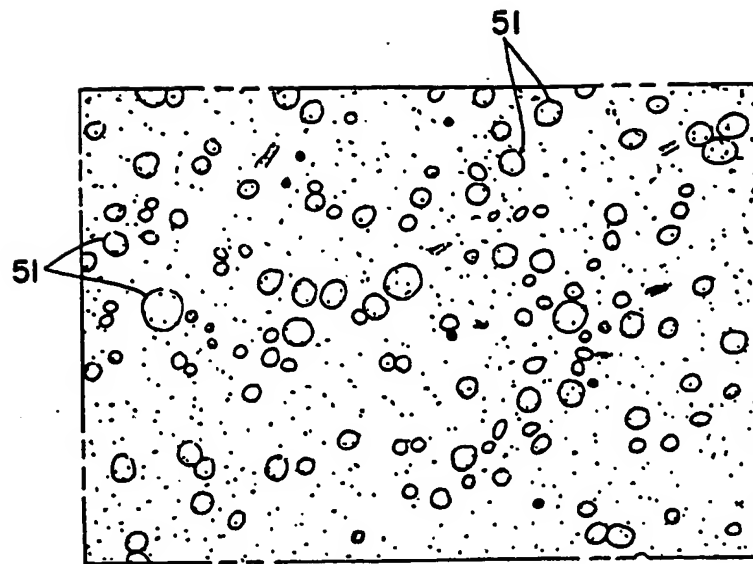


FIG. 5A

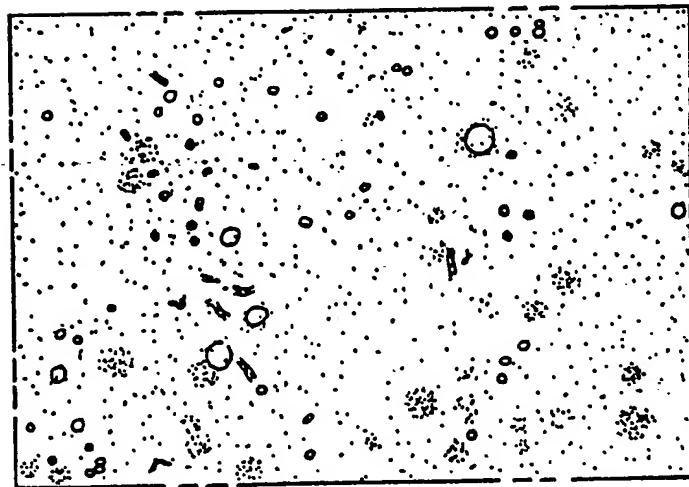


FIG. 5B

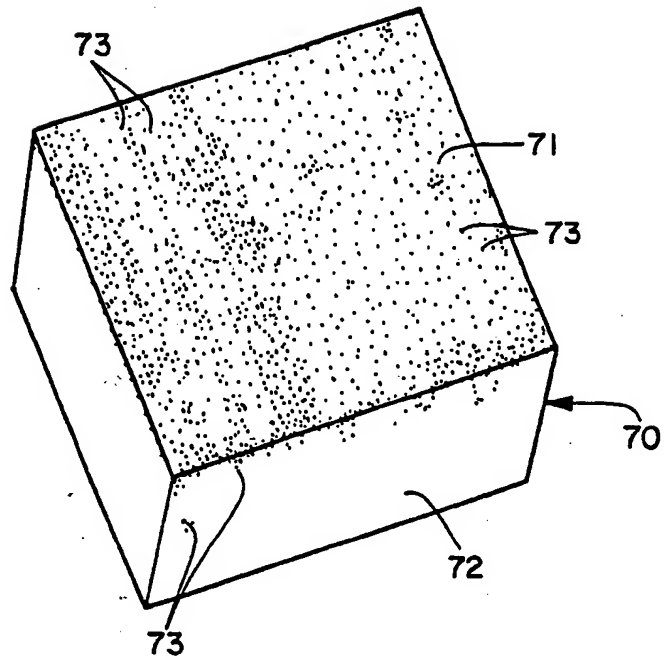


FIG. 7

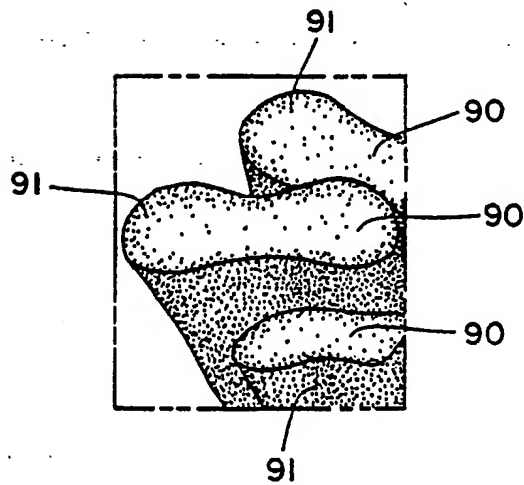


FIG. 9



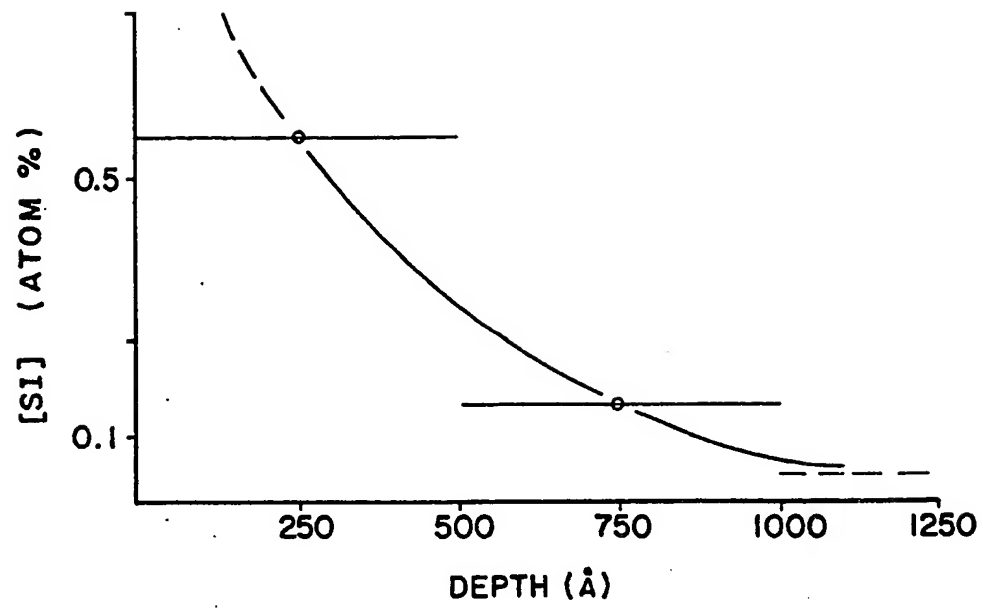


FIG. 8

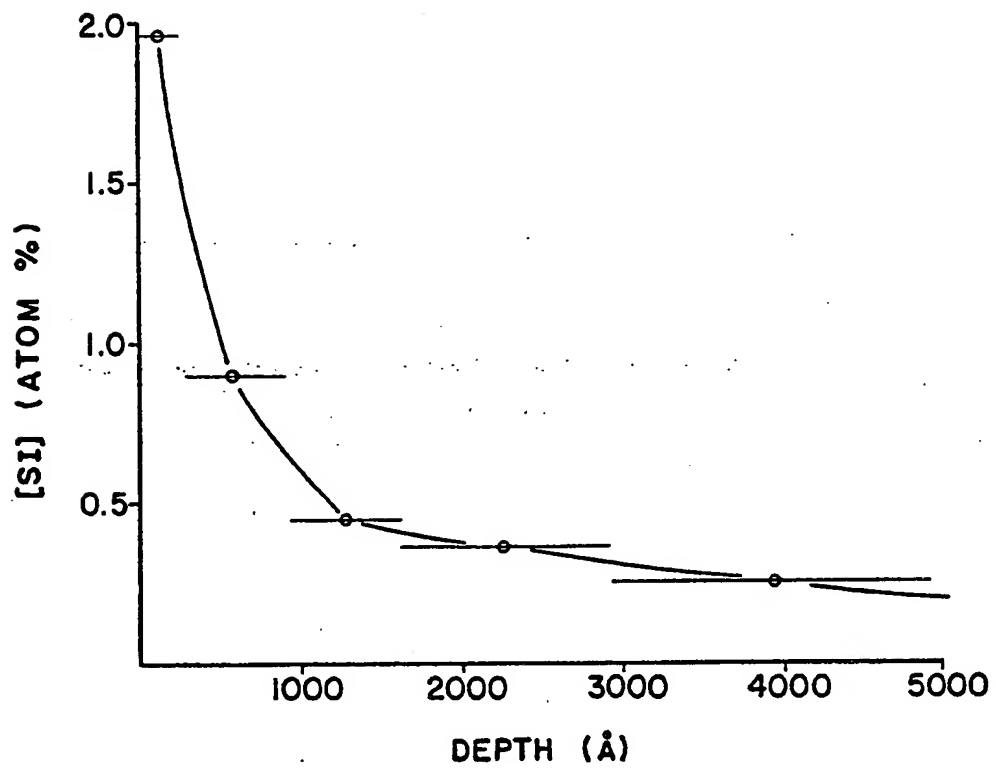


FIG. 11

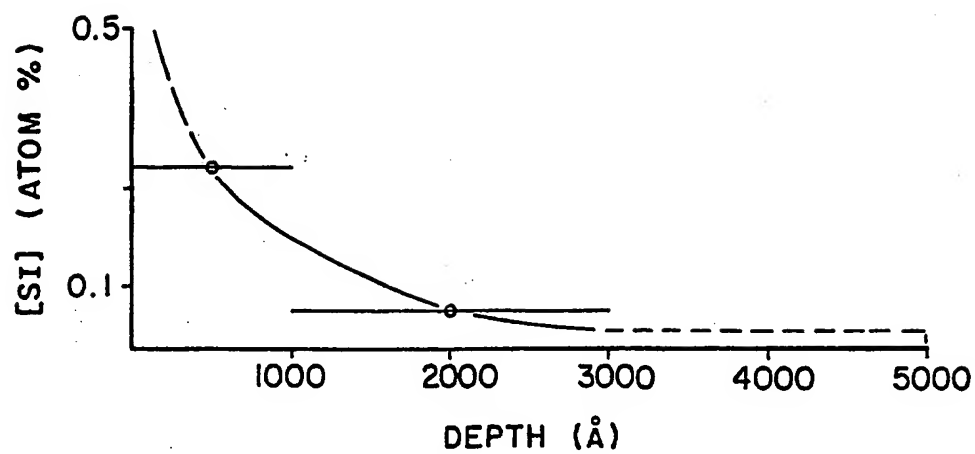


FIG. 10A

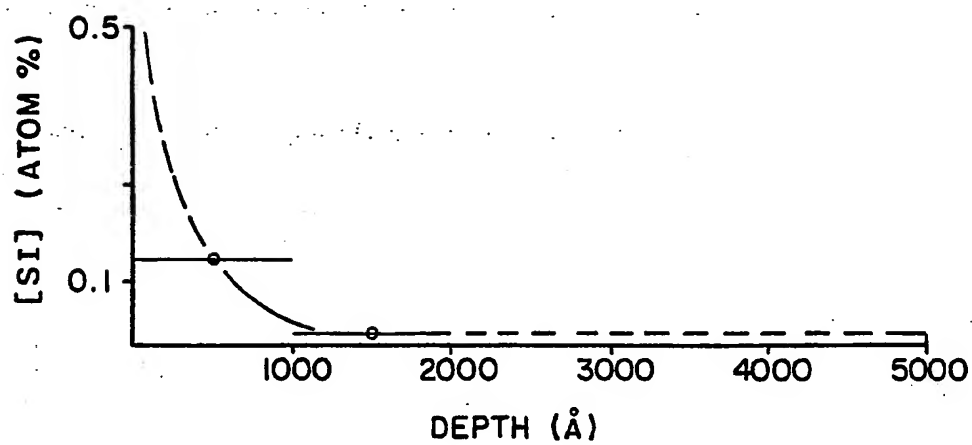


FIG. 10B

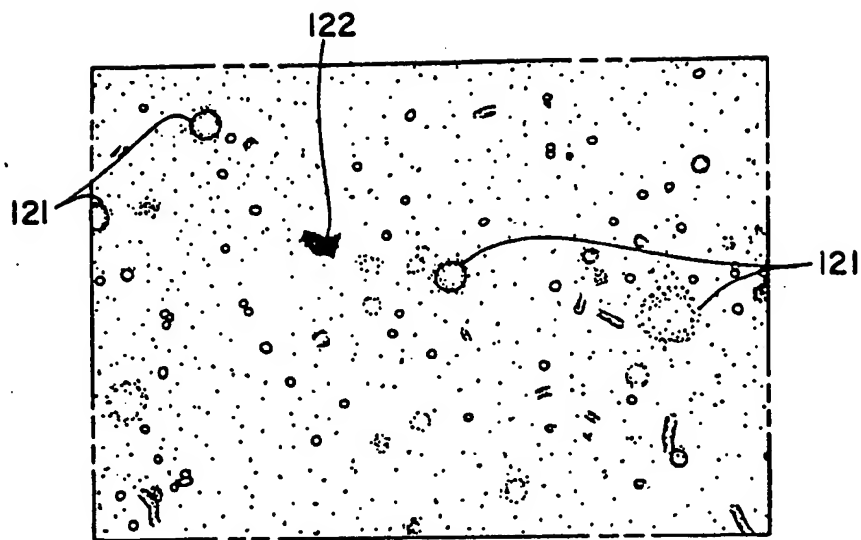


FIG. 12A

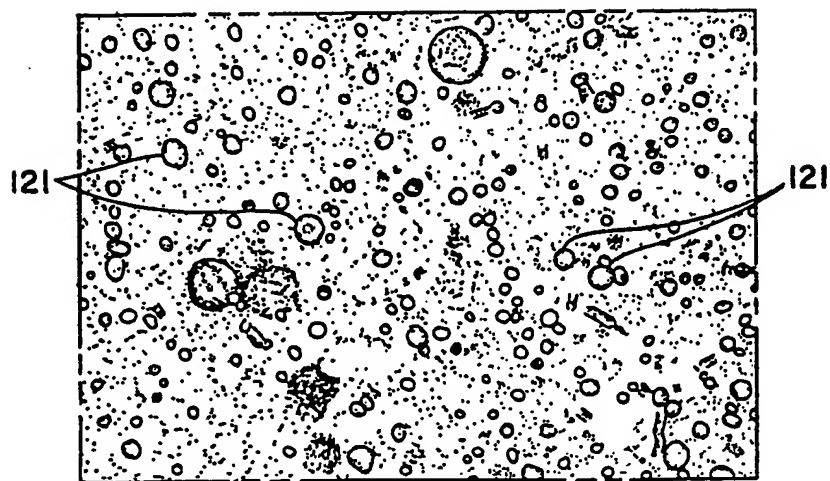


FIG. 12B

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